



# NAVAJO NATION JUDICIAL BRANCH

FISCAL YEAR 2021

## THIRD QUARTER REPORT

April 1, 2021 – June 30, 2021

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# I. MESSAGE FROM THE CHIEF JUSTICE

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## Fiscal Year 2021 THIRD Quarterly Message

### Chief Justice JoAnn Jayne (Aláájí Hashkééjí Nahat'á)

Yá'át'ééh, Shí Diné Doo Shíghéí, Ado Nihíí Nahatajíí Nataani Honorable 24<sup>th</sup> Navajo Nation Council Delegates, Doo Aláájí Hózhóójí Nahat'á Jonathan Nez, Doo Myron Lizer Akádó Dájizdahyígí, Doo distinguished guests. I respectfully present you the Hashkééjí Nahat'á (Judicial Branch) Third Quarterly Report for Fiscal Year 2021: T'aachil, Growth of Early Plant Life (April); T'aqtsoh, Big Leaves (May); Ya'iishjáashchilí, Planting of Early Crops (June).

The Navajo Nation Supreme Court conducted a hearing on June 10, 2021 on Petitions for Admission to Practice Law on the Navajo Nation and For Admission To The Navajo Nation Bar Association, Inc. The process is for the Supreme Court to conduct a hearing to review the petitions for admittance to the bar association for individuals that have passed the Navajo Nation Bar Exam and who have completed a Dine Fundamental Law session.

The Court granted 15 new attorneys and advocates the privilege to practice law on the Navajo Nation with admission into the Navajo Nation Bar Association. The individuals took an Attorney Oath of Office which states, in part: “*Diné bibee nahaz’áanii bee sézjídóó, bik’eh ánísh’t’éédoó, dóóbich’qah sézjídóó.*” (“I will support, obey, and defend all the laws of the Navajo Nation.”)

Unique to the Navajo Nation, and differing from other jurisdictions, the Navajo Nation Supreme Court recognizes that a “lawyer working for the People is a Leader (na’taa’ni)” who should be further guided as follows: “As representative of the People, a leader for the People, and also for those you work with, you must advise and teach them of the laws of the Dine’, even though they might not agree with the law; therefore, to be a leader and lawyer for the People, one must use and respect the laws of all the People.” *In Re Frank Seanez*, 9 Nav. R. 433, 438 (Nav. Sup. Ct. 2010).

Instructions are given to attorneys and advocates to “dedicate themselves to public service, to inspire confidence, maintain respect, and enhance public and client trust.” *In re Bowman v. MacDonald*, 6 Nav. R. 101, 103 (Nav. Sup. Ct. 1989). The Navajo Nation Council counseled that “lawyers and advocates are expected to know Diné culture and tradition, provide public service, and serve as leaders according to *Diné* teachings.” These teachings are, “The *Diné* have

always been guided and protected by the immutable laws provided by the *Diyin*, the *Dinyin Diné é*, *Nasdzáán* and *Yádlíhíł*; these laws have not only provided sanctuary for the *Diné* Life Way but has guided, sustained and protected the *Diné* as they journeyed upon and off the sacred lands upon which they were placed since time immemorial.” *Preamble, Whereas 2, Navajo Council Resolution CN-69-02, November 1, 2002.*

Navajo leaders found that teaching, learning, and practicing law extends to all facets of society that “all elements of the government must learn, practice and educate the *Diné* on the principles of these laws; when the judges adjudicate a dispute using these fundamental laws, they should thoroughly explain so that we can all learn; when leaders perform a function using these laws and the symbols of the *Diné* Life Way, they should teach the public why the function is performed in a certain way or why certain words are used.” *Preamble, Whereas 8, Navajo Council Resolution CN-69-02, November 1, 2002.*

The Navajo Nation Supreme Court has ultimate authority over the Navajo Nation legal profession. The Court succinctly underscores that “the power to regulate attorney practice is essential to maintain professional and ethical practice before the Court, not only to protect the integrity of the appellate process, but also to protect litigants who participate in the process.” *Eriacho v. Ramah District Court*, 8 Nav. R. 598, 602 (Nav. Sup. Ct. 2004).

In 1993, the Navajo Nation Supreme Court issued an Order adopting, with exceptions, the American Bar Association Model Rules of Professional Conduct which would govern the professional conduct of members of the Navajo Nation Bar Association. *A-CV-41-92*. Recognizing the responsibilities of attorneys and advocates, the Attorney Oath of Office states, “*Agha’ diit’aah idlįįł bee’ shi’ doolzi’ igii bik’ ehgo ánisht’ ée doo.*” (“I will adhere to the rules of professional responsibility.”)

Respectfully,

JoAnn B. Jayne,  
Chief Justice of the Navajo Nation

## II. CONTACT PERSON

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Judicial Branch of the Navajo Nation  
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## III. VISION, MISSION AND STRATEGIC GOALS

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### VISION

It is our vision that the present judicial system, consisting of an adversarial-style tribal court system modeled on Anglo courts, a peacemaking system modeled on Diné original dispute resolution methods, and Probation and Parole Services, will fully embody the values and processes of the Navajo People, including family and clan-centered Navajo values. Our justice system as a whole will truly reflect the heart and soul of the Diné. It will be one that the People can recognize as their own and fully participate in the spirit of nábináhaazláago.

### MISSION

The Judicial Branch will provide stability in the Navajo Nation government by providing court, peacemaking, and probation and parole services, to adjudicate cases, resolve disputes, rehabilitate individuals and families, restore harmony, educate the public, agencies, services and other governments in Diné bi beenahaz' áanii, and protect persons and property pursuant to Navajo Nation laws, customs, traditions, and applicable federal laws. Pursuant to Diné bi beenahaz' áanii, the Judicial Branch will carefully develop a justice system that fully embodies the traditional values and processes of the Navajo People.

### STRATEGIC GOALS

- One:* As the Navajo Nation court, peacemaking, and probation and parole system, we will ensure the continued provision of efficient, fair, and respectful judicial services.
- Two:* We will ensure access to the judicial system by the public.
- Three:* We will address the infrastructure needed to maximize partnerships across branches, agencies, and communities.
- Four:* We will develop a judicial system in accordance with Diné bi beenahaz' áanii that fully incorporates Navajo values and processes.
- Five:* We will address facilities needs.

## IV. JUDICIAL BRANCH DIRECTORY

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### **ADMINISTRATIVE OFFICE OF THE COURTS**

P.O. Box 520 Window Rock, AZ 86515

#### **OFFICE OF THE CHIEF JUSTICE**

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JoAnn B. Jayne, Chief Justice

#### **ADMINISTRATION**

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#### **HUMAN RESOURCES**

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#### **FISCAL SERVICES**

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### **SUPREME COURT OF THE NAVAJO NATION**

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Hon. JoAnn B. Jayne, Chief Justice

Hon. Eleanor Shirley, Associate Justice

Hon. Tina Tsinigine, Associate Justice

VACANT, Supreme Court Clerk

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### **ALAMO / TO'HAJILEE JUDICIAL DISTRICT**

Alamo Court

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Hon. William J.J. Platero, Judge

Regina C. Begay-Roanhorse, Court Administrator

To'hajiilee Court

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Canoncito, NM 87026

Hon. William J.J. Platero, Judge

Regina C. Begay-Roanhorse, Court Administrator

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### **ANETH JUDICIAL DISTRICT**

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Hon. Rudy I. Bedonie, Judge  
Hon. Cynthia Thompson, Judge  
Vanessa Mescal, Court Administrator

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Hon. Leonard Livingston, Judge  
Tanya J. Chavez, Court Administrator

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**DILKON JUDICIAL DISTRICT**

HC 63 Box 787  
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Hon. Neomi Gilmore, Judge  
Darlene LaFrance, Court Administrator

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**DZIL YUJIN JUDICIAL DISTRICT**

P.O. Box 129  
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Hon. Cynthia Thompson, Judge  
Arlene Lee, Court Administrator

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**KAYENTA JUDICIAL DISTRICT**

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Lavonne K. Yazzie, Court Administrator

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Esther Jose, Court Administrator

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**SHIPROCK JUDICIAL DISTRICT**

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Hon. Genevieve Woody, Judge  
Ethel S. Laughing, Court Administrator

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**TUBA CITY JUDICIAL DISTRICT**

P.O. Box 725  
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Hon. Victor J. Clyde, Judge  
Alice Huskie, Court Administrator

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**WINDOW ROCK JUDICIAL DISTRICT**

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Window Rock, AZ 86515  
  
Hon. Malcolm Begay, Judge  
Verlena Hale, Court Administrator

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Family Court (928) 871-6471/7562  
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## V. ADMINISTRATIVE OFFICE OF THE COURTS

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During the third quarter (April – June 2021) in FY 2021, the Administrative Office of the Courts (“AOC”) completed the following:

### A. ADMINISTRATION

#### Emergency Response to COVID-19 Pandemic

The Administrative Director of the Courts is the designated Continuity of Operation Plan (“COOP”) Coordinator, and works with a designated team of executive and essential staff to implement the COOP for Judicial Branch operations during the public health emergency. The goal is to maintain essential services to uphold the courts’ statutory responsibilities, to address emergency matters that come before the courts, and to conduct essential court-related functions, all while providing for safe working conditions. In order to keep personnel safe, the branch is now operating with a reduced, essential workforce that is telecommuting. The branch recently established telecommuting policies, procedures and tools. The actions taken since March 2020 are to restrict all non-essential travel, and to support the essential workforce so they can do their jobs from their home locations, and to minimize the presence in the Judicial Branch facilities. Procurement of information technology devices and internet-based services is ongoing in order for court management and essential court-related functions to continue.

#### Facilities and Closure/Devolution/Alternate Facility

\*On June 24, 2021, the Aneth Judicial District court building was closed due to a potential COVID-19 exposure. The court did not have to devolve, however it coordinated with the Shiprock Judicial District as an Alternative Facility for the continuation of essential services and functions. The court building was cleaned/disinfected on June 28, 2021; then it re-opened that same day.

As the COVID-19 pandemic continues, it is imperative to understand the changing guidance for Fully-Vaccinated persons, and for cleaning, disinfecting COVID-19 contaminated facilities provided by the Center for Disease Control and Prevention and the Navajo Nation Health Command Operations Center (HCOC). The new guidance allows for quick responses to emergency exposures inside Navajo Nation facilities from COVID-19 virus, coupled with new self-monitoring guidance for Fully-Vaccinated persons. The cleaning and disinfecting of a building or the affected work spaces can be accomplished by staff, and re-entry into a clean and safe building can occur much faster at this time. This allows for a quicker resumption of essential services by the courts and programs.

When a Judicial Branch facility closes due to a potential or confirmed exposure, it is out of an abundance of caution to protect our personnel, and to do our best to mitigate the spread of the Coronavirus and its variants in our communities where we live and work.

Administrative Director facilitates weekly Branch Teleconferences to update all Business Unit managers and judges regarding COOP issues, health and safety information, and priority administrative issues e.g. procurement and deployment of IT equipment for telecommuting, FY



2022 Budget Development, the preliminary planning for several different aspects of the American Rescue Plan - Fiscal Recovery Funds, the establishment of the Hashkeji Nahata Beso ba Hoghan Expenditure Plan/Account, and to continue preparations and trainings for the return to Full Capacity.

## **B. HUMAN RESOURCES (“HR”)**

### **Recruitment**

1. To fill vacant judge and staff positions, HR advertises on the Judicial Branch website, social media sites, local newspapers and radio stations, local/state organizations, and national tribal organization and law school websites.
2. Judicial Branch staff also make one-on-one contacts regarding vacancies.

### **Judge Applicant**

HR reviewed one judge applicant this quarter. The applicant will undergo a writing exam and an interview with the Judicial Conduct Commission in late July or early August 2021.

### **Selection during Third Quarter**

1. HR Office filled four (4) positions, including one (1) promotion.
2. Four (4) individuals transitioned from 90-day introductory to regular status employees.
3. One (1) employee retired.
4. Seven (7) employees resigned.
5. One (1) employee was terminated.
6. One (1) employee ended temporary employment with the branch.

Though the Branch’s offices are closed to the public, and there are no face-to-face meetings, during this quarter the HR office successfully recruited and filled five (5) vacancies. The Judicial Branch programs, judicial districts, and Supreme Court have engaged in tele- or video-conference interviews. Onboarding and training for new hires is conducted through virtual methods.

### **Training**

Some trainings were sponsored by other Navajo Nation departments and coordinated by the Judicial Branch Training Manager for our branch. Annual mandatory trainings were also provided this quarter, including Sexual Harassment Awareness and Judicial Branch Employee Policies and Procedures. The following trainings occurred this quarter.

#### **April**

- Time Management
- Generational Differences
- Department of Behavioral Health Services Healthy Relationships for Harmony in the Workplace (2 trainings were provided)
- Sexual Harassment Training – sponsored by NN Staff Development and Training Program with assistance from the Training Manager

May

- Department of Behavioral Health Services: Healthy Relationships for Harmony in the Workplace (2 trainings were provided)

June

- Sexual Harassment Awareness
- Legal Advice vs. Legal Information
- Time Management

### **Policy Development**

Amendments to the Judicial Branch employee policies and procedures were approved by the Judiciary during a Judicial Conference meeting, including Special Duty Pay and Teleworking policies.

The HR Office also developed the following procedures this quarter:

- Step increase procedures
- Veterans Preference procedures

### **Other**

1. The HR Office continues to work with staff if COVID-19 exposure occurs. No exposures have occurred onsite at any of our facilities. HR works with the Navajo Nation Health Command Operations Center (HCOC) and medical centers for technical assistance if questions arise for any pandemic-related concerns.
2. The HR Office provides customer service to applicants during the application, and recruitment and selection processes; and staff regarding employee policies and procedures. HR also assists supervisors with employee relations matters; completes bi-weekly timesheets on behalf of the branch; assists with closing and re-opening branch facilities exposed by the Coronavirus; and, assists management in other areas as assigned, i.e., American Rescue Plan development activities.
3. The Chief Justice has assigned the task of investigating complaints about judicial districts to the HR Director. These investigations are ongoing and in progress.
4. Because the Judicial Conduct Commission (JCC) does not have its own staff, some Judicial Branch staff assist JCC in fulfilling its duties and responsibilities, including the HR Director.

## **C. NAVAJO NATION INTEGRATED JUSTICE INFORMATION SHARING PROJECT (NNIJISP)**

### **Accomplishments**

1. Monitoring the approved Fiscal Year (FY) 2021 NNIJISP Fixed Costs Budget of \$350,000. Monitoring expenses to procure computer equipment for the Administrative Offices of the Courts, Supreme Court, District Courts, and NNIJISP partners.

2. Completed budget transfer for Professional Service Contract, Repair and Maintenance of equipment. JustWare Journal Technology contract for FY 2021 needs to be paid, in addition a fee for users is additional cost, so additional funds may be necessary
3. Monitoring expenses to procure computer equipment for AOC, Supreme Court, District Courts and NNIJISP partners.
4. Submitted FY 2022 Fixed Cost Budget, after \$375,000 was allocated by Office of Management and Budget.
5. Attend Skype meetings for Weekly branch updates, Budget preparation, Fiscal Office financial meetings, and various mandatory training events.
6. Attended on-line meetings for public health awareness provided by Department of Health and Tse Hotsooi Medical Center.

#### **Activities by NNIJISP System and Programming Manager**

1. Provided quotes to purchase, computer equipment, printers, external drives, desktops, and laptops.
2. Compiled and completed NNIJISP Quarterly and Performance Criteria reports.
3. Completed IT service requests for software support, software upgrades for desktop computers, installation of printers, hardware and software trouble shooting.

#### **Objectives for the next quarter**

1. Assist in closing outstanding Dell invoices, which were affected by close-out of CARES Act funding. Complete receiving reports and pay internet and communications billing statement. Review billing for NNIJISP issued Cellular One MiFi devices.
2. Coordinate NNIJISP meeting dates, agendas and scheduling.
3. Participate in planning for re-opening of Judicial Branch during COVID-19 pandemic and Delta Variant, while staying safe.
4. Provide continued information technology support of JustWare, hardware and software for Judicial Branch.
5. Participate in public health presentation awareness, relating to COVID-19.
6. Complete NNIJISP Quarterly and Performance Criteria reports.

### **D. GRANTS ADMINISTRATION**

#### **Grants Management and Coordination:**

1. Grants Administrator submitted CTAS 2021 grant application for Judicial – Family Wellness Court at \$831,587, and for the Department of Corrections at \$945,380, totaling \$1,776,967.
2. No Cost Extensions were submitted to USDOJ for the following business units – K170801, K170802, K180800
3. Provided administrative support of the Chief Justice’s Performance Evaluation Review Team.
4. Assisted in the development of the Road Map to Full Capacity Readiness Checklist for all business units
5. Provided training to branch personnel on the COOP and the Road Map to Full Capacity plan.

## Training:

1. Healthy Relationships for Harmony in the Workplace
2. Webinar: U.S. Treasury ARPA Guidance
3. USDOJ Financial Management Only training
4. Time Management

## Coordination

1. Assisted in the development of the BIA-ARPA statement of work and proposed budget, an award of \$1,000,000 was made in June 2021.
2. Assisted in the development of Judicial Branch ARPA prioritization, budget and proposal.
3. Provided technical support and sustainability planning to the Missing and Murdered Dine' Relatives task force.
4. Assisted in the development and finalization of the Dine' Action Plan.

## E. INFORMATION TECHNOLOGY

### Accomplishments of objectives set the previous quarter

Provided continual personal computer support at Tuba City, Kayenta, Chinle, Window Rock, Crownpoint, Ramah, Alamo, To'Hajiilee, Dilkon, Dził Yijiin and Aneth courts.

Provided continual support for video conferencing communications for AOC, Tuba City, Dilkon, Ramah, Shiprock, Window Rock, Window Rock Detention, Supreme Court, Tuba City Detention, Kayenta, Aneth, Chinle and Crownpoint courts. Utilizing Skype for Business, CourtCall, WebEx and Zoom.

Provided System Administration support for the Journal Technologies JustWare computer software application for **Navajo Nation Supreme Court; Shiprock** Courts, Probation and Prosecutors; **Dilkon** Courts, Probation, Peacemaking and Prosecutors Office; **Chinle** Courts, Probation, Peacemaking and Prosecutors Office; **Aneth** Courts, Probation and Peacemaking; **Kayenta** Courts, Probation, Peacemaking and Prosecutors Office; **Tuba City** Courts, Probation and Peacemaking; **Window Rock** Courts, Probation, Peacemaking and Prosecutors Office; **Crownpoint** Courts, Probation, Peacemaking and Prosecutors Office; **Dził Yijiin** Courts; **To'Hajilee** Courts, Probation, Peacemaking and Prosecutors Office; **Alamo** Courts, Probation, Peacemaking and Prosecutors Office; **Ramah** Courts, Probation, Peacemaking and Prosecutors Office.

Continued network monitoring of the Court's network infrastructure.

Attended meetings with Department of Information Technology ("DIT") and other Navajo Nation IT entities to address telecommunication issues caused by the COVID-19 pandemic.

Continued providing technical support for the districts for telecommuting. Laptops were verified that JTI JustWare, PAN GlobalProtect, Foxit Phantom PDF, and Skype For Business as working from external networks.

Completed the first of three stages for updating the JustWare and JusticeWeb servers to current versions of the operating systems. IT checked and verified the functionality and configurations of JustWare and JusticeWeb.

Deployed mobile Digital Recording systems to district courts to give the courts the capabilities of recording court activities outside of court facilities.

Assisted with deployment of network equipment to the district courts to replace old network equipment.

IT personnel attended to following training events: Healthy Relationships for Harmony in the Workplace; Time Management; mandatory Employee Policies and Procedures; mandatory Sexual Harassment training.

### **Other significant accomplishments**

Continued installing printers and scanners for Judicial Branch personnel for processing of court documents at the districts and for telecommuters.

Provided communications support between courts, prosecution, law enforcement and public defender for court services.

Provided support for CourtCall.com, an on-line service that provides court services via the internet.

Provided technical support for MiFi equipment for telecommuting Judicial Branch and Prosecutor's Office personnel.

Attended meetings of the Navajo Nation Cyber Team to implement centralized "Broadband Office" to develop broadband capabilities.

Began <https://courts.navajo-nsn.gov> re-design project.

Attended a demonstration session on software application Virtru, which provides email encryption service for the Navajo Nation, provided by NN Department of Information Technology and Virtru.

Attended meetings with ARPA workgroup to develop budget for ARPA funding proposal.

IT Data Technician assisted Window Rock, Tuba City, Shiprock, and Crownpoint districts with retrieval of archived microfilm records. There are only two ST ViewScan machines, one at AOC and one at Crownpoint. Only the machine at AOC is operational, and personnel have to travel to Window Rock to retrieve and/or print records. Received 25 microfilm cartridges from four district courts to locate/print records release requests by the public.

## **Objectives to be accomplished in the next quarter**

To maintain personal computer support at Tuba City, Kayenta, Chinle, Window Rock, Shiprock, Crownpoint, Ramah, Pueblo Pintado, Alamo, To'Hajiilee, Dilkon, Aneth and Dził Yijiin courts.

To continue limited support for the digital recorders, document archival computer systems and Skype For Business recordings.

To provide configuration/maintenance support to the NNIJISP Project at the Tuba City, Kayenta, Chinle, Window Rock, Shiprock, Crownpoint, Dilkon, To'Hajiilee, Aneth, Alamo, Ramah and Dził Yijiin courts, probation offices, and peacemaking offices.

To provide limited configuration/maintenance support to the NNIJISP Project at the Tuba City, Kayenta, Chinle, Window Rock, Shiprock, Crownpoint, Dilkon, To'Hajiilee, Alamo and Ramah prosecutor offices.

To provide continued support and training for JTI's JustWare application for all Navajo Nation Courts, Prosecutors, Peacemaking, Probation and Public Defender staff.

To continue to maintain JusticeWeb client access requests.

To provide support for webpage modifications for [www.courts.navajo-nsn.gov](http://www.courts.navajo-nsn.gov) website.

To continue deployment and support of telecommuting equipment for Judicial Branch personnel. Kiosks, printers, portable digital recorders, laptops, dock systems, backup batteries, Skype for Business application, MS Office Pro 2019, Global Protect and computer system drivers.

To complete scanning 2007 Traffic closed case files for Tuba City Judicial District.

To respond to IT service requests from the judicial districts, Supreme Court, Office of the Chief Justice, Probation Services, Peacemaking Program and Administrative Offices of the Courts.

## **F. PROBATION & PAROLE SERVICES (“PPS”)**

### **Accomplishment of Objectives**

Probation Services has identified two in-house training for probation staff. April 2021 Crownpoint District Staff Attorney was able to do a presentation on Legal Advice. Window Rock Sr. Probation Officer also conducted a refresher course on Report Writing.

In this quarter, the PPS management team continues to meet on a weekly basis. The consistency of meeting weekly is to ensure communication of the operations is smooth and ongoing. We will continue the weekly meetings.

PPS is into the 3<sup>rd</sup> quarter of the fiscal year and the PPS management team works together to ensure expenditures of PPS funds are expended as accordingly. This process to ensure the needs of the probation staff in terms of direct services and operations of the department are managed and maintained accordingly. Expenses to return to the office has taken majority of expenses. PPS department has also been paying unpaid invoices from last year where CARES Act funds were not used due to the delay in the procurement process.

With the assistance of Sr. Probation Officer and Office Technician, the Chief Probation Officer (CPO) is preparing the FY2022 Budget for Probation Services. PPS attended announced budget meetings and on our own meeting to discuss and compile the budget for submission in this quarter.

PPS compiled a draft budget proposal for the American Relief Plan Act (ARPA) funds that have been allocated to the Navajo Nation. CPO will be looking out for the ARPA budget meetings announcement to attend and follow further instructions for budget preparation for PPS.

In this quarter, all PPS staff continue to telework from home. PPS staff are fully equipped with equipment necessary to ensure they have the tools to work from home. All staff have adjusted working from home and are accountable for their time. They work closely with their assigned supervisor and communicate on a consistent basis. The PPS management team meet on a weekly basis to continue operations of PPS and provide technical support to staff when needed. CPO is available daily to ensure PPS is operating on a consistent basis with all court referrals, changes or revisions of process to where it is acceptable by rules and procedures during this pandemic time.

### **Other significant accomplishments**

In the 3<sup>rd</sup> quarter of this fiscal year Probation Services have continued to telecommute from home including myself. All duties and responsibilities of probation services continues and present time is status quo meaning hearings and all communication are conducted virtually. Probation have acclimated working under the telecommuted conditions. PPS staff continue to adhere to the present administrative order 32.2020.

Beginning of this quarter the PPS management team have been planning and preparing for the ARPA funding, the General Funds budget and any suggested supplemental/Unmet need funds. Third quarter every year is usually focused to budget preparations. Because of the large department and staff, it takes us time to take all 13 districts and 30+ staff that is included in the budget. We have submitted the 638-ARPA for probation services. Probation Services budget for the 638-ARPA was not included. We had submitted the budget for Report Writers in all 3 Probation regions. Report Writers are essential, new positions that would exclusively focus on presentence report investigations (PSI/PSR) to include in a comprehensive report to the Court for sentencing. We have seen in the last two quarters an increase in PSR requests for sentencing. Probation Officers have diligently worked with little contact to put together a report to the Office the Prosecutor as well as Navajo Courts for sentencing recommendation upon investigatory findings. Presentencing Report Investigation and writing takes a lot of time,

research and contact. It's a position on its own and should have personnel specifically for these positions. The positions were included in the budget but was not supported and funded.

PPS also prepared the General Funds budget for Probation Services, and submitted the budget to the Fiscal Services Office. In addition, an unmet needs budget was submitted.

PPS management is preparing for the next budget preparation for the ARPA funds, and will submit a revised budget proposal for the ARPA funds.

Senior Probation Officers have been meeting with their respective districts to discuss and preparing return to the office using the Roadmap phases. This process to meet with probation districts will take some time being that we have to go through 13 districts considering building, staff and safety issues. Meeting with staff beforehand will allow supervisors and staff to work together to cover all aspects of returning to the office. Once that process is completed, documents will follow addressed to the COOP Coordinator. Work session regarding return to office with staff has taken most of the days and time in the 3<sup>rd</sup> quarter but it must be done.

Probation management team has also continual work scheduling SOP work sessions to complete the revisions. Again because of budget preparation, we have to prioritize tasks but we anticipate completion of first part which is the adult SOP done by the end of the fiscal year.

Probation Management team also completed the revision of the statistic form in this quarter and will schedule a training session for this revised form. The form will be implemented next fiscal year, October 1, 2021.

## **District Narratives**

All (13) districts, staff of thirty continue to telecommute from home. Probation Staff are equipped with their laptops, scanners, supplies and new printers. Cases are managed through the Just Ware system. Travel is still restricted and have managed and maintained contact with services and their clients contact from home.

All districts quarterly reports continue to reflect similar information in terms of overall telecommuting from home and working with their clients through telephonic means. This also includes working with local resources that are open during this pandemic. All correspondence is channeled and communicated by phone or email. There are not in person contact or field visits.

Presentence reports and investigation have increased in the last quarter into this quarter, therefore; majority of the probation officer's focus is on report writing and less time on other client services being that the Nation resources are still restricted from in person contacts.

Again, there are no significant district narrative summary reported this quarter. Cases are managed daily and updated accordingly. Probation Officers have done a great job working daily to ensure adequate case management services and direct phone services for clients for proper supervision purposes.



Concerns have been continuously made where by district probation staff expressing burn out situations because of increase in presentence report requests from the Court. This is an area of reminder to the Courts to keep in mind the limitation while probation staff telecommute from home. It was expressed by staff the unnecessary burn out and stress.

PPS will continue the set schedule of teleworking from home for time being while staff shelter at home and make telephonic contacts to ensure compliance with court orders.

Despite the challenges and barriers, probation staff have succeeded to adapt and work through with barriers, challenges and limitations to ensure proper statutory process.

### **Objectives to be accomplished in the next quarter**

1. To complete the PPS General Funds, Unmet Needs and ARPA funds budgets and submit.
2. To continue the work on revising the Standard Operating Procedures for PPS staff.
3. To schedule and coordinate a training session with probation staff for newly implemented statistical form.
4. To continue proactive work advocating and supporting the enhancement of Probation Services.

## **VI. PEACEMAKING PROGRAM**

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### **Accomplishment of objectives set the previous quarter**

Peacemaking delivered on our core programs of providing direct services and meeting or exceeding our performance goals during Third Quarter.

Events this quarter have highlighted the critical importance of preserving and utilizing traditional, culturally-relevant methods in conflict resolution, policy formation, and cultural events. Discussions with Branches of government and local communities are refocusing Peacemaking as forum of dispute resolution that is understood and used by the Diné, this engagement expands our broader responsibilities of providing services exemplified through Diné Bi Beenahaz'áanii to other agencies and departments.

Living our cultural traditions in our modern lives is one of the highest indicators of Navajo sovereignty, because by doing this we preserve our unique identity as a people. In many instances, parallel systems that allow us to co-exist in an Anglo-American society are necessary, but this quarter has brought many examples of the importance of treating these as parallel systems, not allowing them to attain supremacy over our culture and sovereignty.

Peacemaking program retained services of traditional practitioner to perform protection and rejuvenation ceremonies to epitomize the clearing and re-entry path of the Judicial Branch Roadmap to Full Capacity for the Roadmap's success. The ceremony highlighted the importance of preserving Peacemaking and utilizing Peacemaking's traditional and culturally-relevant methods for our Diné people as conflict resolution begins by being grounded in K'é be Hózhóo Nahoodleel doo bé dá iiná. Legislative support of the 24<sup>th</sup> Navajo Nation Council was welcomed by participation and support of Council Delegate Nathaniel Brown from start to completion.

Delegate Brown provided words of encouragement and support to staff acknowledging the difficulties of Peacemaking work.

a. Use community-based Peacemakers

Outreach to Community-based Peacemakers are ongoing to check on their well-being or to engage their assistance to handle more Peacemaking sessions and counseling. Recruiting efforts are encouraging as 2 individuals are pursuing peacemaking resolutions from their respective chapters.

b. Maintain our professionalism.

Pandemic restrictions have impacted timeliness and responsiveness to our clients and stakeholders. We are working through issues with program issued cell phones and publishing program staff contact numbers which has resulted in communicating with users of Peacemaking to updated information on services and case management records. Cell phones have enhanced staff and management's availabilities.

Traditional Program Specialists do their own mentoring by provide in-house trainings on difficult traditional teaching topics to overcome competence and confidence barriers.

c. Advance our mission.

Our core work is conducting Peacemaking sessions, Life Value Engagements, and other elements of traditional problem-solving that require some traditional knowledge. Our Diné Traditional Researcher provided such insight to the Navajo Nation Human Rights Commission on "Policing" in the Navajo cultural perspective.

Program staff have ongoing training discussions with the judicial branch Training Manager on topics of ethics, leadership and harmonizing workplace to interface how Diné Fundamental Law intersects with employee development within the Judicial Branch.

Collaborating with Missing and Murdered Dine Relatives (MMDR) initiative with Peacemaking is ongoing with weekly meetings creating the MMDR toolkit. Program Coordinator also presented to the group to demonstrate the differences in how Anglo-American justice and Traditional justice are profound. A deeply held principle of our people is to restore and define K'é. Just as there is the concept of duality in our Diné Life Way teachings there is also duality in expression of obtaining Justice, but applying this two-lettered word as Justice is complicated.

**The Peacemaking Program prioritizes work based on the goals set by Council and the Judicial Branch. These are examples from some of the Judicial Districts:**

Alamo Peacemaking Program

This TPS meets regularly with the school administrators and community members to explain and implement Peacemaking services. Truancy cases are being referred by the schools.

### Aneth Peacemaking Program

The Peacemaking program was requested by Utah Department of Human Services Aging and Adult Services to participate in Utah elder Abuse Awareness Day in June. Traditional Program Specialist Mr. Stanley Nez was a presenter in their Virtual Conference 2021, which highlighted traditional teachings based on Hooghan Haz'aadóó Na'nitin: Caring for Elders with Dignity and Respect.

### Dzil Yijiin Judicial District

Services for this area are being performed intermittently from Chinle Peacemaking Program because the lack of adequate office space in the Pinion Judicial court building. The challenges of addressing adequate office space for program staff has been ongoing. Pandemic restrictions has put our resolutions towards the construction of a proposed Pinon Judicial Public Safety building through application of APRA Fiscal Recovery Funds.

### Tuba City Peacemaking Program

The pandemic has not stopped peacemakers from providing educational support to our students and families utilizing traditional teachings through Peacemakers Educational Curriculum. Peacemakers: Edith Cling, Bernice Yazzie and Nelvin Tohonnie are commended being persistent in connecting with youth to meet their educational requirements. Although the Peacemaking PYEAP grant is still in a frozen state Peacemakers still provided services to students even while challenged with communication resources.

### Kayenta Peacemaking Program

COVID-19 pandemic restrictions are the biggest challenges for program staff in providing direct services over cell phone, this becomes difficult when individuals must obtain and complete Life Value Engagement sessions as condition of court orders. It is unknown if the receiver is listening or just puts the phone aside. Mr. Chester Stanley, Traditional Program Specialist does this well through the use of video conferencing from the court into detention center in Kayenta. Seeing facial expressions is indicator if a recipient is actually gaining the benefit of services. The program will continue to advocate for these video conferencing resources.

### **Objectives to be accomplished in the next quarter**

1. Work with Chief Justice and others to enhance Peacemaking.
2. Update the program strategic plan, and complete the detailed workplan, performance measurements, budget tracking and other steps necessary to implement the PYEAP grant.
3. Complete the PCQ for two MMDR positions to conform with proposal.
4. Continue to provide education regarding Fundamental Law and traditional education.
5. Continue to coordinate recruiting efforts for new Peacemakers from local communities.
6. Continue services, such as the Peacemaking sessions, Individual Life Value Engagement (ILVE), Group Life Value Engagement (GLVE), and Dine Family Group Conferences (DFGC).

## VII. COURTS OF THE NAVAJO NATION

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### A. SUPREME COURT

#### Supreme Court Caseload Statistics

##### a. Civil

|                                   | <u>Apr.</u> | <u>May</u> | <u>Jun.</u> | <u>Total</u> |
|-----------------------------------|-------------|------------|-------------|--------------|
| (1) Cases Filed                   | 3           | 2          | 2           | 7            |
| -Certified Question.....0         |             |            |             |              |
| -Child Custody.....0              |             |            |             |              |
| -Contract.....0                   |             |            |             |              |
| -Decedent Estate.....0            |             |            |             |              |
| -Domestic Relations.....3         |             |            |             |              |
| -Elections.....0                  |             |            |             |              |
| -Employment/Labor.....2           |             |            |             |              |
| -Ethics.....0                     |             |            |             |              |
| -Grazing.....0                    |             |            |             |              |
| -Land Dispute.....0               |             |            |             |              |
| -Probate.....0                    |             |            |             |              |
| -Torts.....0                      |             |            |             |              |
| -Writs.....2                      |             |            |             |              |
| -Reconsiderations.....0           |             |            |             |              |
| (2) Cases Completed               | 5           | 6          | 1           | 12           |
| (3) Hearings Held                 | 0           | 0          | 1           | 1            |
| (4) Total Decisions this Quarter: |             |            |             |              |
| Memorandum Decision               | 1           | 1          | 0           | 2            |
| Orders                            | 4           | 3          | 1           | 8            |
| Opinions                          | 0           | 2          | 0           | 2            |

##### b. Criminal

|                                   | <u>Apr.</u> | <u>May</u> | <u>Jun.</u> | <u>Total</u> |
|-----------------------------------|-------------|------------|-------------|--------------|
| (1) Cases Filed                   | 0           | 0          | 0           | 0            |
| (2) Cases Completed               | 0           | 0          | 0           | 0            |
| (3) Hearings Held                 | 0           | 0          | 0           | 0            |
| (4) Total Decisions this Quarter: |             |            |             |              |
| (a) Memorandum Decision           | 0           | 0          | 0           | 0            |
| (b) Orders                        | 0           | 0          | 0           | 0            |
| (c) Opinions                      | 0           | 0          | 0           | 0            |

##### c. Navajo Nation Bar Association

|                     | <u>Apr.</u> | <u>May</u> | <u>Jun.</u> | <u>Total</u> |
|---------------------|-------------|------------|-------------|--------------|
| (1) Cases Filed     | 0           | 16         | 0           | 16           |
| (2) Cases Completed | 0           | 0          | 15          | 15           |
| (3) Hearings Held   | 0           | 0          | 15          | 15           |

|                                   |   |   |    |    |
|-----------------------------------|---|---|----|----|
| (4) Total Decisions this Quarter: |   |   |    |    |
| (a)Memorandum Decision            | 0 | 0 | 0  | 0  |
| (d) Orders                        | 0 | 0 | 15 | 15 |
| (e) Opinions                      | 0 | 0 | 0  | 0  |

d. Special Proceedings

|                                   | <u>Apr.</u> | <u>May</u> | <u>Jun.</u> | <u>Total</u> |
|-----------------------------------|-------------|------------|-------------|--------------|
| (1) Cases Filed                   | 0           | 0          | 0           | 0            |
| (2) Cases Completed               | 0           | 0          | 0           | 0            |
| (3) Hearings Held                 | 0           | 0          | 0           | 0            |
| (4) Total Decisions this Quarter: |             |            |             |              |
| (a)Memorandum Decision            | 0           | 0          | 0           | 0            |
| (f) Orders                        | 0           | 0          | 0           | 0            |
| (g) Opinions                      | 0           | 0          | 0           | 0            |

e. Summary of all cases on appeal

- (1) Brought Forward: 79
- (2) Filed: 23
- (3) Reconsiderations: 0
- (4) Closed: 27
- (5) Pending: 75

| Pending cases      | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | total |
|--------------------|------|------|------|------|------|------|------|------|------|------|-------|
| Civil              | 1    | 0    | 0    | 3    | 13   | 19   | 14   | 6    | 7    | 8    | 71    |
| Criminal           | 0    | 0    | 0    | 0    | 1    | 0    | 0    | 0    | 2    | 0    | 3     |
| NNBA               | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 1    | 1     |
| Special proceeding | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0     |
| Total              | 1    | 0    | 0    | 3    | 14   | 19   | 14   | 6    | 9    | 9    | 75    |

2. Motions Reviews and Decided:

| Apr. | May | Jun. | Total |
|------|-----|------|-------|
| 0    | 28  | 1    | 29    |

3. Oral Arguments/Hearings Held: 16

#### 4. Pro Bono and Appointments:

|                                | Apr. | May | Jun. | Total |
|--------------------------------|------|-----|------|-------|
| Tuba City/Kayenta/Dilkon       | 3    | 10  | 15   | 28    |
| Window Rock/Chinle/Dzil Yijiin | 6    | 6   | 10   | 22    |
| Crownpoint/Shiprock/Aneth      | 1    | 3   | 1    | 5     |
| Ramah/Alamo/To'hajiilee        | 1    | 0   | 0    | 1     |
| Total                          | 11   | 19  | 26   | 56    |

#### 5. Navajo Reporter

There were no sales of the Navajo Reporter in the first quarter. There were \$1,242.20 in sales of the Navajo Reporter in the second quarter. There were \$1,374.40 in sales of the Navajo Reporter in the third quarter.

#### OATH OF OFFICE

The Navajo Nation Bar Association petitioned the Supreme Court for admission of applicants who have passed the Navajo Nation Bar examinations and were eligible to take the Attorney's Oath of Office. The oaths were virtually conducted on June 10, 2021.

Oaths of Office for Navajo Nation Elected Officials, Black Rock Mesa Review Board members, and Office of the President and Vice-President Securities were administered on April 14, 2021; May 24, 2021; June 23, 2021; and June 25, 2021.

#### VACANCIES

The Navajo Nation has seven (7) vacant Judicial District Judge positions. Recruiting efforts are ongoing and paramount for the Branch.

An interview panel within the Supreme Court participated in interviewing applicants for the Navajo Supreme Court Clerk position on April 16, 2021 and had a discussion on June 28, 2021. The interviews prompted the Human Resource department to create a policy on Veterans Preference. Procedures were approved to implement the Veterans' Preference Policy.

#### EVALUATIONS

Performance evaluation teams composed of the Chief Justice, a member of the Navajo Nation Bar Association, a Navajo Nation district court judge, and a member of the Law and Order Committee of the Navajo Nation Council were created and met for four probationary Judges and one Associate Justice. The team discussed the evaluative criteria for the Judges and Justice.

Associate Justice Eleanor Shirley, a member for Chief Justice JoAnn Jayne's performance evaluation team, presented a report to Law and Order Committee on the status of Chief Justice JoAnn Jayne's evaluation.

### SUPREME COURT AND JUDICIAL BRANCH MEETINGS

Chief Justice Jayne, Associate Justice Shirley, Associate Justice Tina Tsinigine, and legal staff met virtually for Supreme Court Meetings to review and discuss pending cases biweekly during the months of April, May, and June. They reviewed the caseload, any pending motions and apprised each other of the status of the cases as to the draft decisions they are working on.

The Justices participated in the discussion of cases including the editing and finalization of decisions.

The Supreme Court conducted an Oral Argument on June 17, 2021 on a Petition for Writ of Prohibition.

The Judicial Conference is composed of 11 Judges and 3 Justices who set policy and advise the Chief Justice in matters concerning the administrative functions and policy development of the courts. On April 30, 2021 a Special Judicial Conference was held to pass Resolution JB-AP-01-21, Recommending Amendment of a Judicial Branch Employee Policies and Procedures for Telework Policy, and JB-AP-02-2021, Recommending Amendment of a Judicial Branch Employee Policies and Procedures for Special Duty Pay. Both resolutions were approved.

The Third Quarter Judicial Conference was held on June 29, 2021. Discussions were held on temporary protection orders, service of criminal summons, and telecommuting policy with reports on the Continuity of Operations Plan and FY 2022 Budget.

Supreme Court and Office of Chief Justice staff attended Judicial Branch Weekly Teleconferences where information is shared regarding COVID-19 safety protocols, court operations, building use, telework operations, and budget overviews.

Supreme Court, Office of Chief Justice, and Pro Bono Services Office held a staff meeting on June 18, 2021 Discussions were held on updates from staff; staff assignments; building modifications, and preparation for entering Phase 1 of the Roadmap to Full Capacity.

The Associate Attorney worked extensively on assisting the Office of Human Resources on the development of step increase procedures, teleworking procedures and other policy and procedural matters.

The Judicial Branch team working on the redesign of the Navajo Courts website met with Peacemaking Program staff on April 19, 2021, and with Probation and Parole Services management team on May 20, 2021, to obtain feedback and input in the design of a new website for the Judicial Branch.

Government Relations Officer attended Court Administrator/Program Managers meeting on May 3, 2021 and June 4, 2021. These meetings are to receive updates on various issues that affect the Judicial Branch courts and programs such as the Fiscal Year 2022 budget process.

Government Relations Officer virtually attended the Dilkon Resource Meeting on May 21, 2021. Staff Attorney announced that Dilkon Court is working with Dził Yijiin to implement a wellness court for both districts and asked for a meeting with the local resources as they will need to work with them on implementation. Peacemaking Program also provided information to participants.

Office of the Chief Justice staff attended a work session on Roadmap to Full Capacity along with other staff from the Judicial Branch on June 10, 2021 and June 15, 2021. The team worked on a checklist and template for the districts and programs to use as they move toward reopening in phases.

Government Relations Officer attended the Fiscal Staff meeting where Financial Services Manager Yvonne Gorman went over the options for the Fiscal Year 2022 general funds budget.

A Judicial Branch meeting was held June 24, 2021 on the Fiscal Year 2021 general funds budget.

Office of the Chief Justice and Administrative Office of the Courts staff had a meeting to strategize on the Fiscal Year 2022 shortfall on June 28, 2021. There are several options that can be explored to address the shortfall for the FY22 Judicial Branch budget.

Judicial Branch Budget Work Session with court administrators and program managers was held on June 30, 2021 to go over general funds budget and unmet needs.

#### NAVAJO NATION GOVERNMENT

During the Navajo Nation Council summer session, the Council approved the establishment of the Hashkééjį Nahat'á Béeso Bá Hooghan with funds from the award received as a result of litigation initiated by the Judicial Branch in Navajo Nation v. Department of Interior. President Jonathan Nez signed the legislation into law on May 7, 2021.

Chief Justice Jayne provided her quarterly message to the Navajo Nation Council on April 19, 2021. She reported on judge vacancies, the activities of the judicial conference, and the case load of the courts.

Chief Justice Jayne attended three (3) Three Branch Chief Meetings on June 16, 17, and 18, 2021 to discuss the Fiscal Year 2022 General Funds Budget allocations. The Branch Chiefs came to an agreement on the allocations to the branches.

In June 2021, Chief Justice Jayne; Lucinda Yellowhair, Chief Probation Officer, Navajo Nation Office of Probation and Parole Services and Parole; and Attorney General Doreen McPaul, Navajo Nation Department of Justice, discussed a request by New Mexico for parole officers to come on to the Navajo Nation and oversee a probationer/parolee who resides on the Navajo Nation. The Navajo Nation Judicial Branch provides probation and parole supervision.

Chief Justice Jayne is a member of the Retirement Plan Administration Committee (RPAC) of the Navajo Nation and attended meetings this quarter on April 28, 2021; May 28, 2021; and June 23, 2021.



## LEGISLATIVE MEETINGS

On June 21, 2021, Chief Justice delivered a report to the Law and Order Committee on the Judicial Branch's proposal to fund judicial facilities through the American Rescue Plan Act including Kayenta Court, Ramah Court, Peacemaking Program complex and the Alamo Peacemaking Hogan. There are also joint projects with Division of Public Safety including facilities for Shiprock, Window Rock and Dzil Yijiin (Pinon). On June 28, 2021, Chief Justice submitted a written report providing the Law and Order Committee with an update on activities of the Judicial Branch.

Office of the Chief Justice staff attended Law and Order Committee regular meetings, special meetings, and/or work sessions on the following dates: April 1, 2021; April 5, 2021; April 12, 2021; April 26, 2021; May 10, 2021; May 12, 2021; May 14, 2021; May 17, 2021; May 24, 2021, June 14, 2021; June 21, 2021; June 22, 2021 (public hearing regarding criminal activities in Many Farms); and June 28, 2021.

Office of the Chief Justice staff attended meetings and/or work sessions of the standing committees, task forces, and other bodies of the Legislative Branch of the Navajo Nation including the Navajo Nation Council, Naabik'iyáti'Committee, Budget and Finance Committee, State Task Force and Resources and Development Committee.

## EXECUTIVE BRANCH

The Judicial Branch and the Division of Public Safety met to discuss the priorities for the Judicial/Public Safety Fund on April 8, 2021. Chief Justice Jayne and Division Director Jesse Delmar came to an agreement on the priority listing for judicial and public safety projects and a list was submitted to the Law and Order Committee.

Discussions on the Diné Action Plan were ongoing this quarter. The Grants Administrator and the Government Relations Officer are involved in finalizing the Diné Action Plan. The Plan will be introduced to the legislative process once finalized.

Government Relations Officer virtually attended meetings on the American Rescue Plan Act for Eastern Navajo and Fort Defiance Agency Chapters hosted by the Office of the President and Vice President on April 27, 2021.

Throughout the quarter, staff watched Office of President and Vice President Town Hall Meetings to stay updated on the Navajo Nation's response to the public health emergency. Information is shared on COVID-19 case trends, vaccinations, Navajo Nation status, and other information related to the public health emergency.

## AMERICAN RESCUE PLAN ACT (ARPA)

Judicial Branch staff met throughout the quarter on setting priorities and developing proposals for utilizing American Rescue Plan Act (ARPA) Funds.

Office of the Chief Justice and Judicial Branch staff developed a budget and scope of work for a proposal for funds from the Bureau of Indian Affairs allocation of American Rescue Plan Act

funds. After meeting with the Office of the President and Vice President, the Judicial Branch was able to secure \$1 million from the allocation.

The Judicial Branch met with Division of Public Safety to develop a proposal for use of American Rescue Plan Act funds to address facilities issues for both the Branch and Public Safety on June 7, 2021; June 11, 2021; June 22, 2021; and June 30, 2021.

Government Relations Officer virtually attended “Tribal Governments Information Session on State and Local Fiscal Recovery Funds,” held by the U.S. Department of Treasury on May 13, 2021. The presenters went over the purpose of ARPA Fiscal Recover Fund (FRF), methodology of allocation, deadlines and how to use the Treasury submission portal.

Government Relations Officer virtually attended U.S. Department of Treasury’s Information Session on the American Rescue Plan Act Fiscal Recovery Fund on May 18, 2021. The U.S. Treasury provided more information on how the FRF would be allocated.

Government Relations Officer attended the American Rescue Plan Emergency Native Language Funding Pre-Application Teleconference on June 15, 2021. There is \$20 million for tribes and native Hawaiians with no matching fund required. The application process was explained for those interested in applying.

Government Relations Officer virtually attended “Navigating the American Rescue Plan Act” held by the Harvard Ash Center on June 23, 2021. Bryan Newland, assistant secretary of the Bureau of Indian Affairs, provided remarks on the ARPA funds available to tribes.

Government Relations Officer attended a session on “Reporting Guidance for State and Local Fiscal Recovery Fund,” conducted by the U.S. Department of Treasury. There was guidance given on the eligible uses of ARPA FRF funds; eligible timeframes; and required reports.

## FEDERAL GOVERNMENT

Chief Justice JoAnn Jayne provided remarks during the visit to the Navajo Nation by the First Lady of the United States Dr. Jill Biden in April.

Government Relations Officer listened to U.S. Department of Justice Tribal Consultation on April 8, 2021, and April 9, 2021.

Government Relations Officer watched the Senate Committee on Indian Affairs “Examining the COVID-19 Response in Native Communities Native Health Systems One Year Later” via teleconference on April 14, 2021. Service providers in Indian Country spoke of the biggest challenges during the public health emergency.

Government Relations Officer listened to U.S. Supreme Court hearing in *Yellen v. Confederated Tribes of Chehalis* on April 19, 2019.

The Government Relations Officer listened to the White House Tribal Covid-19 Update held on April 21, 2021; May 5, 2021; May 19, 2021; and June 16, 2021. She watched the White Covid-19 Response Team Briefing on April 23, 2021, which included updates on the numbers of vaccinated Americans and accessibility of vaccinations. The National Indian Health Board also

hosted a White House session on the vaccine on April 26, 2021 where tribal leaders were able to speak to experts in the field.

Government Relations Officer virtually attended tribal consultation on U.S. Treasury Tribal Advisory Committee's Report on Dual Taxation on April 30, 2021. The Committee presented its report and heard from various tribes on the issue of dual taxation. President Jonathan Nez provided remarks on behalf of the Navajo Nation.

Government Relations Officer virtually attended the Tribal Interior Budget Council meeting on June 9-10, 2021. Department of Interior Secretary Deb Haaland and Assistant Secretary Bryan Newland addressed the TIBC. There was also an educational presentation on the budget process.

Government Relations Officer virtually attended the meeting of the National Vaccine Advisory Committee on June 16, 2021. There were presentations on how agencies/programs are addressing inequities among marginalized populations to improve access to vaccines with emphasis on access to information and education.

Government Relations Officer watched the roundtable discussion on "Concrete Solutions: Building a Successful Foundation for Native Communities' Infrastructure Development" held by the U.S. Senate Committee on Indian Affairs on July 23, 2021. The roundtable was to discuss how to deploy resources to tribes to address infrastructure development.

## STATES

Government Relations Officer attended the Utah Tribal Leaders Meeting by teleconference on April 22, 2021 and June 10, 2021. Updates from the Utah state divisions and programs are given to tribal leaders during these meetings.

Government Relations Officer virtually attended the session on "Raising Resilient Kids in a Tech Heavy World" as part of the 10<sup>th</sup> Annual Victim's Right's Symposium sponsored by Navajo County on April 23, 2021.

Government Relations Officer virtually attended "Our Women, Our Relatives. New Mexico's Call to Action: May 5<sup>th</sup> Missing and Murdered Women's Day," hosted by New Mexico Indian Affairs on May 5, 2021. A report on the findings of the New Mexico Missing and Murdered Indigenous Women Task Force and the next phase for the task force was presented and Secretary of Indian Affairs Lynn Trujillo.

Government Relations Officer virtually attended the Arizona Tribal Broadband Connectivity Program Overview on June 9, 2021. Presenters spoke about the key requirements of the program.

## EDUCATIONAL PRESENTATIONS

The Chief Justice spoke to Government Class students at Grey Hills Academy High School, Tuba City, Arizona, on Navajo Nation government, specifically the Judicial Branch. The virtual discussion was attended by Stella Claw, teacher, and Regina Hale, grants writer for the school.

Government Relations Officer attended 2021 Office of Juvenile Justice Delinquency Prevention Tribal Youth Virtual National Conference on April 1, 2021, including “Telling Our Story through Evaluations” and “Probation & Supervision.”

Government Relations Officer virtually attended the Coalition to Stop Violence Against Native Women 2021 Tribal Leaders Summit on April 28, 2021. She attended the session on “Community Response to Missing and Murdered Indigenous Women and Relatives,” where Delegate Amber Crotty presented the toolkit for family members when a relative goes missing and spoke about the partnership with Peacemaking Program on the issue.

Government Relations Officer attended the “National Briefing – Addressing the National Crisis of Missing and Murdered Indigenous Women” presentation by National Indigenous Women’s Resource Center (NIWRC) on April 29, 2021. Senator Murkowski provided remarks on the bipartisan effort to address this issue. Alfred Urbina spoke about the Pascua Yaqui Tribe exercising jurisdiction over non-Indian offenders as part of the Violence Against Women Act Reauthorization of 2013. On May 5, 2021, the Government Relations Officer attended the NIWRC’s “Uplifting Voices of MMIW Survivor Families,” which gave family members of missing and murdered the opportunity to share their experiences.

Government Relations Officer attended “What is Creative Nonfiction?” session of the Dine’ College Saadtah: Among Words Creative Writing Symposium on April 30, 2021. Presenters provided information and examples on creative nonfiction genre along with writing prompts for participants to utilize elements of craft in these short exercises.

Government Relations Officer virtually attended session on “Redistricting 101 for Tribal Nations” by the National Congress of American Indians. Redistricting is the redrawing of districts so each has the same number of residents and this is done every 10 years after the Census is conducted.

Government Relations Officer virtually attended “Protecting our Communities: COVID-19 Considerations in Indian Country” hosted by John Hopkins Center on May 13, 2021. How the COVID-19 vaccines work, how they were authorized for emergency use, benefits, myths and latest statistics were shared by health professionals.

Government Relations Officer attended educational presentation on the Navajo Nation Flag by Jay R. DeGroat, designer of the flag, hosted by the Navajo Nation Staff Development on May 21, 2021.

Government Relations Officer attended a session on “Achieving Health Equity” hosted by the National Institute for Health Care on May 21, 2021. The topic of discussion included racial and ethnic health disparities and best practices to address.

Government Relations Officer attended a virtual presentation on “Basic Contract Guidelines” by Navajo Nation Risk Management on June 23, 2021, to learn about the requirements for contracts by the Navajo Nation.

Government Relations Officer virtually attended the Tribal Law and Policy Institute's "Crossing the Bridge: Tribal-Local-State Collaboration" presentation on June 28, 2021. Judge William Thorne spoke about how to work with partners and allies to improve communities.

Government Relations Officer attended the National Center for State Courts webinar on "Covid and the Courts" on June 15, 2021. In May 2020, there was a list of considerations for courts to think about with regard to COVID-19 and this webinar was to ask if those considerations still apply. Some courts presented on how they are addressing COVID-19 at this time in their courtrooms.

### PERSONAL PROTECTION EQUIPMENT

Government Relations Officer was in contact with the Division of Economic Development to obtain reusable cloth face masks for judicial staff. The Government Relations Officer obtained face masks from DED and divided them up among Judicial Branch districts and programs that requested them. The masks were left for the districts and programs to pick up in the mailboxes at the Administrative Office of the Courts. At least six face masks per staff at the districts and programs that requested them were provided.

Government Relations Officer and Administrative Office of the Courts staff picked up boxes of hand sanitizer donated to the Navajo Nation for distribution to Judicial Branch programs and courts.

### TRAININGS

Supreme Court and Office of the Chief Justice staff participated in the following trainings:

1. Roadmap to Full Capacity on April 1, 2021.
2. Healthy Relations for Harmony in Workplace presented by the Navajo Division of Behavioral and Mental Health Services.
3. Sexual Harassment on April 29, 2021.

On June 23, 2021, Government Relations Officer attended the Virtual Healing to Wellness Conference session on "Engaging a Culturally Responsive Approach to Support Juvenile Healing to Wellness Court." Presenters spoke about focusing on healing that involves family and community.

Government Relations Officer received training on "Time Management" conducted by Francine Bradley-Arthur, Training Manager, on June 25, 2021.

On June 29, 2021, Government Relations Officer attended training in "Legal Advice v. Legal Information," conducted by Staff Attorney Shawn Attakai. Mr. Attakai explained the role of attorneys and the code of conduct for judicial employees.

### OTHER

Supreme Court staff organized and participated in a ceremony on April 28, 2021, to accept a United States Flag that flew over the Capitol during the Inauguration of President Joe Biden. Judge Clyde performed a song to accept the flag and Elaine Henderson read the letter that

accompanied it. Judge Malcolm Begay and Judge Livingston folded the flag. The flag was secured by the Navajo Nation Washington Office and given to the Judicial Branch through the Office of the President.

Employee Housing Committee. Judicial Branch appointees to the Employee Housing Committee met on May 4, 2021 and June 8, 2021 to make housing assignments as necessary.

Chief Justice JoAnn Jayne, Speaker Seth Damon, and President Jonathan Nez signed a Proclamation for a Navajo Nation Day of Prayer. Chief Justice also delivered remarks for the Day of Prayer kickoff event on June 25, 2021.

Government Relations Officer attended the first meeting to plan for Navajo Code Talker Day by the Office of the President and Vice President on June 30, 2021. Planning is underway for an event to be held August 14, 2021.

## **B. ANETH JUDICIAL DISTRICT**

### **1. Accomplishments of objectives set the previous quarter**

A. Aneth District has completed a draft re-entry plan that is inclusive of the Aneth community, the chapter it serves in its district, the Aneth District Court staff and more importantly, Aneth District court users. The plan is called the “Road Map to Full Capacity,” and it entails data from the local health facilities. The plan will continually monitor the local data on COVID-19, its variants and closely monitor the health and safety of the Aneth District court staff. This is a safety plan moving forward that provides a blanket safety coverage for all of Aneth Judicial District court users. This plan can move forward into Phase two or it can move back if trends change based on scientific data. Aneth District court will move slowly into re-opening for the health and safety of everyone.

B. Aneth District Court staff will maintain its on-call schedule because it realizes the continued risk of COVID-19 and its variants. This on-call schedule mitigates exposure of COVID-19 and its variants by only allowing a limited number of staff are in the building at any given time. Aneth Court staff continuously self-monitoring for symptoms of COVID-19 and for the common cold before they report for work.

### **2. Other significant accomplishments**

A. During the pandemic, Judge Irene S. Black continues to be temporarily assigned to Shiprock Judicial District and to the Judicial Grievance Board. The first assignment began on December 01, 2014 by then Chief Justice Herb Yazzie who assigned by Administrative Order 68-2014. With the current public health emergency and administrative order, Judge Black conducts essential hearings from home for Aneth and Shiprock Judicial Districts.

B. Aneth District Court received all of its building modifications supplies and has completed installation of its plexiglass barriers and clear plastic barriers. A drop box was installed when the pandemic first started, which is checked daily, an intercom system for customers to utilize while limiting outside exposure of Aneth District staff, and a weatherproof brochure holder that has Domestic Abuse Protection Order petitions available to the general public. Aneth

District court user can download the petition on the Judicial Branch website or can obtain a copy from the Utah Navajo Health System, Inc. victim advocates.

C. Aneth District court staff have participated and completed trainings if they are not on the on-call duty schedule. Aneth District court staff have completed the “Road Map to Full Capacity” training and will continue to participate in other Health Safety trainings. All the district court staff have completed their mandatory sexual harassment training and 5 of the staff are NNOSHA certified.

### **3. Objectives to be accomplished by Next Quarter**

A. With development of a re-entry plan for phase one of the Judicial Branch’s “Road Map to Full Capacity,” Aneth district should be in Phase one. Aneth district court will have a safe working environment for the staff and to conduct more essential case hearings during Phase one of the re-entry plan.

B. Aneth will continue to explore new avenues of tele-conferencing and for tele-hearings for its staff and its customers to address its case backlog that was created during the public health emergency of 2020 and 2021.

C. Aneth District court management will explore funding source through the American Rescue Plan Act to assist with gaps in funding at the districts, to address a staff shortage, and to address its backlog of cases.

## **C. CHINLE JUDICIAL DISTRICT**

### **1. Accomplishment of Objectives**

A. Judicial Hearing Officer: The new Judicial Hearing Officer is Tyson Yazzie. He is temporarily assigned to Chinle Judicial District for office space. He also hears domestic violence and shelter case hearings, as filed with the district. He travels to other districts to hear domestic violence cases. The districts are Window Rock, Dilkon and Chinle.

B. District Court Clerk: A new district court clerk was hired and her name is Enelle Tacheene. She is from Many Farms, Arizona.

C. Mandatory Sexual Harassment Training: Staff participated in the annual mandatory sexual harassment training with the Navajo Nation Training Center. Staff were able to participate via Zoom. Staff responded with good feedback with the information received from the presenter.

D. COVID-19 Court Response: Chinle Judicial District continues to operate on essential services to the public. Judges continue to hear emergency cases. Court documents are received by drop box, postal mail, electronic mail and fax. Staff members are on a rotating schedule to come into the court to answer telephone calls, process fines and fee payments, process postal mail, process filings and to clerk hearings. They are doing a phenomenal job in continuing to provide services to the public with the bare essentials. We have a maximum of five staff in the building on a daily basis to provide services to the public and to process court cases.

E. Weekly Teleconference Meetings: The court administrator and judges participated in the weekly teleconference meetings. Weekly updates are provided by the Chief Justice's support staff. Information on virtual trainings for staff, updates on pandemic activities, and upcoming events are shared among staff.

F. Quarterly Judicial Conference: The judges attended the quarterly judicial conference via teleconference. The court administrators and program managers were not included in the judicial conference.

G. Vacant Position: The Chinle Judicial District has two vacant positions: District Court Clerk and Staff Attorney. The Human Resource Office within the Judicial Branch continues to advertise the Staff Attorney position. Applications for the District Court Clerk have been forwarded to the district and will be scheduled for interviews.

## **2. Objectives to be accomplished**

A. The Chinle Judicial District judge and court administrator will participate in meetings with service providers and the Chief Justice's Office.

B. The Chinle Judicial District will continue to work toward meeting its performance criteria goals.

C. The court administrator will continue to provide technical assistance to the court clerks, bailiffs, office technicians and custodian.

D. The Chinle Judicial District will continue to work with Judicial Branch Human Resources Office in filling the vacant positions.

## **D. CROWNPOINT JUDICIAL DISTRICT & PUEBLO PINTADO COURT**

### **1. Accomplishment of Objective Set the Previous Quarter**

A. Crownpoint ("CP") Judicial District continues to operate on essential services to the public pursuant to the COOP ("Court Continuous Operating Plan"), as managed by the COOP Coordinator and staff. CP staff continue to be on rotating schedule for the Court daily operations to answer telephone calls, process fines and fees, and process postal mail and hearings.

Most of Crownpoint Judicial District and Pueblo Pintado Circuit Court staff have received the vaccines. The Crownpoint Judicial District is in the process of moving to Phase One of the "Roadmap to Full Capacity," The Crownpoint District and Pueblo Pintado Circuit Court staff have participated, attained and completed the necessary trainings for "Roadmap" presented on March 26, and April 1, 2021. During the period of April 01, 2021- June 30, 2021; District Court Judge Livingston and Staff Attorney Shawn Attakai (executive staff) continued to telecommute.

Newly hired Judicial Hearing Officer Rodriguez Morris continues to hear essential domestic violence court cases (allowed by the COOP) and scheduling for all future cases. On April 23, 2021 Judge Livingston conducted the oath of office for the Judicial Hearing Officer for Crownpoint Judicial District; Pueblo Pintado Circuit Court; Domestic Violence hearings are



scheduled every Tuesday and Friday thru Skype for Business; case load information and court scheduling is under the supervision of Judge Livingston.

B. During the current COOP phase, the Court receives documents, pleadings, and petitions by drop box, US postal mail, electronical filing (via email and fax) for both Crownpoint and Pueblo Pintado courts. Meanwhile, the Crownpoint Judicial District has one (1) vacancy, Deputy Court Clerk Position. The Pueblo Pintado Circuit Court has one (1) vacancy Deputy Court Clerk. On May 24, 2021, the Court Administrator position was filled with the hiring of Tanya J. Chavez. The Court plans to continue to advertise and interview the potential candidates via Skype for Business.

C. As the Crownpoint Judicial District continues to work towards Phase One of the Roadmap to Full Capacity, the Court continues to address the backlog of workload during the limited services period. The Court staff have been working diligently and safely during the COVID-19 pandemic while handling essential court cases. Judge, Staff Attorney and Court Administrator continue to maintain weekly teleconferencing by Skype or call-in procedures. June 28, 2021 and July 02, 2021; Judge Livingston, Shawn Attakai, Tanya J. Chavez, Crownpoint District Court; Elmer Yazzie, Peacemaking Program; JoAnn Holyan-Terry, Probation/Parole Services; had a meeting to discuss the check-off list to move into phase 1.; according to the Roadmap to Reopening guidance-checklist and memo template.

D. Document Technician has completed scanning of the Family Court case files for 2010. Presently the 2011 Family Court Case files are being scanned. 2010 Family Court. number of cases scanned: 1137; number of pages scanned: 45,164; completed 20 document request for the District for certified or copies of final decrees, a total of 349 pages in the amount total of \$127.55, final dispositions and hearing request, etc.; Disposition requests made by department for Criminal and Traffic background record and Reports total of 28; Retrieved closed case files for court clerks total of 4; filed case court files closed by court clerks total of 133.

## **2. Other Significant Accomplishments**

A. Court Administrator met with the staff during the staff meeting held on May 28, 2021. As of May 31, 2021 a rotating work schedule for the court staff to comply with was completed. If any changes or leaves have to be address they are inputted in the work schedule for the week.

B. During this quarter Judge Livingston attended, completed NJC (“National Judicial College”) training webinars entitled, “Impaired Marijuana Driving & Fourth Amendment, Issues and Updates” on May 25, 2021; “Special Jurisdiction Class for Rural judges” from June 7-17, 2021 and “Ethical Demeanor, Implicit Biases” on June 10, 2021. Judge Livingston received certificates of completions and approximately 6 hours of judicial ethics credits.

C. Training Events: On March 31, 2021 Coleen Francis Court Clerk attended Infection Prevention Control Training via Zoom; On April 01, 2021, attended COOP training via Skype. Roadmap To Full Capacity; On April 06, 2021, attended Healthy Relationship for Harmony in the workplace via Skype; On April 14-15, 2021, attended Enhancing the Court Process for Domestic Violence litigants hosted by University of New Mexico via Zoom; On April 19, 2021, attended NTTC Federal Firearms Prohibition in Domestic and Sexual Violence Cases webinar via Zoom.

- On April 05, 2021 Jordan Craig; attended Healthy Relationship for Harmony in Workplace; April 14, 2021 DV Fundamental Training Part 1; April 16, 2021 DV Fundamental Training Part 3; May 13, 2021 Sex Trafficking and Indian Country; June 04, 2021 Sexual Harassment and Awareness Training (mandatory training); June 8-9, 2021 Navajo Nation Employee Multi Service Orientation;
- On April 08, 2021 two Court Clerks attended the American Rescue Plan Budget Meeting; On May 05, 2021 Attended the Missing & Murdered Dine Relations (MMDR) Awareness; understanding the trails Navajo Families Face. On April 15, 2021 Attended the Health Relationship of Harmony in the Workplace;
- On April 13-15, 2021; Jacqueline Bates attended New Mexico Judicial Education Center (Skype) DV Fundamental Training; Enhancing Court process for DV; On April 26, 2021 attended Sex Trafficking on Indian Country (Skype); May 28, 2021 attended Sexual Harassment and Awareness Training.
- On April 29, 2021; Louise Thompson, Office Technician; Coleen Francis, Court Clerk; Vita Begay, Court Clerk; Gloria Toledo, Document Technician attended the Sexual Harassment in the Workplace by Staff Development Training Manager; Disclaimer: sensitive topics, not intended to offend; objectives: how to recognize, report; NN executive Order #04-2016-NN; The goal is a zero tolerance of sexual harassment in the workplace.
- On May 27, 2021 staff attended the Health Relationship of Harmony in the workplace;
- On June 25, 2021 a “Time Management Training” was held conducted by Judicial Branch Training Manager; in attendance were Judge Livingston, Staff Attorney, Court Administrator, Court Clerks, Bailiff, Office Technician, and Document Technician.
- On June 29, 2021 a “Legal Advice vs. Legal Information” training was held conducted by Staff Attorney; in attendance were: Judge Livingston; Court Administrator, Court Clerks, Bailiffs, Office Technician, and Document Technician.
- On July 01, 2021 a “Mandatory Employee Personnel Policy” Training was held conducted by Judicial Branch Training Manager; in attendance were Judge Livingston, Staff Attorney, Court Administrator, Court Clerks, Bailiffs, Office Technician, and Document Technician.

D. On May 27, 2021, a Criminal Justice Summit Initiative Meeting was held; it was recorded by Skype for Business by the Court; and involved the following agencies: Prosecutors’ Office; Dept of Family Service Crownpoint and Gallup Offices; Department of Corrections; Police Department; Public Defenders; Probation/Parole Services; IHS-Crownpoint; Department of Behavioral Health Services; Veterans Organization; Chapter Officials. A welcome address was conducted by Court; introduction of Participants and visitors: announcements; training information; distribution of minutes of January 10, 2020 (when last meeting was held); Updates. Next meeting will be schedule October 28, 2021.

E. On May 29, 2021, Court Administrator held a telephonic Skype for business staff meeting; present were all District Court Clerks; Bailiff; Document Technician, Office Technician; Staff Attorney and Judge Livingston. Discussions that took place were announcements; updated COOP meeting, vacancies, case schedule and any changes, reports; introduction of new Court Administrator to all staff. May 31, 2021 a new rotating in court staff schedule was issued by the Court Administrator and issued every Friday, thereafter to follow guidelines during the covid-19 pandemic and the Administrative Order issued by Chief Justice.

F. On June 04, 2021, Court Administrator attended the Court Administrators Meeting facilitated by Peacemaking Program. Discussions: review of employee performance form; Report Administrative Director Courts; American Rescue Plan Act; Office of Human Resources Update: Director of Human Resources; Roadmap to Full Recovery – COOP update; Administrative Director of Courts/JB Grants Administrator; FY 2022 JB Budget update & calendar; Financial Services Manager.

G. On June 30, 2021 and July 06, 2021: Budget Work-Sessions were held by Fiscal Services Manager with Court Administrator, and Court Clerk attended the “FY-22 General Budget Formulation Work Session” to complete budgets for Crownpoint and Pueblo Pintado Court’s. The budgetary training sessions are necessary to prepare the upcoming budgetary year another session schedule on July 08, 2021 before Finalization of the General Fund Budget.

H. The Crownpoint Judicial District and Pueblo Pintado Circuit Court staff are working in shift schedule to accommodate the Chief Justice’s Administrative Orders, COOP. Pueblo Pintado Circuit Court remains in devolution stage since March 15, 2020 with the staff working out of Crownpoint Judicial District facility because of the covid19 pandemic, because of COOP. The staff continues to perform limited hours and telecommute from home on irregular basis with a rotating court staff to conduct in house court business without exposure to the public to obtain services to the public and conducting court hearing.

I. Crownpoint Judicial District began modification, installation of plexi-glass, Covid-19 barriers in the court clerk’s office and bailiff work station. In June, 2021 Sr. Maintenance Worker conducted a walk-through assessment of the court building for safety & health measures for the staff and as well the general public for health & safety barriers for Court Room 2 for next scheduled bench/jury trials as prescribed by the rules, law and for other urgent hearings to be conducted.

J. COOP Meetings continue to be held every Wednesday and weekly reports are submitted to COOP Coordinator every Friday; to provide information to the COOP team weekly by email on the status of operations; job reassignments as necessary; policy and procedures changes; Personnel issues as a result of the pandemic; reminders of limited personnel contact.

### **3. Objectives to be accomplished in the next quarter.**

A. Continue essential court operations under the existing Judicial Branch Administrative Orders as directed by the Chief Justice, comply with the health & safety guidelines under the federal CDC and Navajo Nation Department of Health Orders.

B. To continue with the modification and working towards returning to Roadmap to Full Capacity and resume normal court operations in a healthy, safe manner according to the “Roadmap to Full Capacity Guidelines.” To request and meet the guidelines and reach the approval to move into Phase 1.; according to the roadmap to reopening guidance-checklist and memo template with the acknowledgment from Probation and Parole Services/ Peacemaking Program.

C. To maintain addressing archival scanned cases pursuant to the performance criteria. Document Technician to prepare the family court files form 2011-2018 for scanning; to continue to save the scanned court files as.html and save onto DVD disks and complete pertinent reports for signature of approval.

D. Maintain, report, update and generate status report on non-essential cases coming before the business units.

E. Maintain the safety, welfare and morale of the judicial district personnel, litigants and general public and promote safety in the workplace, homes and communities and continue court operations under the COVID-19 pandemic.

F. Court Administrator and Judge Livingston will continue to refining the ARPA proposal and budget that was submitted in April 2021.

## **E. DILKON JUDICIAL DISTRICT**

### **1. Accomplishments of Objectives Set the Previous Quarter**

A. The Dilkon Judicial District Staff Attorney has been conducting Resource Meetings with all resources in Dilkon. They all make sure that all Children’s and Criminal cases are processed to the right service providers and that no case is over seen.

B. To conduct two in-service training for the district staff.

- Time Management training
- Legal Information vs. Legal Advice

C. Dilkon Judicial District is not open to the public per Administrative Order by the Chief Justice; however the court is assisting customers with very limited staff and by telecommuting to address essential case matters. Chief Justice issued an administrative order adopting a plan for the courts and programs to gradually return to full capacity in phases.

### **2. Other Significant accomplishments**

A. The Judge and Staff Attorney worked hard on getting a grant for Wellness court and Restorative Justice for Dilkon and Dzil Yijiin district courts. We had staff members attend a strategic meeting involving what this will entail if we get the Wellness court going in the Dilkon District Court. We have also been working with other resources so they will be informed on what the Wellness court and Restorative Justice entails and how we will work with them when we start to refer cases to their resource.

B. Staff-In-Services are being held by teleconference on the Domestic Violence, Personnel Policy, and Time Management trainings.

C. Judge attended Quarterly Judicial Conference virtually.

D. Acting Court Administrator virtually attended meetings, Judicial Branch strategic planning, COOP meetings, Budget Readiness, Roadmap and Court Administrators/Managers meetings.

E. Maintenance and facility management has made their way to Dilkon district and will be replacing all vent filters and will be doing maintenance on the HVAC in the building.

**3. Objectives to be accomplished in the next quarter: (Dilkon Court will ‘virtually’ continue to accomplish these objectives in the best interest of the court during this pandemic.)**

A. To facilitate the Dilkon Judicial District Resource Meetings “virtually” to network and collaborate with local resources to improve services to the public.

B. To get our FY 2022 budget ready to be submitted to the Navajo Nation Council and make sure all revisions are done for FY 2021.

C. To plan, organize, and get our district to Phase one of the re-opening plan.

D. Maintain quick and professional service to all customers that call or email the Dilkon District Court.

**F. DZİŁ YIJIIN JUDICIAL DISTRICT**

**1. Accomplishments of Objectives Set the Previous Quarter**

A. The Dził Yijiin Judicial District continues to deliver essential court services within the Dził Yijiin region. In accordance with Navajo Nation Supreme Court Administrative Order, the Dził Yijiin Judicial Court is closed to the public. The Court has been conducting essential court operations only. All services are subject to temporary mitigation measures designed to protect our patrons and team members to the greatest extent possible.

B. Physical access to the Dził Yijiin Judicial District continues to be restricted. However, personnel are readily available to assist the public through contact-free methods by electronic processes: Email, Facsimile, U.S. Postal Service Mail and an outside drop box located next to the main entrance. All court appearances are handled telephonically and through Skype for Business. Individuals are encouraged to call or email the Court in lieu of making personal appearances to take care of their court business. Payments are made through contact-free payments for civil traffic fines can to be paid by credit or debit card online through Justice Web.

**2. Other significant accomplishments**

A. Dzil Yijiin Judicial District continues to monitor the COVID-19 situation and take measures to help prevent virus spread in our community. Judge Thompson held court hearings on essential matters by utilizing alternative means of presiding over cases through

teleconferencing or Skype for Business. The Judicial Hearing Officer continues to conduct virtual hearings on Petition for Domestic Abuse Protection Orders every Monday.

B. Dził Yijiin Judicial District hosted Pro Se Clinic by DNA Peoples' Legal Services mobile unit setup at the court parking lot. Intakes conducted on Probate, Guardianship, Change of Name and Correction of Records. The workshop was a success, a good turn out for community members seeking legal assistance.

C. Dził Yijiin Judicial District building modifications were completed by AOC Maintenance Technician, who installed plexiglass barriers. As the district is moving forward toward Phase one of re-opening, and is optimistic with established safety protocols.

D. District staff attended virtual trainings on April 15<sup>th</sup> Healthy Relationship for Harmony in a work place, presented by Department of Behavioral Health; Time Management on June 25<sup>th</sup>; and Legal Advice vs. Legal Information on June 29<sup>th</sup>.

E. Dził Yijiin Judicial District staff attended the mandatory training: Judicial Branch Employee Policies and Procedures on July 1, 2021.

During third quarter, Court Administrator attended the following Skype meetings and presentations representing Dził Yijiin Judicial District:

- Attended Court Administrator and Managers Skype meeting held on May 3 and June 4, 2021.
- Continue to participate in the weekly Judicial Branch Skype meetings regarding discussions and updates with Judicial Branch plans and operations, during this pandemic.
- Attended virtual Peacemaking & Probation programs infrastructure meeting with Andy Thomas, Division of Community Development.
- Participated in virtual FY 2022 General Funds Budget & FY 2022 UUFB formulation work sessions on June 21, 24 & 30, 2021.
- Prepared proposed FY 2022 General Funds Budget and proposed UUFB for Dził Yijiin Judicial District.
- Attended virtual meetings with Judge Thompson, Dilkon District Judge Gilmore, Dilkon Acting Court Administrator, Staff Attorney Raven Attwood, and Precious Benally on Family Wellness Court, Restorative Justice.

### **3. Objectives to accomplish by Next Quarter**

A. Dził Yijiin Judicial District will continue court operations on processing essential services during this COVID-19 State of Emergency pursuant to Administrative Orders.

B. Plan and prepare to comply with Roadmap to Full Capacity, and building modification for re-opening in the future.

C. Staff will continue to assist in processing essential court matters.

D. Dził Yijiin Judicial District continues to be deeply engaged with public health agencies, healthcare providers, local entities and partnering agencies to mitigate the impact of the virus. Dził Yijiin Judicial District will persist in encouraging the use of face coverings, physical distancing and disinfection practices, measures our court has followed throughout the pandemic.

## **G. KAYENTA JUDICIAL DISTRICT**

### **1. Accomplishments of Objectives Set the Previous Quarter**

A. Despite limited daily staff programming, the Kayenta Judicial District commenced its bi-annual court clerk rotation on April 12, 2021. The rotation promotes cross-training in different aspects of district and family court proceedings and case management procedures.

B. In response to the COVID-19 crisis, the branch implemented several on-line staff trainings to address the imminent re-opening of the courts. The district staff participated in trainings entitled, COOP-Road Map to Full Capacity, and Safety in the Workplace. Staff are appreciative of the effective trainings that help keep them safe during the COVID-19 pandemic. The branch provided an on-line training entitled, Healthy Relationships for Harmony in the Workplace on April 6, 2021. Work healthy relationships tend to reduce the effects of stress, improve job satisfaction, quality of life and to keep employees motivated and enthusiastic.

C. Judge Stover successfully completed a National Judicial College (NJC) sponsored training entitled General Jurisdiction. The training spanned April 23 – May 6, 2021. This nine-day course offered insight on how to manage courtrooms and individual cases, handle self-represented litigants, effectively conduct trials, summarize and apply developments in criminal and family law and procedure, judicial discretion, judicial ethics and sentencing, rule on evidence, and make fair and unbiased decisions. In addition, she completed additional NJC courses entitled Addressing Post-Pandemic Case Backlogs, Core Skills for Judges, Marijuana Impaired Driving Cases and Evidence. The courses fulfilled Judge Stover's annual training requirement as a probationary judge.

D. All district employees participated in a branch-wide training entitled Sexual Harassment and Awareness Training on April 29, 2021. The sole purpose of the mandatory and regular anti-harassment training is to protect employees and everyone in the workplace from harassment, the process for reporting, investigating and resolving acts or conduct of a sexually harassing nature.

E. Court Administrator and Office Technician participated in two preliminary FY 2022 General Funds budget work sessions.

F. The district participated in three Kayenta Criminal Justice teleconference meetings. The meeting of public safety agencies and tribal community program focused on concerns regarding public safety, impact of crime, and program operations during the COVID-19 pandemic.

## **2. Other significant accomplishments**

A. The district provided weekly updates as part of the Judicial Branch of the Navajo Nation Continuity of Operations Plan (COOP) on essential court operations during the COVID-19 virus pandemic.

B. By invitation, Judge Stover administered the Oath of Office to Chilchinbeto Chapter President Paul Madson, Coalmine Canyon Chapter Secretary/Treasurer Ben C. Zahne, and promoted Navajo Nation Department of Public Safety Lt. Donnie C. Kee through Zoom teleconference.

C. In efforts to seek funding for the construction-ready Kayenta Judicial District court building, Court Administrator participated in a Navajo Nation State Task Force of the Navajo Nation meeting with Arizona State Representatives on June 22, 2021 in Phoenix, Arizona. The proposed 17,000 square foot facility will accommodate the Kayenta Courts, Probation Services, Peacemaking Program, Office of the Prosecutor and Office of the Public Defender.

F. Judge Stover participated in a quarterly Judicial Conference on April 30, and June 29, 2021, through teleconferencing. Conference participants received Judicial Branch updates and new developments.

## **3. Objectives to be Accomplished by Next Quarter**

A. To proceed in to Phase Two of the Road Map to Full Capacity re-opening plan. The phases use a data driven approach to safely move from the current lockdown to more normal operations.

B. To provide for three in-service trainings for the district staff.

C. To advocate for the Judicial Branch's fair share of the FY 2022 General Funds budget, to ensure the continued provision of efficient, fair and respectful judicial services and facility needs on the Navajo Nation.

D. To pursue funding opportunities for the Construction Ready Kayenta Judicial Court building.

E. To promote positive and more effective working relationships with the community, local law enforcement and other public service organizations through monthly meetings.

## **H. RAMAH JUDICIAL DISTRICT**

### **1. Accomplishments of Objectives Set the Previous Quarter**

A. This quarter Court Administrator continuously attended virtual Ramah Planning/Regular Chapter Meetings with chapter officials and councilman. At the meeting Court Administrator gave up to date reports regarding the planning of the Ramah Judicial Court Complex.

B. The Judge, Court Administrator and Staff Attorney have continuously participated in the Weekly Branch Update reports meeting every Wednesday.

C. Ms. Kimberly Longhair was hired as Office Technician and she will serve a 90-day probationary period; after the 90-days she will be eligible to become a permanent status employee.



D. Ramah District Court is taking preventative measures but still providing essential services and hearing the following cases: Children's cases; review hearings; and permanency review hearings; Criminal cases; bench warrant hearings; bail denial hearings; motions for release; criminal trials; and sentencing hearings. All arraignments and pre-trial conferences were conducted as normal. Domestic Violence cases, statutory timelines require the first hearing to be held within 15 days. Judge Malcolm Laughing continues to telecommute from home, and all hearings are held telephonically.

## **2. Other significant accomplishments**

A. Due to COVID-19, Court Staff are on an on-call scheduling system, where only two or three staff are in the court building to mitigate exposure to COVID-19. Ramah court staff are self-monitoring before symptoms of the virus or the common cold before they report to work, and as they enter the building. Staff sanitize their hands and take their temperatures before going to their work stations. Court staff maintain a daily check out log for Police Officers, Social Services and Prosecutor for any incoming/outgoing inter-department mail. Court staff maintain a mail log for all incoming/outgoing mail which the Bailiff has responsibility to keep. Bailiff has been rotating to pick up and deliver court documents to Ramah Law Enforcement Services; and to handle the U.S. mail.

B. Approximately: 0 people signed in for services, 856 telephone calls logged in/out were received, and 06 incoming/outgoing fax services.

C. The Court Clerks continuously update the bench warrant list and update with Ramah Navajo Law & Enforcement on a monthly basis through telephonic and scanning the information to the Ramah Navajo Detention Department. Prepared closed cases for archiving and scanned 165 Criminal, Traffic Criminal/Civil and District Civil/Family and Children's cases. The Bailiff continues to make bank deposits (fines/fees & cash bond) in Gallup, NM at the Wells Fargo Bank.

D. This 3rd quarter: On June 24, 2021 Judge Laughing, Court Administrator and court staff hosted a virtual resource meeting. Court Administrator contacted all the resources and provided an opportunity to provide updates. Staff Attorney facilitated the meeting. The group participants included Pinehill Health Clinic, Pinehill Behavioral Health, Pinehill High School Principal & Counselor, DNA Legal Services, and the Courts.

E. Office Technician completed her 90-day probationary period, and has been converted to a permanent employee; on May 27, 2021 she completed her FMIS training and received her certificates of completion.

F. Ramah Navajo Law Enforcement and Judicial Complex: On April 7, 2021 Court Administrator participated in the Skype meeting regarding ARPA funding proposal for Infrastructure/Facilities; on May 21, 2021 Court Administrator attended a meeting at Tohajiilee Chapter regarding Ramah Navajo Law Enforcement/Public Safety Building & Ramah New Court Complex – the meeting was with Alamo, Tohajiilee and Ramah Chapter officials and Crownpoint Division of Public Safety representatives. On June 11, 2021 Court Administrator met with Chief Justice, Administrative Director to discuss clarifications on the

proposed Ramah New Court Complex. The estimated cost to complete the proposed project was clarified at \$10,228,348.28; and it is in the planning stage. This proposed project is being included in an ARPA funding proposal being developed by the Law & Order Committee, Division of Public Safety and the Judicial Branch.

G. Court Administrator attended Skype meetings and presentations representing the Ramah Judicial District: On May 3, and June 4, 2021, attended Court Administrator/Program Manager Skype meetings; and along with Staff Attorney attended the weekly branch teleconferences for COOP updates.

H. On May 25, 2021 AOC Maintenance Technicians completed the installation of plexiglass safety barriers inside the court building, and the administrative offices.

I. Monthly Staff Meetings: there were two monthly staff meeting held this quarter by teleconference, providing updates on court operations, the FY 2022 budget processes, and reminders to staff of the health and safety protocols and measures.

J. Ramah Chapter Meetings: On April 1, 2021 Court Administrator updated Chapter officials about the need to re-do the archaeological survey previously done by BIA Southwest Regional Office, Albuquerque Area Office; also discussed three cost quotations for the survey services.

K. Training: Court Administrator attended April 1, 2021 Road Map to Full Capacity training; April 15, 2021 training on Healthy Relationships for Harmony in the Workplace; and the April 22, 2021 training on Customer Service. Staff participated in the following virtual trainings: on April 29, 2021 the mandatory, annual Sexual Harassment Training; Healthy Relationships for Harmony in the Workplace; Legal Advice vs. Legal Information; Addiction and the Brain; and the annual Judicial Branch Employee Policies and Procedures training.

L. On May 6, 2021 Court Administrator participated in a meeting on the FY 2022 Budget Formulation Process.

### **3. Objectives to Be Accomplished in Next Quarter**

A. To participate in the FY 2022 Budget processing and development; and close out in timely manner.

B. To archive closed court cases.

C. To maintain the safety and welfare of the judicial district personnel, litigants and general public; promote safety in the workplace, and continue to provide essential services via teleconference and contact-less methods.

D. To facilitate the Ramah Judicial District Resource Meeting through virtual means to network and collaborate with local resources to improve services to the public.

## I. SHIPROCK JUDICIAL DISTRICT

### 1. Accomplishments of Objectives Set the Previous Quarter

A. Tse Bit'ai Criminal Justice Facility: On June 10, 2021 Court Administrator participated in a review meeting with Indigenous Design Studio+Architecture and consultant team. Conducted a review of the Court Building Room Program Data sheets and interior materials. Two interior accents pending selection.

On June 15, 2021 Court Administrator participated in a project meeting with the project team. Eugenia Charles-Newton, Navajo Nation Council Delegate, provided an update on the ARPA funding opportunities. Project team members and partners provided updates. The construction cost will be budgeted with the American Rescue Plan Act (ARPA), under a proposal being developed by the Law & Order Committee, Division of Public Safety, and Judicial Branch.

B. Continuity of Operations Plan: The Shiprock Judicial District continues to operate in accordance with the Continuity of Operations Plan (COOP), performing essential services, including beyond essential services. A total of 533 new filings were docketed this quarter, and 287 cases were closed.

C. Roadmap to Full Capacity Plan: The Shiprock Judicial District management continues to manage the district court services with guidance from the Roadmap to Full Capacity Plan. Building modification project is underway by the AOC Maintenance personnel. However, the district is not ready to move to Phase one of the Road Map to Full Capacity plan.

### 2. Other Significant Accomplishments

A. Continuation of the weekly Judicial Branch teleconference meetings held by executive staff and Chief Justice Jayne. The group is updated on programmatic information, district services, discussion and planning for the changes and needs, current budgetary updates, procurement, human resource updates, trainings, and message of encouragements.

B. Three FY 2022 Budget Work Sessions have been held during this quarter. The group has been planning and developing the FY 2022 General Funds budgets and including the American Rescue Plan Act (ARPA) proposals.

C. Judicial Hearing Officer Rodriguez Morris started assisting the Shiprock Judicial District by conducting hearings on domestic violence cases.

### **D. District's Essential Services Provided**

| Services                       | Received     | Sent Out   |
|--------------------------------|--------------|------------|
| Telephone Calls                | 1,615        |            |
| Fax Transmittals               | 17           | 5          |
| New Established Court's E-mail | 860          |            |
| On-Line Payment                | 0            |            |
| Call-In Payment                | 18           |            |
| Postal Mail / Drop Box         | 312 / 9      | 593        |
| <b>GRAND TOTAL</b>             | <b>2,831</b> | <b>598</b> |

### 3. Objectives to be Accomplished in the Next Quarter

- A. Finalize FY 2022 General Funds Budget and the American Rescue Plan Act proposal.
- B. Start Building Modification for safe work environment.
- C. Continue Training on the Continuity of Operation Plan.
- D. Continue Training on the Road Map to Full Capacity.
- E. Continuation – Tse Bit'ai Justice Center programming of the Court.
- F. Continuation – Conduct district caseload inventory for calendar year 2019.

### J. TO'HAJILEE - ALAMO JUDICIAL DISTRICT

#### 1. Accomplishment of objectives set the previous quarter

- A. No goals from last quarter. We maintained our preparedness during the COVID-19 pandemic.

#### 2. Other Significant Accomplishments

##### A. Healing to Wellness Court – Veterans Justice Outreach

Court Administrator was a presenter at the 11<sup>th</sup> Annual Tribal Healing to Wellness Court Virtual Enhancement Training sponsored by the Tribal Law and Policy Institute. Prep meeting was April 12, 2021.

Court Administrator was on a “veterans panel” on June 21, 2021, and then provided a solo presentation on June 25, 2021. Recordings of the presentation on the Healing to Wellness Court enhancement projects are available at the following link: <https://www.enhancementtraing.org/>

The focus was on how to build systems of care for existing health structures for veterans because there is a Memorandum of Understanding between the Veterans Affairs and the Indian Health Services.

The presentation features the continuation of the work that started with the 2013 Veterans Justice Outreach Project for the Healing to Wellness Court. The 2018 federal grant remains on-hold but the work continues through veterans' organizations and groups in the McKinley County area. The Cibola County Veterans Service Collaborative is another group in New Mexico comprised of Native American programs, federal and state organizations that work to improve services east of McKinley County. A meeting was held with these groups on May 6, 2021. The COVID-19 pandemic cannot stop the training and activities for veterans.

Court Administrator presenter her logic model to use when developing treatment courts, with the specific population of veterans that they want to serve. Some best practices were developed out of the logic model. The logic model is on file and available for future reference, or use by any other judicial district.

## **B. American Rescue Plan**

On April 27, 2021 Alamo and Tohajiilee Judicial Districts held separate strategic planning meetings to identify positions and equipment needed to conduct recovery effort from the COVID-19 pandemic. We considered what we needed for the screening of individuals who come to the court on a daily basis for information or to file cases. We also considered in-person hearings and what that would look like for safety reasons. One of the issues considered was getting a “self-help” desk going for pro se litigants since the NNBA in advocates and attorneys are limited in the Alamo and Tohajiilee communities. We also considered using hybrid plans for technology (in-person for some and using Skype for Business or WebEx for some witnesses or litigants). The plans for devolution would still remain intact so we would have to keep our devices hooked to the internet. These were the first sessions to include our court staff in the planning process.

## **C. Training**

On May 28, 2021, Court Administrator and Staff Attorney hosted the Judicial Branch Training Manager, AOC-IT, and Aneth Court Administrator to participate in a “brainstorming” activity for training needs for the branch. We formulated action steps to help the Training Manager develop an overall plan for court staff.

This was the start of training brainstorming and we want to schedule another meeting in the future. We are proposing to formally establish a training committee through the Judicial Conference. We will continue to work with the Training Manager to identify training needs.

## **D. Dikos Ntsaaigii – Nahast’eits’aadah, COVID-19**

Court Administrator monitored the data and trends, as it relates to re-opening for in-person hearings at the courts. On April 13, 2021 she attended a live briefing on the Johnson & Johnson vaccine. She also attended the live Navajo Nation President Nez town hall to get the data on where the trends are going with the Navajo Nation on April 21, 2021.

## **E. New Judicial Hearing Officer**

Court staff were introduced to the new Judicial Hearing Officer, Mr. Rodriguez Morris, who is based out of the Crownpoint Judicial District. Court Administrator set up dockets for Mr. Morris for Wednesdays and oriented the clerks with management of Domestic Abuse Protection Order filings and cases for Mr. Morris.

## **F. Multi-Purpose Justice Center Project Tohajiilee and Alamo Communities**

On April 15, 2021, Court Administrator attended an online meeting with the Navajo Nation Department of Corrections manager, Dr. Delores Greyeyes, Council Delegate Jamie Henio and various Ramah, Crownpoint police officers regarding the development of a multi-purpose justice center in Tohajiilee, New Mexico. However, the project turned into a police sub-station project.

## **G. Tohajiilee Chapter Meeting**

Court Administrator attended the June 8, and June 22, 2021 Tohajiilee Chapter meetings and provided an update.

## **H. Web Page Development for Problem Solving Courts**

On April 28, 2021, Court Administrator submitted the draft template of a web page for problem-solving courts.

### **3. Objectives to be accomplished in the next quarter**

- A. Provide Domestic Abuse Protection Act (DAPA) training to community and/or staff.
- B. Update Preparedness Plan for COVID-19 Pandemic Recover for both courts.

## **K. TUBA CITY JUDICIAL DISTRICT**

### **1. Accomplishment of Objectives during this quarter:**

A. General Staff Meeting. Court Administrator provided up-dates on court operations and shared information on Judicial Branch plans for telecommuting and equipment purchases. Also, shared with staff are administrative orders from the Office of Chief Justice with special emphasis on preventing the spread of COVID-19 Coronavirus, keeping office area cleaned for staff.

B. Court Clerks' Meeting. Court Administrator held telephonic court clerks' meetings to address case processing and case management issues. With all staff on rotating work schedules, it is imperative to coordinate and communicate with all staff. The court continues to receive a lot of incoming court documents from the post office, fax and the court's email. As directed by Chief Justice Jayne, the court continues to provide essential services and conduct telephonic court hearings.

C. Weekly Judicial Branch COOP Meetings. The Judicial Branch, on a weekly basis, met with key administrative staff. COOP Coordinator provides information the status of the COVID-19 pandemic and the latest up dates from the CDC guidelines. For the safety of the staff, the Judicial Branch in its facilities requires mandates on wearing masks, sanitizing work spaces and thorough cleaning of facilities. The Roadmap to re-opening are still in discussions stages.

D. Pro Se Training. Based on requests from the public and approval by Judge Clyde, the Tuba City Judicial District began its virtual pro se training in March 2021 for the public. Staff Attorney, with the assistance of Office Technician, provided four virtual (4) pro se trainings for the public. The pro se training included a probate, guardianship of a minor, name changes and family cases. The training is for individuals that wish to file pro se packets with the court. The individuals who want to represent themselves are required to attend pro se training so they are aware of what the law requires and their duties and responsibilities in court. A total of 44 participants attendance for the Pro Se training during this reporting period.

E. Justware Bond Management. On April 6, 2021, Programmer Support Specialist Melanie Price provided training for the court clerks, office technician and court administrator. Ms. Price covered the step by step processes in Justware on how to process collecting and vouchering bonds. This process also extended to working with name records.

F. Healthy Relationships for Harmony in the Workplace. Department of Behavioral Health, instructors; Natasha Bitsui, Prevention Specialist from Chinle , Alberta Curley, Prevention

Specialist from Newlands and Nelvin Tohonnie, Traditional Practitioner from Kaibeto, provided training for the Judicial Branch staff on healthy relationships for harmony in the workplace. All Tuba City court staff have attended the training.

G. Drug Trend Awareness to Support Tribal Justice. On April 13-14, 2021, the National Criminal Justice Training Center of Fox Valley Technical College provided the on-line training. The training focused on the Covid-19 pandemic. The drugs crisis reached epidemic proportion in many tribal communities across the nation. Specific challenges associated with drugs were identified and its affects. The bailiffs Carl Nez and Jonathan Nez attended the training.

H. Court Call with Kayenta Judicial District. On April 15, 2021, Court Administrator Lavonne Yazzie provided training on using Court Call. The training included an actual court hearing using court call. There were a lot of coordination the court and moderator prior to the hearing. The Defendant did not appear for the court hearing and we did not view that portion of the court proceedings with Court Call.

I. Justware Financial. On April 16, 2021, Programmer Support Specialist Melanie Price provided training for the court clerks, office technician and court administrator. Ms. Price covered the step by step processes in Justware on financial reporting in Justware.

J. Sexual Harassment Awareness and Prevention. On April 29, 2021, the Judicial Branch provided its annual required training for its employees. The training was provided by Training Manager Francine E. Bradley-Arthur. Eleven court staff attended and received their certificates.

K. Navajo Nation Employee Multi-Services Orientation. On May 11-12, 2021, Facilities Maintenance Technician Waymore Scott and Court Clerk Kristie Kewenvoyouma attended the virtual training. All new employee to the Navajo Nation and Judicial Branch are required to attend this training.

L. Dealing with Difficult People. May 25, 2021, Bailiff Carl Nez attended this training sponsored by Fred Pryor Leaning Seminars. The training focused on how to address and work with challenging situations. Communication is a focal point and staying calm.

M. Defensive Driving Course (DDC). The Navajo Department of Highway Safety provided the DDC for Navajo Nation employees with expired Navajo Nation Motor vehicle operator's permit. Staff Attorney Michael Bennett and Bailiff Carl Nez attended the DDC to renew their permits.

N. Time Management. On June 25, 2021, Training Manager Francine E. Brandley-Arthur provided the training to all Judicial Branch employees. The training focused on organization, prioritizing, reviewing agendas and preparing for tasks. The training was very a good reminder and informative. Ten court staff attended the training.

O. Legal Advice vs. Legal Information. On June 29, 2021, Staff Attorney Shawn Attakai provided virtual training for all Judicial Branch employees. Mr. Attakai used relevant examples of the topic, which were very enlightening and educational. He also gave a lot of examples in

the Navajo Language which was a plus to the overall training. Ten court staff attended the training.

P. Daily Visitor Sign-in and Metal Detector Count for Apr., May and Jun. 2021.

| FY2021 - Third Quarter Daily Visitor Sign-in Report |               |                  |                    |                  |                    |            |                 |                  |                |                         |                |                |       |                      |                        |
|---|---------------|------------------|--------------------|------------------|--------------------|------------|-----------------|------------------|----------------|-------------------------|----------------|----------------|-------|----------------------|------------------------|
| MONTH   | Court Hearing | Protection Order | Pay Fine/Cash Bond | Filing Documents | Obtain Information | Check Mail | Attend Training | Background Check | Oath of Office | Maintenance of Building | Attend Meeting | Other Hearings | Other | Total Clients Served | Metal Detector Reading |
| Apr - 2021  | 0             | 0                | 0                  | 0                | 0                  | 0          | 0               | 0                | 0              | 0                       | 0              | 0              | 0     | 0                    | 0                      |
| May - 2021  | 0             | 0                | 0                  | 0                | 0                  | 0          | 0               | 0                | 0              | 0                       | 0              | 0              | 0     | 0                    | 0                      |
| Jun - 2021  | 0             | 0                | 0                  | 0                | 0                  | 0          | 0               | 0                | 0              | 0                       | 0              | 0              | 0     | 0                    | 0                      |
| <b>Total:</b>                                       | 0             | 0                | 0                  | 0                | 0                  | 0          | 0               | 0                | 0              | 0                       | 0              | 0              | 0     | 0                    | 0                      |

Q. Request for court documents and audio for Apr., May, and Jun. 2021.

| Month:                              | Apr - 2021 | May - 2021 | Jun - 2021 | Total:    |
|-------------------------------------|------------|------------|------------|-----------|
| <b>Total Court Document Request</b> | <b>11</b>  | <b>5</b>   | <b>4</b>   | <b>20</b> |
| Completed documents Request         | 5          | 4          | 4          | 13        |
| Pending Document Request            | 6          | 1          | 0          | 7         |
| <b>Total Audio Request</b>          | <b>2</b>   | <b>2</b>   | <b>0</b>   | <b>4</b>  |
| Completed Audio Request             | 2          | 2          | 0          | 4         |
| Pending Audio Request               | 0          | 0          | 0          | 0         |



## **2. Other Significant Accomplishments:**

A. Oath of Office for Chapter Officials. On April 9, 2021, the Honorable Victor Clyde administered the Oath Office for new Chapter President Vernice R. Wagner from Tsidii To'ii Chapter and Farm Board Member Herbert Sahne from To'Nazees Dizi Chapter representing Bodaway/Gap/Cameron and Coalmine Canyon Chapters. Due to the court not being opened for in-person services to public, the ceremony took place outside by the encircled common area at the Tuba City Judicial Public Safety Complex.

B. Wise Choice Alternatives. With coordination by the Honorable Victor Clyde and Wise Choice Alternative President Bill Sutton, the Tuba City Judicial District began sending referrals for counseling services for defendants. The courses offered focuses on values and traditions. The balance within the family and community are key focus points. All classes are virtual and registrations are on-line. Upon completion of courses, students are issued certificates of competition which they send back to court.

C. Chinle Navajo Law Enforcement - Oath of Office. On June 24, 2021, the Honorable Victor Clyde administered the Oath of Office to three Sergeants promoted to the Criminal Investigation in the Chinle Department of Law Enforcement. They are Sergeant Tsosie, Sergeant Haven and Sergeants Grandson. Congratulations and thank you for your continued services to the Navajo people.

D. Judicial Conference. Office of the Chief Justice, virtually held its 3<sup>rd</sup> Quarter Judicial Conference was held virtually on June 29, 2021. Presentations were provided the temporary protection order, service of process and telecommuting policy. COOP Coordinator gave a report on Roadmap to Reopening and Fiscal Services Manager gave a report on FY 2022 budgets. The Honorable Victor Clyde attended the Judicial Branch conference. Chief Justice JoAnn Jayne and the Associate Justices facilitated the meeting.

E. Court Call. Tuba City Judicial District utilized the services of CourtCall.com to schedule four court proceedings. Court Administrator made the initial scheduling of the cases with CourtCall.com. The participating courts are provided with the necessary equipment to access CourtCall's remote appearance. The parties contact CourtCall or visit the website to schedule their court appearance. On the day of the court hearing, with the assistance of the moderator, the parties connect as instructed and wait for their cases to be called. When the Judge takes the bench, it's business as usual and those making a court call appearance are brought live when their case is called.

## **3. Objectives of Accomplish in the Next Quarter:**

- A. To review court operations and processes to become more efficient and to eliminate duplication of services for court.
- B. To close adjudicated cases.
- C. To arrange for telephonic court hearings for essential cases.

## **L. WINDOW ROCK JUDICIAL DISTRICT**

### **1. Accomplishment of Objectives during this quarter:**

A. Window Rock Judicial District is taking preventive measures but still providing essential services and hearing the following necessary cases: Children's cases: shelter care hearings, preliminary hearings, adjudicatory hearings, dispositional hearings, and review hearings (if they are within six months). Criminal cases: bench warrant hearings, bail denial hearings, habeas corpus relief, motions for release, criminal trials, and sentencing hearings. All arraignments and pre-trial conferences were conducted as normal hearing. Civil cases: Involuntary commitments; repossession hearings, if statutory timelines demand it. Domestic violence cases: statutory timelines require the first hearing to be heard within 15 days or less.

Court documents are received by dropbox, postal mail, electronic mail, and fax. Staff are on a rotating schedule to come into the court to answer telephone calls, process fines and fee payments, process postal mail, process filings, and to clerk hearings. They are doing an extraordinary job in continuing to provide services to the public with the bare essentials.

B. Judge Malcolm Begay is the only judge presiding over all Window Rock Judicial Family and District Court cases, on all Navajo Nation complex and district civil cases. Judge Begay continues to conduct all hearings telephonically. Judge Begay continues to participate and assist with discussions on cases that are assigned by Chief Justice and the Supreme Court. Judge Begay also continues to assist and preside on court cases for the Dilkon and Kayenta Courts. Judge Malcolm Laughing from the Ramah Judicial District has volunteered to assist the Window Rock district and presided/ heard cases from April to June 2021. He is currently handling the children's cases until September 2021.

C. Judge Begay attended the quarterly Judicial Conference via Skype for Business. The Court Administrators and Program Managers were not included in the discussions at the Judicial Conferences.

D. Judge Begay administered Oaths of Office to various personnel, such as the Private Process Servers, Navajo Nation Police Officers, and Navajo Nation Corrections Officers via Skype for Business.

E. Court Administrator and Judge Begay participated in the weekly Business Skype meeting Re: COOP WEEKLY UPDATES; the Chief Justice's support staff provides status of operations updates; Communications: Teleconference, Email, and PPE; supplies: Job reassignments as necessary. Also there are discussions on opening the courts to the public for court services.

F. The Court Administrator attended Window Rock Judicial District Modular Building Project meetings with the selected vendor, Nez/FCI, and other Judicial Branch personnel. The Law & Order Committee passed Resolution 021-20 to appropriate funds for a new modular building for the Window Rock court and Probation & Parole Services. The project contract is currently being reviewed and going through the 164 processes for approval. Court Administrator will continue to monitor the progress once the contract is approved and construction commences.

G. Staff Attorney assists in closing out the district court's case backlog and drafts orders for Chinle District Judge Thompson and Judge Begay. Staff Attorney also assists Court Administrator with legal questions concerning employment on Judicial Branch Employee Policies and Procedures matters. Staff Attorney also assists with legal research and questions on civil case matters.

H. The Window Rock Judicial District staff continue to be housed in the Administrative Office of Court conference rooms, and the Window Rock Judicial District is still displaced.

I. The Window Rock Judicial District and the Administrative Offices of the Courts have been planning and preparing to re-open the courts for public services. For the staff's safety, minimal renovations are being constructed to include plexiglass barriers for staff work stations to assist with physical distancing and a safe work environment. The case court schedule is also being assessed to establish a workable court schedule to ensure staff and public safety. Due to the large caseload volume, the Window Rock Judicial District is planning and scheduling into the calendar year 2022.

J. The Window Rock Judicial District has five (5) vacant positions: District Judges, court clerk, and bailiff. The Human Resources Office continues to advertise the positions. The Window Rock Judicial District hired two (2) Court Clerks, Ms. Thomasine Monroe and Ms. Larrilene Gishie, and they have completed their 90-day probationary periods and are pending conversion to permanent employment.

## **2. Other Significant Accomplishments:**

A. Through the court's email, a total of 1,067 people registered and utilized this service during this reporting period. This number represent inquiries for court hearings and services. Additional services were provided to individuals calling the court; however, no log is kept regarding this public service.

B. There were 308 Family Court hearings and a total of 317 District Court hearings for this quarter.

C. For the third quarter there were 29 document requests made, and 18 requests were completed. It should be noted that while the completed requests were limited, it can be attributed to the fact that most records are archived and need to be researched. This process is time consuming and a challenge due to the limited number of court staff assigned to our District.

D. Peacemaking Program's Traditional Program Specialist is taking preventative measures for the safety of staff & litigants due to the COVID-19 pandemic and did not provide the Life Value Workshop for the Defendants.

F. Court Administrator and Judge Begay participated in the weekly teleconferences for branch leadership. The Chief Justice's support staff provides weekly updates. Also, there are discussions on re-opening the courts to the public for court services.

G. In the event that Budgetary Carry-over is approved, the Window Rock Judicial District will be requesting funds to hire a temporary or permanent Court Clerk and Archiving Clerk to assist staff with the caseload and a Bailiff to provide adequate court security.

### **3. Objectives to be Accomplished:**

A. Staff training with the limited funds available is always a challenge; however, identifying training resources to benefit the staff remains a goal. The essential training required and needed is the Active Shooter, First Responders, CPR, Incident Command, and Suicide Prevention for all staff. The Court Administrator will continue to provide technical assistance to the court clerks, bailiffs, and office technicians.

B. The Window Rock Judicial District is recruiting qualified applicants for the vacant Judges' positions. The district requires the assistance of another judge to handle the district's caseload. One full-time judge is not enough to manage the district's cases. The district needs two additional full-time judges.

## **VIII. JUDICIAL CONDUCT COMMISSION**

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In the third quarter of FY 2021, regular activities of the Judicial Conduct Commission ("JCC") were affected by the public health emergency. Trainings, work sessions and meetings were not regularly held due to the pandemic. The following are some of the activities of the Judicial Conduct Commission during the FY 2021 Third Quarter.

- A. Judicial Conduct Commission members are Chairman Robert Yazzie, Vice-Chairman Dr. Manley Begay, Jr., Judy Apachee, Dr. Raymond Austin, and Rhonda Tuni.
- B. The Judicial Conduct Commission sponsored an advertisement with the Navajo Times to educate the public on temporary protection orders and contact information for the district courts during the public health emergency. The display ad ran Thursday, April 22, 2021.
- C. Government Relations Officer (GRO) and the Human Resources Director assisted the Commission with its work. There are no staff assigned to assist with certain administrative functions, such as purchasing items and other matters. The lack of staff continues to be a challenge that will need to be addressed.

## IX. CASELOAD AND STATISTICAL DATA

### FY2021 THIRD QUARTER OVERALL STATISTICS BY LOCATION

| LOCATION           | Brought Forward |               | Filed        |               | Caseload      |               | Closed Cases |               | Pending       |               |
|--------------------|-----------------|---------------|--------------|---------------|---------------|---------------|--------------|---------------|---------------|---------------|
| Supreme Court      | 68              | 0.3%          | 23           | 0.5%          | 91            | 0.3%          | 27           | 0.7%          | 64            | 0.2%          |
| Alamo              | 106             | 0.4%          | 12           | 0.2%          | 118           | 0.4%          | 19           | 0.5%          | 99            | 0.4%          |
| Aneth              | 571             | 2.3%          | 37           | 0.7%          | 608           | 2.0%          | 54           | 1.4%          | 554           | 2.1%          |
| Chinle             | 1,397           | 5.6%          | 503          | 10.0%         | 1,900         | 6.3%          | 262          | 7.0%          | 1,638         | 6.2%          |
| Crownpoint         | 2,570           | 10.2%         | 632          | 12.6%         | 3,202         | 10.6%         | 409          | 10.9%         | 2,793         | 10.6%         |
| Dilkon             | 2,933           | 11.7%         | 312          | 6.2%          | 3,245         | 10.8%         | 42           | 1.1%          | 3,203         | 12.2%         |
| Dzit Yijiin        | 567             | 2.3%          | 174          | 3.5%          | 741           | 2.5%          | 90           | 2.4%          | 651           | 2.5%          |
| Kayenta            | 2,518           | 10.0%         | 631          | 12.6%         | 3,149         | 10.5%         | 679          | 18.1%         | 2,470         | 9.4%          |
| Pueblo Pintado     | 227             | 0.9%          | 40           | 0.8%          | 267           | 0.9%          | 27           | 0.7%          | 240           | 0.9%          |
| Ramah              | 1,858           | 7.4%          | 248          | 5.0%          | 2,106         | 7.0%          | 113          | 3.0%          | 1,993         | 7.6%          |
| Shiprock           | 2,871           | 11.4%         | 533          | 10.6%         | 3,404         | 11.3%         | 287          | 7.6%          | 3,117         | 11.8%         |
| To'hajilee         | 400             | 1.6%          | 35           | 0.7%          | 435           | 1.4%          | 22           | 0.6%          | 413           | 1.6%          |
| Tuba City          | 2,713           | 10.8%         | 747          | 14.9%         | 3,460         | 11.5%         | 783          | 20.8%         | 2,677         | 10.2%         |
| Window Rock        | 3,635           | 14.5%         | 283          | 5.7%          | 3,918         | 13.0%         | 309          | 8.2%          | 3,609         | 13.7%         |
| Probation Services | 2,447           | 9.8%          | 723          | 14.4%         | 3,170         | 10.5%         | 539          | 14.4%         | 2,631         | 10.0%         |
| Peacemaking        | 203             | 0.8%          | 74           | 1.5%          | 277           | 0.9%          | 94           | 2.5%          | 183           | 0.7%          |
| <b>TOTAL</b>       | <b>25,084</b>   | <b>100.0%</b> | <b>5,007</b> | <b>100.0%</b> | <b>30,091</b> | <b>100.0%</b> | <b>3,756</b> | <b>100.0%</b> | <b>26,335</b> | <b>100.0%</b> |

### FY2021 THIRD QUARTER OVERALL STATISTICS BY CASE TYPE

| CASE TYPE         | Brought Forward |               | Filed        |               | Caseload      |               | Closed Cases |               | Pending       |               |
|-------------------|-----------------|---------------|--------------|---------------|---------------|---------------|--------------|---------------|---------------|---------------|
| Civil             | 1,271           | 5.1%          | 69           | 1.4%          | 1,340         | 4.5%          | 70           | 1.9%          | 1,270         | 4.8%          |
| Criminal          | 8,661           | 34.5%         | 909          | 18.2%         | 9,570         | 31.8%         | 745          | 19.8%         | 8,825         | 33.5%         |
| Civil Traffic     | 6,474           | 25.8%         | 2,146        | 42.9%         | 8,620         | 28.6%         | 1,395        | 37.1%         | 7,225         | 27.4%         |
| Criminal Traffic  | 1,633           | 6.5%          | 164          | 3.3%          | 1,797         | 6.0%          | 122          | 3.2%          | 1,675         | 6.4%          |
| Family Civil      | 2,557           | 10.2%         | 243          | 4.9%          | 2,800         | 9.3%          | 186          | 5.0%          | 2,614         | 9.9%          |
| Domestic Violence | 1,157           | 4.6%          | 603          | 12.0%         | 1,760         | 5.8%          | 522          | 13.9%         | 1,238         | 4.7%          |
| Dependency        | 419             | 1.7%          | 42           | 0.8%          | 461           | 1.5%          | 42           | 1.1%          | 419           | 1.6%          |
| Delinquency       | 154             | 0.6%          | 7            | 0.1%          | 161           | 0.5%          | 12           | 0.3%          | 149           | 0.6%          |
| CHINS             | 40              | 0.2%          | 4            | 0.1%          | 44            | 0.1%          | 2            | 0.1%          | 42            | 0.2%          |
| Supreme Court     | 68              | 0.3%          | 23           | 0.5%          | 91            | 0.3%          | 27           | 0.7%          | 64            | 0.2%          |
| Probation/Parole  | 2,447           | 9.8%          | 723          | 14.4%         | 3,170         | 10.5%         | 539          | 14.4%         | 2,631         | 10.0%         |
| Peacemaking       | 203             | 0.8%          | 74           | 1.5%          | 277           | 0.9%          | 94           | 2.5%          | 183           | 0.7%          |
| <b>TOTAL</b>      | <b>25,084</b>   | <b>100.0%</b> | <b>5,007</b> | <b>100.0%</b> | <b>30,091</b> | <b>100.0%</b> | <b>3,756</b> | <b>100.0%</b> | <b>26,335</b> | <b>100.0%</b> |

### SUPREME COURT OF THE NAVAJO NATION

| Case Type               | Brought Forward |             | Filed     |             | Reconsiderations |                | Caseload  |             | Closed Cases |             | Pending   |             |
|-------------------------|-----------------|-------------|-----------|-------------|------------------|----------------|-----------|-------------|--------------|-------------|-----------|-------------|
| Civil                   | 63              | 93%         | 7         | 30%         | 0                | #DIV/0!        | 70        | 77%         | 12           | 44%         | 58        | 91%         |
| Criminal                | 2               | 3%          | 0         | 0%          | 0                | #DIV/0!        | 2         | 2%          | 0            | 0%          | 2         | 3%          |
| NNBA                    | 0               | 0%          | 16        | 70%         | 0                | #DIV/0!        | 16        | 18%         | 15           | 56%         | 1         | 2%          |
| Special Proceedings     | 3               | 4%          | 0         | 0%          | 0                | #DIV/0!        | 3         | 3%          | 0            | 0%          | 3         | 5%          |
| <b>Quarter Caseload</b> | <b>68</b>       | <b>100%</b> | <b>23</b> | <b>100%</b> | <b>0</b>         | <b>#DIV/0!</b> | <b>91</b> | <b>100%</b> | <b>27</b>    | <b>100%</b> | <b>64</b> | <b>100%</b> |

### ALAMO COURT

| Case Type               | Brought Forward |               | Filed     |               | Caseload   |               | Closed Cases |               | Pending   |               |
|-------------------------|-----------------|---------------|-----------|---------------|------------|---------------|--------------|---------------|-----------|---------------|
| Civil                   | 23              | 21.7%         | 0         | 0.0%          | 23         | 19.5%         | 1            | 5.3%          | 22        | 22.2%         |
| Criminal                | 49              | 46.2%         | 0         | 0.0%          | 49         | 41.5%         | 5            | 26.3%         | 44        | 44.4%         |
| Civil Traffic           | 4               | 3.8%          | 0         | 0.0%          | 4          | 3.4%          | 1            | 5.3%          | 3         | 3.0%          |
| Criminal Traffic        | 0               | 0.0%          | 0         | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0         | 0.0%          |
| <b>District Total</b>   | <b>76</b>       |               | <b>0</b>  |               | <b>76</b>  |               | <b>7</b>     |               | <b>69</b> |               |
| Family Civil            | 21              | 19.8%         | 6         | 50.0%         | 27         | 22.9%         | 6            | 31.6%         | 21        | 21.2%         |
| Domestic Violence       | 1               | 0.9%          | 5         | 41.7%         | 6          | 5.1%          | 5            | 26.3%         | 1         | 1.0%          |
| Dependency              | 1               | 0.9%          | 1         | 8.3%          | 2          | 1.7%          | 1            | 5.3%          | 1         | 1.0%          |
| Delinquency             | 0               | 0.0%          | 0         | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0         | 0.0%          |
| CHINS                   | 7               | 6.6%          | 0         | 0.0%          | 7          | 5.9%          | 0            | 0.0%          | 7         | 7.1%          |
| <b>Family Total</b>     | <b>30</b>       |               | <b>12</b> |               | <b>42</b>  |               | <b>12</b>    |               | <b>30</b> |               |
| <b>Quarter Caseload</b> | <b>106</b>      | <b>100.0%</b> | <b>12</b> | <b>100.0%</b> | <b>118</b> | <b>100.0%</b> | <b>19</b>    | <b>100.0%</b> | <b>99</b> | <b>100.0%</b> |

**ANETH JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed     |               | Caseload   |               | Closed Cases |               | Pending    |               |
|-------------------------|-----------------|---------------|-----------|---------------|------------|---------------|--------------|---------------|------------|---------------|
| Civil                   | 33              | 5.8%          | 1         | 2.7%          | 34         | 5.6%          | 8            | 14.8%         | 26         | 4.7%          |
| Criminal                | 245             | 42.9%         | 12        | 32.4%         | 257        | 42.3%         | 12           | 22.2%         | 245        | 44.2%         |
| Civil Traffic           | 58              | 10.2%         | 3         | 8.1%          | 61         | 10.0%         | 2            | 3.7%          | 59         | 10.6%         |
| Criminal Traffic        | 53              | 9.3%          | 3         | 8.1%          | 56         | 9.2%          | 4            | 7.4%          | 52         | 9.4%          |
| <b>District Total</b>   | <b>389</b>      |               | <b>19</b> |               | <b>408</b> |               | <b>26</b>    |               | <b>382</b> |               |
| Family Civil            | 116             | 20.3%         | 9         | 24.3%         | 125        | 20.6%         | 7            | 13.0%         | 118        | 21.3%         |
| Domestic Violence       | 57              | 10.0%         | 9         | 24.3%         | 66         | 10.9%         | 20           | 37.0%         | 46         | 8.3%          |
| Dependency              | 8               | 1.4%          | 0         | 0.0%          | 8          | 1.3%          | 1            | 1.9%          | 7          | 1.3%          |
| Delinquency             | 1               | 0.2%          | 0         | 0.0%          | 1          | 0.2%          | 0            | 0.0%          | 1          | 0.2%          |
| CHINS                   | 0               | 0.0%          | 0         | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0          | 0.0%          |
| <b>Family Total</b>     | <b>182</b>      |               | <b>18</b> |               | <b>200</b> |               | <b>28</b>    |               | <b>172</b> |               |
| <b>Quarter Caseload</b> | <b>571</b>      | <b>100.0%</b> | <b>37</b> | <b>100.0%</b> | <b>608</b> | <b>100.0%</b> | <b>54</b>    | <b>100.0%</b> | <b>554</b> | <b>100.0%</b> |

**CHINLE JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 101             | 7.2%          | 9          | 1.8%          | 110          | 5.8%          | 4            | 1.5%          | 106          | 6.5%          |
| Criminal                | 437             | 31.3%         | 116        | 23.1%         | 553          | 29.1%         | 81           | 30.9%         | 472          | 28.8%         |
| Civil Traffic           | 370             | 26.5%         | 240        | 47.7%         | 610          | 32.1%         | 80           | 30.5%         | 530          | 32.4%         |
| Criminal Traffic        | 117             | 8.4%          | 29         | 5.8%          | 146          | 7.7%          | 16           | 6.1%          | 130          | 7.9%          |
| <b>District Total</b>   | <b>1,025</b>    |               | <b>394</b> |               | <b>1,419</b> |               | <b>181</b>   |               | <b>1,238</b> |               |
| Family Civil            | 157             | 11.2%         | 33         | 6.6%          | 190          | 10.0%         | 27           | 10.3%         | 163          | 10.0%         |
| Domestic Violence       | 128             | 9.2%          | 66         | 13.1%         | 194          | 10.2%         | 48           | 18.3%         | 146          | 8.9%          |
| Dependency              | 45              | 3.2%          | 7          | 1.4%          | 52           | 2.7%          | 3            | 1.1%          | 49           | 3.0%          |
| Delinquency             | 37              | 2.6%          | 2          | 0.4%          | 39           | 2.1%          | 1            | 0.4%          | 38           | 2.3%          |
| CHINS                   | 5               | 0.4%          | 1          | 0.2%          | 6            | 0.3%          | 2            | 0.8%          | 4            | 0.2%          |
| <b>Family Total</b>     | <b>372</b>      |               | <b>109</b> |               | <b>481</b>   |               | <b>81</b>    |               | <b>400</b>   |               |
| <b>Quarter Caseload</b> | <b>1,397</b>    | <b>100.0%</b> | <b>503</b> | <b>100.0%</b> | <b>1,900</b> | <b>100.0%</b> | <b>262</b>   | <b>100.0%</b> | <b>1,638</b> | <b>100.0%</b> |

**CROWNPOINT JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 51              | 2.0%          | 9          | 1.4%          | 60           | 1.9%          | 5            | 1.2%          | 55           | 2.0%          |
| Criminal                | 1,349           | 52.5%         | 114        | 18.0%         | 1,463        | 45.7%         | 28           | 6.8%          | 1,435        | 51.4%         |
| Civil Traffic           | 341             | 13.3%         | 321        | 50.8%         | 662          | 20.7%         | 240          | 58.7%         | 422          | 15.1%         |
| Criminal Traffic        | 213             | 8.3%          | 13         | 2.1%          | 226          | 7.1%          | 8            | 2.0%          | 218          | 7.8%          |
| <b>District Total</b>   | <b>1,954</b>    |               | <b>457</b> |               | <b>2,411</b> |               | <b>281</b>   |               | <b>2,130</b> |               |
| Family Civil            | 271             | 10.5%         | 34         | 5.4%          | 305          | 9.5%          | 18           | 4.4%          | 287          | 10.3%         |
| Domestic Violence       | 233             | 9.1%          | 134        | 21.2%         | 367          | 11.5%         | 109          | 26.7%         | 258          | 9.2%          |
| Dependency              | 85              | 3.3%          | 4          | 0.6%          | 89           | 2.8%          | 1            | 0.2%          | 88           | 3.2%          |
| Delinquency             | 25              | 1.0%          | 3          | 0.5%          | 28           | 0.9%          | 0            | 0.0%          | 28           | 1.0%          |
| CHINS                   | 2               | 0.1%          | 0          | 0.0%          | 2            | 0.1%          | 0            | 0.0%          | 2            | 0.1%          |
| <b>Family Total</b>     | <b>616</b>      |               | <b>175</b> |               | <b>791</b>   |               | <b>128</b>   |               | <b>663</b>   |               |
| <b>Quarter Caseload</b> | <b>2,570</b>    | <b>100.0%</b> | <b>632</b> | <b>100.0%</b> | <b>3,202</b> | <b>100.0%</b> | <b>409</b>   | <b>100.0%</b> | <b>2,793</b> | <b>100.0%</b> |

**DILKON JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 81              | 2.8%          | 0          | 0.0%          | 81           | 2.5%          | 0            | 0.0%          | 81           | 2.5%          |
| Criminal                | 765             | 26.1%         | 68         | 21.8%         | 833          | 25.7%         | 8            | 19.0%         | 825          | 25.8%         |
| Civil Traffic           | 1,303           | 44.4%         | 215        | 68.9%         | 1,518        | 46.8%         | 1            | 2.4%          | 1,517        | 47.4%         |
| Criminal Traffic        | 75              | 2.6%          | 2          | 0.6%          | 77           | 2.4%          | 1            | 2.4%          | 76           | 2.4%          |
| <b>District Total</b>   | <b>2,224</b>    |               | <b>285</b> |               | <b>2,509</b> |               | <b>10</b>    |               | <b>2,499</b> |               |
| Family Civil            | 351             | 12.0%         | 9          | 2.9%          | 360          | 11.1%         | 10           | 23.8%         | 350          | 10.9%         |
| Domestic Violence       | 295             | 10.1%         | 17         | 5.4%          | 312          | 9.6%          | 19           | 45.2%         | 293          | 9.1%          |
| Dependency              | 46              | 1.6%          | 1          | 0.3%          | 47           | 1.4%          | 0            | 0.0%          | 47           | 1.5%          |
| Delinquency             | 17              | 0.6%          | 0          | 0.0%          | 17           | 0.5%          | 3            | 7.1%          | 14           | 0.4%          |
| CHINS                   | 0               | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0            | 0.0%          | 0            | 0.0%          |
| <b>Family Total</b>     | <b>709</b>      |               | <b>27</b>  |               | <b>736</b>   |               | <b>32</b>    |               | <b>704</b>   |               |
| <b>Quarter Caseload</b> | <b>2,933</b>    | <b>100.0%</b> | <b>312</b> | <b>100.0%</b> | <b>3,245</b> | <b>100.0%</b> | <b>42</b>    | <b>100.0%</b> | <b>3,203</b> | <b>100.0%</b> |

**DZİŁ YIJIIN JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload   |               | Closed Cases |               | Pending    |               |
|-------------------------|-----------------|---------------|------------|---------------|------------|---------------|--------------|---------------|------------|---------------|
| Civil                   | 9               | 1.6%          | 4          | 2.3%          | 13         | 1.8%          | 5            | 5.6%          | 8          | 1.2%          |
| Criminal                | 240             | 42.3%         | 85         | 48.9%         | 325        | 43.9%         | 35           | 38.9%         | 290        | 44.5%         |
| Civil Traffic           | 223             | 39.3%         | 1          | 0.6%          | 224        | 30.2%         | 1            | 1.1%          | 223        | 34.3%         |
| Criminal Traffic        | 17              | 3.0%          | 17         | 9.8%          | 34         | 4.6%          | 4            | 4.4%          | 30         | 4.6%          |
| <b>District Total</b>   | <b>489</b>      |               | <b>107</b> |               | <b>596</b> |               | <b>45</b>    |               | <b>551</b> |               |
| Family Civil            | 18              | 3.2%          | 22         | 12.6%         | 40         | 5.4%          | 8            | 8.9%          | 32         | 4.9%          |
| Domestic Violence       | 4               | 0.7%          | 38         | 21.8%         | 42         | 5.7%          | 34           | 37.8%         | 8          | 1.2%          |
| Dependency              | 51              | 9.0%          | 5          | 2.9%          | 56         | 7.6%          | 2            | 2.2%          | 54         | 8.3%          |
| Delinquency             | 2               | 0.4%          | 0          | 0.0%          | 2          | 0.3%          | 1            | 1.1%          | 1          | 0.2%          |
| CHINS                   | 3               | 0.5%          | 2          | 1.1%          | 5          | 0.7%          | 0            | 0.0%          | 5          | 0.8%          |
| <b>Family Total</b>     | <b>78</b>       |               | <b>67</b>  |               | <b>145</b> |               | <b>45</b>    |               | <b>100</b> |               |
| <b>Quarter Caseload</b> | <b>567</b>      | <b>100.0%</b> | <b>174</b> | <b>100.0%</b> | <b>741</b> | <b>100.0%</b> | <b>90</b>    | <b>100.0%</b> | <b>651</b> | <b>100.0%</b> |

**KAYENTA JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 45              | 1.8%          | 4          | 0.6%          | 49           | 1.6%          | 7            | 1.0%          | 42           | 1.7%          |
| Criminal                | 1,713           | 68.0%         | 83         | 13.2%         | 1,796        | 57.0%         | 232          | 34.2%         | 1,564        | 63.3%         |
| Civil Traffic           | 251             | 10.0%         | 436        | 69.1%         | 687          | 21.8%         | 324          | 47.7%         | 363          | 14.7%         |
| Criminal Traffic        | 331             | 13.1%         | 22         | 3.5%          | 353          | 11.2%         | 32           | 4.7%          | 321          | 13.0%         |
| <b>District Total</b>   | <b>2,340</b>    |               | <b>545</b> |               | <b>2,885</b> |               | <b>595</b>   |               | <b>2,290</b> |               |
| Family Civil            | 101             | 4.0%          | 31         | 4.9%          | 132          | 4.2%          | 28           | 4.1%          | 104          | 4.2%          |
| Domestic Violence       | 22              | 0.9%          | 48         | 7.6%          | 70           | 2.2%          | 51           | 7.5%          | 19           | 0.8%          |
| Dependency              | 45              | 1.8%          | 7          | 1.1%          | 52           | 1.7%          | 5            | 0.7%          | 47           | 1.9%          |
| Delinquency             | 7               | 0.3%          | 0          | 0.0%          | 7            | 0.2%          | 0            | 0.0%          | 7            | 0.3%          |
| CHINS                   | 3               | 0.1%          | 0          | 0.0%          | 3            | 0.1%          | 0            | 0.0%          | 3            | 0.1%          |
| <b>Family Total</b>     | <b>178</b>      |               | <b>86</b>  |               | <b>264</b>   |               | <b>84</b>    |               | <b>180</b>   |               |
| <b>Quarter Caseload</b> | <b>2,518</b>    | <b>100.0%</b> | <b>631</b> | <b>100.0%</b> | <b>3,149</b> | <b>100.0%</b> | <b>679</b>   | <b>100.0%</b> | <b>2,470</b> | <b>100.0%</b> |

**PUEBLO PINTADO COURT**

| Case Type               | Brought Forward |               | Filed     |               | Caseload   |               | Closed Cases |               | Pending    |               |
|-------------------------|-----------------|---------------|-----------|---------------|------------|---------------|--------------|---------------|------------|---------------|
| Civil                   | 8               | 3.5%          | 0         | 0.0%          | 8          | 3.0%          | 2            | 7.4%          | 6          | 2.5%          |
| Criminal                | 165             | 72.7%         | 7         | 17.5%         | 172        | 64.4%         | 0            | 0.0%          | 172        | 71.7%         |
| Civil Traffic           | 9               | 4.0%          | 7         | 17.5%         | 16         | 6.0%          | 6            | 22.2%         | 10         | 4.2%          |
| Criminal Traffic        | 8               | 3.5%          | 0         | 0.0%          | 8          | 3.0%          | 0            | 0.0%          | 8          | 3.3%          |
| <b>District Total</b>   | <b>190</b>      |               | <b>14</b> |               | <b>204</b> |               | <b>8</b>     |               | <b>196</b> |               |
| Family Civil            | 17              | 7.5%          | 8         | 20.0%         | 25         | 9.4%          | 5            | 18.5%         | 20         | 8.3%          |
| Domestic Violence       | 14              | 6.2%          | 17        | 42.5%         | 31         | 11.6%         | 13           | 48.1%         | 18         | 7.5%          |
| Dependency              | 5               | 2.2%          | 1         | 2.5%          | 6          | 2.2%          | 0            | 0.0%          | 6          | 2.5%          |
| Delinquency             | 1               | 0.4%          | 0         | 0.0%          | 1          | 0.4%          | 1            | 3.7%          | 0          | 0.0%          |
| CHINS                   | 0               | 0.0%          | 0         | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0          | 0.0%          |
| <b>Family Total</b>     | <b>37</b>       |               | <b>26</b> |               | <b>63</b>  |               | <b>19</b>    |               | <b>44</b>  |               |
| <b>Quarter Caseload</b> | <b>227</b>      | <b>100.0%</b> | <b>40</b> | <b>100.0%</b> | <b>267</b> | <b>100.0%</b> | <b>27</b>    | <b>100.0%</b> | <b>240</b> | <b>100.0%</b> |

**RAMAH JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 5               | 0.3%          | 1          | 0.4%          | 6            | 0.3%          | 1            | 0.9%          | 5            | 0.3%          |
| Criminal                | 514             | 27.7%         | 35         | 14.1%         | 549          | 26.1%         | 21           | 18.6%         | 528          | 26.5%         |
| Civil Traffic           | 1,070           | 57.6%         | 192        | 77.4%         | 1,262        | 59.9%         | 78           | 69.0%         | 1,184        | 59.4%         |
| Criminal Traffic        | 77              | 4.1%          | 2          | 0.8%          | 79           | 3.8%          | 1            | 0.9%          | 78           | 3.9%          |
| <b>District Total</b>   | <b>1,666</b>    |               | <b>230</b> |               | <b>1,896</b> |               | <b>101</b>   |               | <b>1,795</b> |               |
| Family Civil            | 106             | 5.7%          | 7          | 2.8%          | 113          | 5.4%          | 3            | 2.7%          | 110          | 5.5%          |
| Domestic Violence       | 63              | 3.4%          | 11         | 4.4%          | 74           | 3.5%          | 9            | 8.0%          | 65           | 3.3%          |
| Dependency              | 6               | 0.3%          | 0          | 0.0%          | 6            | 0.3%          | 0            | 0.0%          | 6            | 0.3%          |
| Delinquency             | 17              | 0.9%          | 0          | 0.0%          | 17           | 0.8%          | 0            | 0.0%          | 17           | 0.9%          |
| CHINS                   | 0               | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0            | 0.0%          | 0            | 0.0%          |
| <b>Family Total</b>     | <b>192</b>      |               | <b>18</b>  |               | <b>210</b>   |               | <b>12</b>    |               | <b>198</b>   |               |
| <b>Quarter Caseload</b> | <b>1,858</b>    | <b>100.0%</b> | <b>248</b> | <b>100.0%</b> | <b>2,106</b> | <b>100.0%</b> | <b>113</b>   | <b>100.0%</b> | <b>1,993</b> | <b>100.0%</b> |

**SHIPROCK JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 138             | 4.8%          | 9          | 1.7%          | 147          | 4.3%          | 13           | 4.5%          | 134          | 4.3%          |
| Criminal                | 839             | 29.2%         | 150        | 28.1%         | 989          | 29.1%         | 36           | 12.5%         | 953          | 30.6%         |
| Civil Traffic           | 755             | 26.3%         | 204        | 38.3%         | 959          | 28.2%         | 143          | 49.8%         | 816          | 26.2%         |
| Criminal Traffic        | 500             | 17.4%         | 57         | 10.7%         | 557          | 16.4%         | 15           | 5.2%          | 542          | 17.4%         |
| <b>District Total</b>   | <b>2,232</b>    |               | <b>420</b> |               | <b>2,652</b> |               | <b>207</b>   |               | <b>2,445</b> |               |
| Family Civil            | 401             | 14.0%         | 13         | 2.4%          | 414          | 12.2%         | 5            | 1.7%          | 409          | 13.1%         |
| Domestic Violence       | 182             | 6.3%          | 94         | 17.6%         | 276          | 8.1%          | 69           | 24.0%         | 207          | 6.6%          |
| Dependency              | 15              | 0.5%          | 4          | 0.8%          | 19           | 0.6%          | 5            | 1.7%          | 14           | 0.4%          |
| Delinquency             | 31              | 1.1%          | 2          | 0.4%          | 33           | 1.0%          | 1            | 0.3%          | 32           | 1.0%          |
| CHINS                   | 10              | 0.3%          | 0          | 0.0%          | 10           | 0.3%          | 0            | 0.0%          | 10           | 0.3%          |
| <b>Family Total</b>     | <b>639</b>      |               | <b>113</b> |               | <b>752</b>   |               | <b>80</b>    |               | <b>672</b>   |               |
| <b>Quarter Caseload</b> | <b>2,871</b>    | <b>100.0%</b> | <b>533</b> | <b>100.0%</b> | <b>3,404</b> | <b>100.0%</b> | <b>287</b>   | <b>100.0%</b> | <b>3,117</b> | <b>100.0%</b> |

**TOHAJILEE COURT**

| Case Type               | Brought Forward |               | Filed     |               | Caseload   |               | Closed Cases |               | Pending    |               |
|-------------------------|-----------------|---------------|-----------|---------------|------------|---------------|--------------|---------------|------------|---------------|
| Civil                   | 0               | 0.0%          | 2         | 5.7%          | 2          | 0.5%          | 1            | 4.5%          | 1          | 0.2%          |
| Criminal                | 247             | 61.8%         | 7         | 20.0%         | 254        | 58.4%         | 2            | 9.1%          | 252        | 61.0%         |
| Civil Traffic           | 76              | 19.0%         | 0         | 0.0%          | 76         | 17.5%         | 0            | 0.0%          | 76         | 18.4%         |
| Criminal Traffic        | 18              | 4.5%          | 0         | 0.0%          | 18         | 4.1%          | 0            | 0.0%          | 18         | 4.4%          |
| <b>District Total</b>   | <b>341</b>      |               | <b>9</b>  |               | <b>350</b> |               | <b>3</b>     |               | <b>347</b> |               |
| Family Civil            | 27              | 6.8%          | 11        | 31.4%         | 38         | 8.7%          | 6            | 27.3%         | 32         | 7.7%          |
| Domestic Violence       | 14              | 3.5%          | 13        | 37.1%         | 27         | 6.2%          | 12           | 54.5%         | 15         | 3.6%          |
| Dependency              | 3               | 0.8%          | 2         | 5.7%          | 5          | 1.1%          | 1            | 4.5%          | 4          | 1.0%          |
| Delinquency             | 7               | 1.8%          | 0         | 0.0%          | 7          | 1.6%          | 0            | 0.0%          | 7          | 1.7%          |
| CHINS                   | 8               | 2.0%          | 0         | 0.0%          | 8          | 1.8%          | 0            | 0.0%          | 8          | 1.9%          |
| <b>Family Total</b>     | <b>59</b>       |               | <b>26</b> |               | <b>85</b>  |               | <b>19</b>    |               | <b>66</b>  |               |
| <b>Quarter Caseload</b> | <b>400</b>      | <b>100.0%</b> | <b>35</b> | <b>100.0%</b> | <b>435</b> | <b>100.0%</b> | <b>22</b>    | <b>100.0%</b> | <b>413</b> | <b>100.0%</b> |

**TUBA CITY JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 41              | 1.5%          | 6          | 0.8%          | 47           | 1.4%          | 10           | 1.3%          | 37           | 1.4%          |
| Criminal                | 1,732           | 63.8%         | 119        | 15.9%         | 1,851        | 53.5%         | 138          | 17.6%         | 1,713        | 64.0%         |
| Civil Traffic           | 549             | 20.2%         | 496        | 66.4%         | 1,045        | 30.2%         | 515          | 65.8%         | 530          | 19.8%         |
| Criminal Traffic        | 172             | 6.3%          | 14         | 1.9%          | 186          | 5.4%          | 16           | 2.0%          | 170          | 6.4%          |
| <b>District Total</b>   | <b>2,494</b>    |               | <b>635</b> |               | <b>3,129</b> |               | <b>679</b>   |               | <b>2,450</b> |               |
| Family Civil            | 165             | 6.1%          | 35         | 4.7%          | 200          | 5.8%          | 21           | 2.7%          | 179          | 6.7%          |
| Domestic Violence       | 24              | 0.9%          | 71         | 9.5%          | 95           | 2.7%          | 71           | 9.1%          | 24           | 0.9%          |
| Dependency              | 24              | 0.9%          | 6          | 0.8%          | 30           | 0.9%          | 7            | 0.9%          | 23           | 0.9%          |
| Delinquency             | 6               | 0.2%          | 0          | 0.0%          | 6            | 0.2%          | 5            | 0.6%          | 1            | 0.0%          |
| CHINS                   | 0               | 0.0%          | 0          | 0.0%          | 0            | 0.0%          | 0            | 0.0%          | 0            | 0.0%          |
| <b>Family Total</b>     | <b>219</b>      |               | <b>112</b> |               | <b>331</b>   |               | <b>104</b>   |               | <b>227</b>   |               |
| <b>Quarter Caseload</b> | <b>2,713</b>    | <b>100.0%</b> | <b>747</b> | <b>100.0%</b> | <b>3,460</b> | <b>100.0%</b> | <b>783</b>   | <b>100.0%</b> | <b>2,677</b> | <b>100.0%</b> |

**WINDOW ROCK JUDICIAL DISTRICT**

| Case Type               | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|-------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Civil                   | 736             | 20.2%         | 24         | 8.5%          | 760          | 19.4%         | 13           | 4.2%          | 747          | 20.7%         |
| Criminal                | 366             | 10.1%         | 113        | 39.9%         | 479          | 12.2%         | 147          | 47.6%         | 332          | 9.2%          |
| Civil Traffic           | 1,465           | 40.3%         | 31         | 11.0%         | 1,496        | 38.2%         | 4            | 1.3%          | 1,492        | 41.3%         |
| Criminal Traffic        | 52              | 1.4%          | 5          | 1.8%          | 57           | 1.5%          | 25           | 8.1%          | 32           | 0.9%          |
| <b>District Total</b>   | <b>2,619</b>    |               | <b>173</b> |               | <b>2,792</b> |               | <b>189</b>   |               | <b>2,603</b> |               |
| Family Civil            | 806             | 22.2%         | 25         | 8.8%          | 831          | 21.2%         | 42           | 13.6%         | 789          | 21.9%         |
| Domestic Violence       | 120             | 3.3%          | 80         | 28.3%         | 200          | 5.1%          | 62           | 20.1%         | 138          | 3.8%          |
| Dependency              | 85              | 2.3%          | 4          | 1.4%          | 89           | 2.3%          | 16           | 5.2%          | 73           | 2.0%          |
| Delinquency             | 3               | 0.1%          | 0          | 0.0%          | 3            | 0.1%          | 0            | 0.0%          | 3            | 0.1%          |
| CHINS                   | 2               | 0.1%          | 1          | 0.4%          | 3            | 0.1%          | 0            | 0.0%          | 3            | 0.1%          |
| <b>Family Total</b>     | <b>1,016</b>    |               | <b>110</b> |               | <b>1,126</b> |               | <b>120</b>   |               | <b>1,006</b> |               |
| <b>Quarter Caseload</b> | <b>3,635</b>    | <b>100.0%</b> | <b>283</b> | <b>100.0%</b> | <b>3,918</b> | <b>100.0%</b> | <b>309</b>   | <b>100.0%</b> | <b>3,609</b> | <b>100.0%</b> |



**NAVAJO NATION PROBATION SERVICES**

| Case Type                       | Brought Forward |               | Filed      |               | Caseload     |               | Closed Cases |               | Pending      |               |
|---------------------------------|-----------------|---------------|------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|
| Adult Probation                 | 600             | 24.5%         | 171        | 23.7%         | 771          | 24.3%         | 85           | 15.8%         | 686          | 26.1%         |
| Adult Parole                    | 5               | 0.2%          | 6          | 0.8%          | 11           | 0.3%          | 6            | 1.1%          | 5            | 0.2%          |
| Adult Short-Term Probation      | 1,717           | 70.2%         | 531        | 73.4%         | 2,248        | 70.9%         | 437          | 81.1%         | 1,811        | 68.8%         |
| <b>Adult Probation Total</b>    | <b>2,322</b>    |               | <b>708</b> |               | <b>3,030</b> |               | <b>528</b>   |               | <b>2,502</b> |               |
| Juvenile Probation              | 43              | 1.8%          | 2          | 0.3%          | 45           | 1.4%          | 3            | 0.6%          | 42           | 1.6%          |
| Juvenile Short-Term Probation   | 82              | 3.4%          | 13         | 1.8%          | 95           | 3.0%          | 8            | 1.5%          | 87           | 3.3%          |
| <b>Juvenile Probation Total</b> | <b>125</b>      |               | <b>15</b>  |               | <b>140</b>   |               | <b>11</b>    |               | <b>129</b>   |               |
| <b>Quarter Caseload</b>         | <b>2,447</b>    | <b>100.0%</b> | <b>723</b> | <b>100.0%</b> | <b>3,170</b> | <b>100.0%</b> | <b>539</b>   | <b>100.0%</b> | <b>2,631</b> | <b>100.0%</b> |

**NAVAJO NATION PEACEMAKING PROGRAM**

| District                | Brought Forward |               | Filed     |               | Caseload   |               | Closed Cases |               | Pending    |               |
|-------------------------|-----------------|---------------|-----------|---------------|------------|---------------|--------------|---------------|------------|---------------|
| Alamo                   | 36              | 17.7%         | 0         | 0.0%          | 36         | 13.0%         | 27           | 28.7%         | 9          | 4.9%          |
| Aneth                   | 6               | 3.0%          | 1         | 1.4%          | 7          | 2.5%          | 7            | 7.4%          | 0          | 0.0%          |
| Chinle                  | 10              | 4.9%          | 10        | 13.5%         | 20         | 7.2%          | 11           | 11.7%         | 9          | 4.9%          |
| Crownpoint              | 18              | 8.9%          | 6         | 8.1%          | 24         | 8.7%          | 2            | 2.1%          | 22         | 12.0%         |
| Dilkon                  | 12              | 5.9%          | 18        | 24.3%         | 30         | 10.8%         | 4            | 4.3%          | 26         | 14.2%         |
| Dzit Yijiin             | 8               | 3.9%          | 1         | 1.4%          | 9          | 3.2%          | 1            | 1.1%          | 8          | 4.4%          |
| Kayenta                 | 25              | 12.3%         | 16        | 21.6%         | 41         | 14.8%         | 23           | 24.5%         | 18         | 9.8%          |
| Ramah                   | 16              | 7.9%          | 1         | 1.4%          | 17         | 6.1%          | 1            | 1.1%          | 16         | 8.7%          |
| Shiprock                | 11              | 5.4%          | 4         | 5.4%          | 15         | 5.4%          | 8            | 8.5%          | 7          | 3.8%          |
| To'hajiilee             | 1               | 0.5%          | 1         | 1.4%          | 2          | 0.7%          | 1            | 1.1%          | 1          | 0.5%          |
| Tuba City               | 24              | 11.8%         | 10        | 13.5%         | 34         | 12.3%         | 4            | 4.3%          | 30         | 16.4%         |
| Window Rock             | 36              | 17.7%         | 6         | 8.1%          | 42         | 15.2%         | 5            | 5.3%          | 37         | 20.2%         |
| <b>Quarter Caseload</b> | <b>203</b>      | <b>100.0%</b> | <b>74</b> | <b>100.0%</b> | <b>277</b> | <b>100.0%</b> | <b>94</b>    | <b>100.0%</b> | <b>183</b> | <b>100.0%</b> |

# X. JUDICIAL BRANCH BUDGETS AND EXPENDITURES

## JUDICIAL BRANCH FY 2021 BUDGETS vs EXPENDITURES - as of 6/30/21

The Judicial Branch receives operating funds from two main sources of continual appropriation to provide court services within the Navajo Nation.

**A. Navajo Nation General Fund.** The 24th Navajo Nation Council tabled Legislation 0224-20, the FY 2021 Comprehensives budget on 9/24/20. The Council approved legislation 0232-30 on 9/25/20, adopting a Continuing Resolution (CR); Beginning 10/1/20 and Ending December 31, 2020 and uploaded 1/4 of the NN Fiscal Year 2020 Comprehensive Budget as approved through CS-30-19 on 9/13/19. The Judicial Branch General Fund budget allocation was \$3,731,163.25 plus Indirect Cost Recovery of \$37,526 totaling \$3,768,689.25. On 10/6/20 an additional allocation of \$69,480.18 was uploaded into FMIS to cover the 2% GWA from FY 2020. The budget was revised to \$3,838,169.43 (**Original Budget**). The budget was revised again in October, 2020 to include FY 2020 Prior Year Encumbrance Carryover for BU 102008 @ \$1,500 and BU 102012 @ \$2,701.37 totaling \$4,201.37 for a **Revised Budget** amount of \$3,842,370.80. On 12/10/20 the NN President approved the FY 2021 Comprehensive budget per legislation CN-88-20. The difference of \$12,916,590.57 for General Funds and \$92,581 for IDC totaling \$16,624,653 and \$130,107 respectively was posted to the FMIS on 12/18/20. Also, FY 2021 IDC Carryover of \$48,206 and \$439,253 in FY 2021 GWA was posted to FMIS for month ending 3/31/21 for a 3rd **Revised Budget** amount of **\$17,246,420.37**. As of 6/30/21, the Judicial Branch's FY 2021 General Fund Budgets consists of eighteen (18) Business Units plus one (1) NN Integrated Justice - Fixed Cost Budget which also includes 1/4 funding in the amount of \$87,500, FY 2020 Prior Year Carryover of \$32,807.50, and the FY 2021 Fixed Costs Allocation of \$287,500 for a **Revised Budget** of \$407,807.50. The Judicial Branch budgets and expenditures are as follows:

| No.      | Business Unit/Object Code | Program/Description                  | Original Budget | Revised Budget | Actuals - YTD | Encumbrances | Budget Available | % Expensed of Total |
|----------|---------------------------|--------------------------------------|-----------------|----------------|---------------|--------------|------------------|---------------------|
| <b>1</b> | <b>102001</b>             | <b>Admin Office of the Courts</b>    |                 |                |               |              |                  |                     |
|          | 1930                      | Miscellaneous                        | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|          | 1942                      | Prior Year Carry Over                | 0.00            | (48,206.00)    | 0.00          | 0.00         | (48,206.00)      | 0.00%               |
|          | 1992                      | IDC Recovery                         | (37,526.00)     | (130,107.00)   | (89,941.14)   | 0.00         | (40,165.86)      | 69.13%              |
|          | 1996                      | Allocation                           | (358,249.52)    | (2,232,822.00) | 0.00          | 0.00         | (2,232,822.00)   | 0.00%               |
|          | 1000                      | Revenues                             | (395,775.52)    | (2,411,135.00) | (89,941.14)   | 0.00         | (2,321,193.86)   | 3.73%               |
|          | 2000                      | Personnel Expenses                   | 372,569.52      | 1,546,380.00   | 1,041,008.92  | 0.00         | 505,371.08       | 67.32%              |
|          | 3000-7000                 | Operating Expenses                   | 23,206.00       | 864,755.00     | 130,631.63    | 16,806.33    | 717,317.04       | 17.05%              |
|          | 2000                      | Expenses                             | 395,775.52      | 2,411,135.00   | 1,171,640.55  | 16,806.33    | 1,222,688.12     | 49.29%              |
| <b>2</b> | <b>102002</b>             | <b>Chinle Judicial District</b>      |                 |                |               |              |                  |                     |
|          | 1942                      | Prior Year Carry Over                | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|          | 1996                      | Allocation                           | (269,339.37)    | (1,166,054.00) | 0.00          | 0.00         | (1,166,054.00)   | 0.00%               |
|          | 1000                      | Revenues                             | (269,339.37)    | (1,166,054.00) | 0.00          | 0.00         | (1,166,054.00)   | 0.00%               |
|          | 2001                      | Personnel Expenses                   | 250,032.37      | 1,079,192.00   | 571,952.99    | 0.00         | 507,239.01       | 53.00%              |
|          | 3000-7000                 | Operating Expenses                   | 19,307.00       | 86,862.00      | 32,342.74     | 1,672.01     | 52,847.25        | 39.16%              |
|          | 2000                      | Expenses                             | 269,339.37      | 1,166,054.00   | 604,295.73    | 1,672.01     | 560,086.26       | 51.97%              |
| <b>3</b> | <b>102003</b>             | <b>Crownpoint Judicial District</b>  |                 |                |               |              |                  |                     |
|          | 1942                      | Prior Year Carry Over                | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|          | 1996                      | Allocation                           | (226,498.40)    | (982,201.00)   | 0.00          | 0.00         | (982,201.00)     | 0.00%               |
|          | 1000                      | Revenues                             | (226,498.40)    | (982,201.00)   | 0.00          | 0.00         | (982,201.00)     | 0.00%               |
|          | 2001                      | Personnel Expenses                   | 213,607.40      | 929,916.00     | 513,653.00    | 0.00         | 416,263.00       | 55.24%              |
|          | 3000-7000                 | Operating Expenses                   | 12,891.00       | 52,285.00      | 18,854.84     | 491.50       | 32,938.66        | 37.00%              |
|          | 2000                      | Expenses                             | 226,498.40      | 982,201.00     | 532,507.84    | 491.50       | 449,201.66       | 54.27%              |
| <b>4</b> | <b>102004</b>             | <b>Window Rock Judicial District</b> |                 |                |               |              |                  |                     |
|          | 1942                      | Prior Year Carry Over                | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|          | 1996                      | Allocation                           | (283,237.77)    | (1,226,615.00) | 0.00          | 0.00         | (1,226,615.00)   | 0.00%               |
|          | 1000                      | Revenues                             | (283,237.77)    | (1,226,615.00) | 0.00          | 0.00         | (1,226,615.00)   | 0.00%               |
|          | 2001                      | Personnel Expenses                   | 266,220.02      | 1,147,327.00   | 576,438.57    | 0.00         | 570,888.43       | 50.24%              |
|          | 3000-7000                 | Operating Expenses                   | 17,017.75       | 79,288.00      | 30,832.32     | 1,819.63     | 46,636.05        | 41.18%              |
|          | 2000                      | Expenses                             | 283,237.77      | 1,226,615.00   | 607,270.89    | 1,819.63     | 617,524.48       | 49.66%              |
| <b>5</b> | <b>102005</b>             | <b>Shiprock Judicial District</b>    |                 |                |               |              |                  |                     |
|          | 1942                      | Prior Year Carry Over                | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|          | 1996                      | Allocation                           | (279,287.31)    | (1,162,283.00) | 0.00          | 0.00         | (1,162,283.00)   | 0.00%               |
|          | 1000                      | Revenues                             | (279,287.31)    | (1,162,283.00) | 0.00          | 0.00         | (1,162,283.00)   | 0.00%               |
|          | 2001                      | Personnel Expenses                   | 263,888.06      | 1,104,149.00   | 645,482.30    | 0.00         | 458,666.70       | 58.46%              |
|          | 3000-7000                 | Operating Expenses                   | 15,399.25       | 58,134.00      | 31,376.67     | 3,028.83     | 23,728.50        | 59.18%              |
|          | 2000                      | Expenses                             | 279,287.31      | 1,162,283.00   | 676,858.97    | 3,028.83     | 482,395.20       | 58.50%              |

| No.       | Business Unit/Object Code | Program/Description                | Original Budget | Revised Budget | Actuals - YTD | Encumbrances | Budget Available | % Expended of Total |
|-----------|---------------------------|------------------------------------|-----------------|----------------|---------------|--------------|------------------|---------------------|
| <b>6</b>  | <b>102006</b>             | <b>Tuba City Judicial District</b> |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|           | 1996                      | Allocation                         | (249,680.76)    | (1,128,696.00) | 0.00          | 0.00         | (1,128,696.00)   | 0.00%               |
|           | 1000                      | Revenues                           | (249,680.76)    | (1,128,696.00) | 0.00          | 0.00         | (1,128,696.00)   | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 234,522.01      | 1,038,167.00   | 657,721.76    | 0.00         | 380,445.24       | 63.35%              |
|           | 3000-7000                 | Operating Expenses                 | 15,158.75       | 90,529.00      | 45,366.43     | 16,751.12    | 28,411.45        | 68.62%              |
|           | 2000                      | Expenses                           | 249,680.76      | 1,128,696.00   | 703,088.19    | 16,751.12    | 408,856.69       | 63.78%              |
| <b>7</b>  | <b>102007</b>             | <b>Ramah Judicial District</b>     |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|           | 1996                      | Allocation                         | (125,312.86)    | (560,106.00)   | 0.00          | 0.00         | (560,106.00)     | 0.00%               |
|           | 1000                      | Revenues                           | (125,312.86)    | (560,106.00)   | 0.00          | 0.00         | (560,106.00)     | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 115,392.86      | 519,785.99     | 312,516.55    | 0.00         | 207,269.44       | 60.12%              |
|           | 3000-7000                 | Operating Expenses                 | 9,920.00        | 40,320.00      | 20,969.94     | 1,876.93     | 17,473.13        | 56.66%              |
|           | 2000                      | Expenses                           | 125,312.86      | 560,105.99     | 333,486.49    | 1,876.93     | 224,742.57       | 59.87%              |
| <b>8</b>  | <b>102008</b>             | <b>Supreme Court</b>               |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | (1,500.00)     | 0.00          | 0.00         | (1,500.00)       | 0.00%               |
|           | 1996                      | Allocation                         | (322,929.05)    | (1,410,332.00) | 0.00          | 0.00         | (1,410,332.00)   | 0.00%               |
|           | 1000                      | Revenues                           | (322,929.05)    | (1,411,832.00) | 0.00          | 0.00         | (1,411,832.00)   | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 299,134.80      | 1,308,458.00   | 851,331.31    | 0.00         | 457,126.69       | 65.06%              |
|           | 3000-7000                 | Operating Expenses                 | 23,794.25       | 103,374.00     | 33,251.51     | 1,544.33     | 68,578.16        | 33.66%              |
|           | 2000                      | Expenses                           | 322,929.05      | 1,411,832.00   | 884,582.82    | 1,544.33     | 525,704.85       | 62.76%              |
| <b>9</b>  | <b>102009</b>             | <b>Peacemaking Program</b>         |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|           | 1996                      | Allocation                         | (312,675.40)    | (1,311,736.00) | 0.00          | 0.00         | (1,311,736.00)   | 0.00%               |
|           | 1000                      | Revenues                           | (312,675.40)    | (1,311,736.00) | 0.00          | 0.00         | (1,311,736.00)   | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 293,167.90      | 1,220,786.99   | 800,800.84    | 0.00         | 419,986.15       | 65.60%              |
|           | 3000-7000                 | Operating Expenses                 | 19,507.50       | 90,949.00      | 33,350.76     | 434.77       | 57,163.47        | 37.15%              |
|           | 9000                      | Capital Outlay                     | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|           | 2000                      | Expenses                           | 312,675.40      | 1,311,735.99   | 834,151.60    | 434.77       | 477,149.62       | 63.62%              |
| <b>10</b> | <b>102010</b>             | <b>Kayenta Judicial District</b>   |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|           | 1996                      | Allocation                         | (217,460.62)    | (905,269.00)   | 0.00          | 0.00         | (905,269.00)     | 0.00%               |
|           | 1000                      | Revenues                           | (217,460.62)    | (905,269.00)   | 0.00          | 0.00         | (905,269.00)     | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 199,799.12      | 820,849.00     | 487,691.63    | 0.00         | 333,157.37       | 59.41%              |
|           | 3000-7000                 | Operating Expenses                 | 17,661.50       | 84,420.00      | 30,936.13     | 1,131.91     | 52,351.96        | 37.99%              |
|           | 2000                      | Expenses                           | 217,460.62      | 905,269.00     | 518,627.76    | 1,131.91     | 385,509.33       | 57.41%              |
| <b>11</b> | <b>102011</b>             | <b>Dilkon Judicial District</b>    |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | 0.00           | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|           | 1996                      | Allocation                         | (195,102.35)    | (804,855.00)   | 0.00          | 0.00         | (804,855.00)     | 0.00%               |
|           | 1000                      | Revenues                           | (195,102.35)    | (804,855.00)   | 0.00          | 0.00         | (804,855.00)     | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 177,089.10      | 740,480.00     | 501,207.01    | 0.00         | 239,272.99       | 67.69%              |
|           | 3000-7000                 | Operating Expenses                 | 18,013.25       | 64,375.00      | 27,990.21     | 1,700.02     | 34,684.77        | 46.12%              |
|           | 2000                      | Expenses                           | 195,102.35      | 804,855.00     | 529,197.22    | 1,700.02     | 273,957.76       | 65.96%              |
| <b>12</b> | <b>102012</b>             | <b>Aneth Judicial District</b>     |                 |                |               |              |                  |                     |
|           | 1942                      | Prior Year Carry Over              | 0.00            | (2,701.37)     | 0.00          | 0.00         | (2,701.37)       | 0.00%               |
|           | 1996                      | Allocation                         | (159,862.50)    | (672,677.00)   | 0.00          | 0.00         | (672,677.00)     | 0.00%               |
|           | 1000                      | Revenues                           | (159,862.50)    | (675,378.37)   | 0.00          | 0.00         | (675,378.37)     | 0.00%               |
|           | 2001                      | Personnel Expenses                 | 145,158.25      | 606,576.00     | 334,004.11    | 0.00         | 272,571.89       | 55.06%              |
|           | 3000-7000                 | Operating Expenses                 | 14,704.25       | 68,802.37      | 28,006.26     | 4,912.16     | 35,883.95        | 47.84%              |
|           | 2000                      | Expenses                           | 159,862.50      | 675,378.37     | 362,010.37    | 4,912.16     | 308,455.84       | 54.33%              |

| No.  | Business Unit/Object Code | Program/Description                  | Original Budget     | Revised Budget       | Actuals - YTD       | Encumbrances     | Budget Available    | % Expended of Total |
|--|---------------------------|--------------------------------------|---------------------|----------------------|---------------------|------------------|---------------------|---------------------|
| <b>13</b>                                  | <b>102013</b>             | <b>Tohajiilee Judicial District</b>  |                     |                      |                     |                  |                     |                     |
|  | 1942                      | Prior Year Carry Over                | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1996                      | Allocation                           | (160,815.25)        | (691,428.00)         | 0.00                | 0.00             | (691,428.00)        | 0.00%               |
|  | 1000                      | Revenues                             | (160,815.25)        | (691,428.00)         | 0.00                | 0.00             | (691,428.00)        | 0.00%               |
|  | 2001                      | Personnel Expenses                   | 145,158.25          | 615,150.00           | 418,988.48          | 0.00             | 196,161.52          | 68.11%              |
|  | 3000-7000                 | Operating Expenses                   | 15,657.00           | 76,278.00            | 21,201.46           | 15,839.90        | 39,236.64           | 48.56%              |
|  | 2000                      | Expenses                             | 160,815.25          | 691,428.00           | 440,189.94          | 15,839.90        | 235,398.16          | 65.95%              |
| <b>14</b>                                  | <b>102014</b>             | <b>Alamo Judicial District</b>       |                     |                      |                     |                  |                     |                     |
|  | 1942                      | Prior Year Carry Over                | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1996                      | Allocation                           | (57,643.22)         | (192,129.00)         | 0.00                | 0.00             | (192,129.00)        | 0.00%               |
|  | 1000                      | Revenues                             | (57,643.22)         | (192,129.00)         | 0.00                | 0.00             | (192,129.00)        | 0.00%               |
|  | 2001                      | Personnel Expenses                   | 49,828.22           | 152,661.00           | 106,693.82          | 0.00             | 45,967.18           | 69.89%              |
|  | 3000-7000                 | Operating Expenses                   | 7,815.00            | 39,468.00            | 9,717.75            | 0.00             | 29,750.25           | 24.62%              |
|  | 2000                      | Expenses                             | 57,643.22           | 192,129.00           | 116,411.57          | 0.00             | 75,717.43           | 60.59%              |
| <b>15</b>                                  | <b>102015</b>             | <b>Dzil Yijjin Judicial District</b> |                     |                      |                     |                  |                     |                     |
|  | 1942                      | Prior Year Carry Over                | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1996                      | Allocation                           | (80,773.78)         | (478,397.00)         | 0.00                | 0.00             | (478,397.00)        | 0.00%               |
|  | 1000                      | Revenues                             | (80,773.78)         | (478,397.00)         | 0.00                | 0.00             | (478,397.00)        | 0.00%               |
|  | 2001                      | Personnel Expenses                   | 72,229.78           | 431,704.01           | 269,425.41          | 0.00             | 162,278.60          | 62.41%              |
|  | 3000-7000                 | Operating Expenses                   | 8,544.00            | 46,693.00            | 28,530.47           | 1,720.00         | 16,442.53           | 64.79%              |
|  | 9000                      | Capital Outlay                       | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 2000                      | Expenses                             | 80,773.78           | 478,397.01           | 297,955.88          | 1,720.00         | 178,721.13          | 62.64%              |
| <b>16</b>                                  | <b>102017</b>             | <b>Pueblo Pintado Circuit Court</b>  |                     |                      |                     |                  |                     |                     |
|  | 1942                      | Prior Year Carry Over                | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1996                      | Allocation                           | (42,238.05)         | (219,943.00)         | 0.00                | 0.00             | (219,943.00)        | 0.00%               |
|  | 1000                      | Revenues                             | (42,238.05)         | (219,943.00)         | 0.00                | 0.00             | (219,943.00)        | 0.00%               |
|  | 2001                      | Personnel Expenses                   | 36,518.30           | 192,454.01           | 41,030.33           | 0.00             | 151,423.68          | 21.32%              |
|  | 3000-7000                 | Operating Expenses                   | 5,719.75            | 27,489.00            | 4,529.11            | 0.00             | 22,959.89           | 16.48%              |
|  | 2000                      | Expenses                             | 42,238.05           | 219,943.01           | 45,559.44           | 0.00             | 174,383.57          | 20.71%              |
| <b>17</b>                                  | <b>102018</b>             | <b>Probation Services</b>            |                     |                      |                     |                  |                     |                     |
|  | 1942                      | Prior Year Carry Over                | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1996                      | Allocation                           | (450,867.72)        | (1,877,669.00)       | 0.00                | 0.00             | (1,877,669.00)      | 0.00%               |
|  | 1000                      | Revenues                             | (450,867.72)        | (1,877,669.00)       | 0.00                | 0.00             | (1,877,669.00)      | 0.00%               |
|  | 2001                      | Personnel Expenses                   | 419,576.72          | 1,719,993.00         | 1,150,905.54        | 0.00             | 569,087.46          | 66.91%              |
|  | 3000-7000                 | Operating Expenses                   | 31,291.00           | 157,676.00           | 72,508.21           | 21,121.14        | 64,046.65           | 59.38%              |
|  | 2000                      | Expenses                             | 450,867.72          | 1,877,669.00         | 1,223,413.75        | 21,121.14        | 633,134.11          | 66.28%              |
| <b>18</b>                                  | <b>102019</b>             | <b>Judicial Conduct Commission</b>   |                     |                      |                     |                  |                     |                     |
|  | 1942                      | Prior Year Carry Over                | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1996                      | Allocation                           | (8,669.50)          | (40,694.00)          | 0.00                | 0.00             | (40,694.00)         | 0.00%               |
|  | 1000                      | Revenues                             | (8,669.50)          | (40,694.00)          | 0.00                | 0.00             | (40,694.00)         | 0.00%               |
|  | 2001                      | Personnel Expenses                   | 1,096.75            | 10,725.00            | 2,823.76            | 0.00             | 7,901.24            | 26.33%              |
|  | 3000-7000                 | Operating Expenses                   | 7,572.75            | 29,969.00            | 4,235.86            | 0.00             | 25,733.14           | 14.13%              |
|  | 2000                      | Expenses                             | 8,669.50            | 40,694.00            | 7,059.62            | 0.00             | 33,634.38           | 17.35%              |
| <b>Judicial Branch General Fund Total:</b> |                           |                                      | <b>3,838,169.43</b> | <b>17,246,420.37</b> | <b>9,888,308.63</b> | <b>90,850.58</b> | <b>7,267,261.16</b> | <b>57.86%</b>       |
| <b>Overall Breakdown of General Funds:</b> |                           |                                      |                     |                      |                     |                  |                     |                     |
|  | 1930                      | Miscellaneous                        | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 1942                      | Prior Year Carry Over                | 0.00                | (52,407.37)          | 0.00                | 0.00             | (52,407.37)         | 0.00%               |
|  | 1992                      | IDC Recovery                         | (37,526.00)         | (130,107.00)         | (89,941.14)         | 0.00             | (40,165.86)         | 69.13%              |
|  | 1996                      | Allocation                           | (3,800,643.43)      | (17,063,906.00)      | 0.00                | 0.00             | (17,063,906.00)     | 0.00%               |
|  | 1000                      | Revenues                             | (3,838,169.43)      | (17,246,420.37)      | (89,941.14)         | 0.00             | (17,156,479.23)     | 0.52%               |
|  | 2000                      | Personnel Expenses                   | 3,554,989.43        | 15,184,754.00        | 9,283,676.33        | 0.00             | 5,901,077.67        | 61.14%              |
|  | 3000-7000                 | Operating Expenses                   | 283,180.00          | 2,061,666.37         | 604,632.30          | 90,850.58        | 1,366,183.49        | 33.73%              |
|  | 9000                      | Capital Outlay                       | 0.00                | 0.00                 | 0.00                | 0.00             | 0.00                | #DIV/0!             |
|  | 2000                      | Expenses                             | 3,838,169.43        | 17,246,420.37        | 9,888,308.63        | 90,850.58        | 7,267,261.16        | 57.86%              |

| No.   | Business Unit/Object Code | Program/Description                 | Original Budget | Revised Budget  | Actuals - YTD | Encumbrances | Budget Available | % Expended of Total |
|---|---------------------------|-------------------------------------|-----------------|-----------------|---------------|--------------|------------------|---------------------|
| 19  | 118019                    | NN Integrated Justice (Fixed Costs) |                 |                 |               |              |                  |                     |
|   | 1942                      | Prior Year Carry Over               | 0.00            | (32,807.50)     | 0.00          | 0.00         | (32,807.50)      | 0.00%               |
|   | 1996                      | Allocation                          | (87,500.00)     | (375,000.00)    | 0.00          | 0.00         | (375,000.00)     | 0.00%               |
|   | 1000                      | Revenues                            | (87,500.00)     | (407,807.50)    | 0.00          | 0.00         | (407,807.50)     | 0.00%               |
|   | 3000-7000                 | Operating Expenses                  | 87,500.00       | 407,807.50      | 122,780.82    | 52,807.50    | 232,219.18       | 43.06%              |
|   | 2000                      | Expenses                            | 87,500.00       | 407,807.50      | 122,780.82    | 52,807.50    | 232,219.18       | 43.06%              |
| <b>Overall Breakdown of General Funds Plus NN Integrated Justice Fixed Costs:</b> |                           |                                     |                 |                 |               |              |                  |                     |
|   | 1930                      | Miscellaneous                       | 0.00            | 0.00            | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|   | 1942                      | Prior Year Carry Over               | 0.00            | (85,214.87)     | 0.00          | 0.00         | (85,214.87)      | 0.00%               |
|   | 1992                      | IDC Recovery                        | (37,526.00)     | (130,107.00)    | (89,941.14)   | 0.00         | (40,165.86)      | 69.13%              |
|   | 1996                      | Allocation                          | (3,888,143.43)  | (17,438,906.00) | 0.00          | 0.00         | (17,438,906.00)  | 0.00%               |
|   | 1000                      | Revenues                            | (3,925,669.43)  | (17,654,227.87) | (89,941.14)   | 0.00         | (17,564,286.73)  | 0.51%               |
|   | 2000                      | Personnel Expenses                  | 3,554,989.43    | 15,184,754.00   | 9,283,676.33  | 0.00         | 5,901,077.67     | 61.14%              |
|   | 3000-7000                 | Operating Expenses                  | 370,680.00      | 2,469,473.87    | 727,413.12    | 143,658.08   | 1,598,402.67     | 35.27%              |
|   | 9000                      | Capital Outlay                      | 0.00            | 0.00            | 0.00          | 0.00         | 0.00             | #DIV/0!             |
|   | 2000                      | Expenses                            | 3,925,669.43    | 17,654,227.87   | 10,011,089.45 | 143,658.08   | 7,499,480.34     | 57.52%              |

The Judicial Branch currently has twenty (20) Active External Fund Budgets as follows: **(1) K170801** Peacemaking Youth Edu. Apprentices Contract Term 10/1/17 – 9/30/21; **(2) K180800** Navajo Juvenile Healing To Wellness Court, Contract Term 10/1/17 – 9/30/21; **(3) K170802** FY 2017 Edward Byrne JAG, Contract Term 10/1/16 - 9/30/20; **(4) K180801** FY '18 New Path Reentry, Contract Term 10/01/18 - 9/30/20; **(5) K180802** NN Wellness Courts, Contract Term 10/1/18 - 9/30/21; **(6) K160800** FY '16 Edward Byrne JAG, Contract Term 10/1/15 - 9/30/19; **(7) K180803** FY '18 Edward Byrne Jag , Contract Term 10/1/17 - 9/30/21; **(8) K160736** CY 16 Tribal Courts, Contract Term 1/1/16 - 12/31/19; **(9) K160781** CY 16 Judicial One Time Funding, Contract Term 1/1/16 - 12/31/19, **(10) K170745** CY 17 Tribal Courts, Contract Term 1/1/17 – 12/31/19; **(11) K170748** CY 17 One Time Funding VAVA, Contract Term 1/1/17 - 12/31/18; **(12) K180718** CY 18 Tribal Courts, Contract Term 1/1/17 – 12/31/21; **(13) K180772** CY 18 One Time Direct TWAHE Funding Contract Term 1/1/18 - 12/31/21; **(14) K190723** CY 19 Tribal Courts, Contract Term 1/1/17 - 12/31/21; **(15) K190778** CY 19 Judicial One Time Funds, Contract Term 1/1/17 - 12/31/20; **(16) K200713** CY 20 Tribal Courts, Contract Term 1/1/20 - 12/31/21; **(17) K200744** CY 20 One Time Funds VAWA, Contract Term 1/1/20 - 12/31/21; **(18) K200791** CY 20 One time Fund DAPA CW, Contract Term 1/1/17 - 12/31/21; **(19) K210722** CY 21 Tribal Courts, Contract Term 1/1/21-12/31/21 and **(20) K201506** US Treasury - Judicial Brach, Contract Term 8/26/20 - 12/30/20, extended to 12/30/21. There are twelve (12) Public Law 93-638 Indian Self-Determination Act, multiyear contracts with the Bureau of Indian Affairs (BIA) to provide court services within the Navajo Nation.

| No.      | Business Unit/Object Code | Program/Description                              | Original Budget | Revised Budget | Actuals - YTD | Encumbrances | Budget Available | % Expended of Total |
|----------|---------------------------|--|-----------------|----------------|---------------|--------------|------------------|---------------------|
| <b>1</b> | <b>K170801</b>            | <b>Peacemaking Youth EDU-Apprentices</b>         |                 |                |               |              |                  |                     |
|          | 2001                      | Personnel Expenses                               | 0.00            | 106,658.00     | 11,646.50     | 0.00         | 95,011.50        | 10.92%              |
|          | 3000-7000                 | Operating Expenses                               | 302,448.00      | 195,660.00     | 65,576.65     | 0.00         | 130,083.35       | 33.52%              |
|          | 9500                      | Matching & Indirect Cost                         | 47,182.00       | 47,312.00      | 12,085.44     | 0.00         | 35,226.56        | 25.54%              |
|          | 2000                      | Expenses   | 349,630.00      | 349,630.00     | 89,308.59     | 0.00         | 260,321.41       | 25.54%              |
| <b>2</b> | <b>K180800</b>            | <b>Navajo Juvenile Healing To Wellness Court</b> |                 |                |               |              |                  |                     |
|          | 2001                      | Personnel Expenses                               | 106,185.00      | 106,185.00     | 15,609.35     | 0.00         | 90,575.65        | 14.70%              |
|          | 3000-7000                 | Operating Expenses                               | 196,583.00      | 196,583.00     | 10,866.06     | 0.00         | 185,716.94       | 5.53%               |
|          | 9500                      | Matching & Indirect Cost                         | 47,232.00       | 47,232.00      | 4,192.70      | 0.00         | 43,039.30        | 8.88%               |
|          | 2000                      | Expenses   | 350,000.00      | 350,000.00     | 30,668.11     | 0.00         | 319,331.89       | 8.76%               |
| <b>3</b> | <b>K170802</b>            | <b>FY 17 Edward Byrne JAG</b>                    |                 |                |               |              |                  |                     |
|          | 3000-7000                 | Operating Expenses                               | 64,537.00       | 64,537.00      | 0.00          | 0.00         | 64,537.00        | 0.00%               |
|          | 9500                      | Matching & Indirect Cost                         | 6,453.00        | 6,453.00       | 0.00          | 0.00         | 6,453.00         | 0.00%               |
|          | 2000                      | Expenses   | 70,990.00       | 70,990.00      | 0.00          | 0.00         | 70,990.00        | 0.00%               |
| <b>4</b> | <b>K180801</b>            | <b>FY '18 New Path Reentry</b>                   |                 |                |               |              |                  |                     |
|          | 2001                      | Personnel Expenses                               | 59,902.00       | 59,902.00      | 0.00          | 0.00         | 59,902.00        | 0.00%               |
|          | 3000-7000                 | Operating Expenses                               | 113,033.00      | 113,033.00     | 405.06        | 0.00         | 112,627.94       | 0.36%               |
|          | 9500                      | Matching & Indirect Cost                         | 27,065.00       | 27,065.00      | 64.20         | 0.00         | 27,000.80        | 0.24%               |
|          | 2000                      | Expenses   | 200,000.00      | 200,000.00     | 469.26        | 0.00         | 199,530.74       | 0.23%               |
| <b>5</b> | <b>K180802</b>            | <b>NN Wellness Courts</b>                        |                 |                |               |              |                  |                     |
|          | 2001                      | Personnel Expenses                               | 365,197.00      | 365,197.00     | 5,265.35      | 0.00         | 359,931.65       | 1.44%               |
|          | 3000-7000                 | Operating Expenses                               | 283,311.00      | 283,311.00     | 563.67        | 0.00         | 282,747.33       | 0.20%               |
|          | 9500                      | Matching & Indirect Cost                         | 101,492.00      | 101,492.00     | 923.90        | 0.00         | 100,568.10       | 0.91%               |
|          | 2000                      | Expenses   | 750,000.00      | 750,000.00     | 6,752.92      | 0.00         | 743,247.08       | 0.90%               |

| <b>6</b>  | <b>K160800</b>            | <b>FY 16 Edward Byrne JAG</b>  |                        |                         |                         |                     |                        |                     |
|-----------|---------------------------|--|------------------------|-------------------------|-------------------------|---------------------|------------------------|---------------------|
|           | 3000-7000                 | Operating Expenses   | 46,301.00              | 44,392.00               | 43,115.52               | 0.00                | 1,276.48               | 97.12%              |
|           | 9500                      | Matching & Indirect Cost   | 2,530.00               | 4,439.00                | 4,311.56                | 0.00                | 127.44                 | 97.13%              |
|           | 2000                      | Expenses   | 48,831.00              | 48,831.00               | 47,427.08               | 0.00                | 1,403.92               | 97.12%              |
| <b>7</b>  | <b>K180803</b>            | <b>FY 18 Edward Byrne JAG</b>  |                        |                         |                         |                     |                        |                     |
|           | 3000-7000                 | Operating Expenses   | 99,581.00              | 99,581.00               | 0.00                    | 0.00                | 99,581.00              | 0.00%               |
|           | 9500                      | Matching & Indirect Cost   | 9,958.00               | 9,958.00                | 0.00                    | 0.00                | 9,958.00               | 0.00%               |
|           | 2000                      | Expenses   | 109,539.00             | 109,539.00              | 0.00                    | 0.00                | 109,539.00             | 0.00%               |
|           |                           | Judicial Branch External Funds                                       | \$1,878,990.00         | \$1,878,990.00          | \$174,625.96            | \$0.00              | \$1,704,364.04         | 9.29%               |
| No.       | Business Unit/Object Code | Program/Description  | Original Budget        | Revised Budget          | Actuals - YTD           | Encumbrances        | Budget Available       | % Expensed of Total |
| <b>8</b>  | <b>K160736</b>            | <b>CY 16 Tribal Courts</b>   |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 1,334,724.00           | 949,782.11              | 949,782.11              | 0.00                | 0.00                   | 100.00%             |
|           | 3000-7000                 | Operating Expenses   | 101,577.00             | 680,813.45              | 680,813.45              | 0.00                | 0.00                   | 100.00%             |
|           | 9000                      | Capital Outlay   | 0.00                   | 95,175.49               | 95,175.49               | 0.00                | 0.00                   | 100.00%             |
|           | 2000                      | Expenses   | 1,436,301.00           | 1,725,771.05            | 1,725,771.05            | 0.00                | 0.00                   | 100.00%             |
| <b>9</b>  | <b>K160781</b>            | <b>CY 16 Judicial One Time Funds</b>                                 |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 276,511.00             | 280,169.60              | 280,169.60              | 0.00                | 0.00                   | 100.00%             |
|           | 3000-7000                 | Operating Expenses   | 16,305.00              | 12,646.40               | 12,646.40               | 0.00                | 0.00                   | 100.00%             |
|           | 2000                      | Expenses   | 292,816.00             | 292,816.00              | 292,816.00              | 0.00                | 0.00                   | 100.00%             |
| <b>10</b> | <b>K170745</b>            | <b>CY 17 Tribal Courts</b>   |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 770,471.00             | 1,358,721.44            | 1,358,721.44            | 0.00                | 0.00                   | 100.00%             |
|           | 3000-7000                 | Operating Expenses   | 53,563.00              | 232,055.56              | 232,055.56              | 0.00                | 0.00                   | 100.00%             |
|           | 2000                      | Expenses   | 824,034.00             | 1,590,777.00            | 1,590,777.00            | 0.00                | 0.00                   | 100.00%             |
| <b>11</b> | <b>K170748</b>            | <b>CY 17 One Time Funds VAWA</b>                                     |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 10,272.00              | 25,798.30               | 25,798.30               | 0.00                | 0.00                   | 100.00%             |
|           | 3000-7000                 | Operating Expenses   | 79,728.00              | 64,201.70               | 64,201.70               | 0.00                | 0.00                   | 100.00%             |
|           | 2000                      | Expenses   | 90,000.00              | 90,000.00               | 90,000.00               | 0.00                | 0.00                   | 100.00%             |
| <b>12</b> | <b>K180718</b>            | <b>CY 18 Tribal Courts</b>   |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 258,684.00             | 1,555,491.00            | 1,559,353.06            | 0.00                | (3,862.06)             | 100.25%             |
|           | 3000-7000                 | Operating Expenses   | 10,933.00              | 115,224.00              | 111,361.94              | 0.00                | 3,862.06               | 96.65%              |
|           | 2000                      | Expenses   | 269,617.00             | 1,670,715.00            | 1,670,715.00            | 0.00                | 0.00                   | 100.00%             |
| <b>13</b> | <b>K180772</b>            | <b>CY 18 One Time Direct TIWAHE</b>                                  |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 272,340.00             | 275,815.10              | 271,754.00              | 0.00                | 4,061.10               | 98.53%              |
|           | 3000-7000                 | Operating Expenses   | 23,488.00              | 20,012.90               | 19,496.13               | 0.00                | 516.77                 | 97.42%              |
|           | 2000                      | Expenses   | 295,828.00             | 295,828.00              | 291,250.13              | 0.00                | 4,577.87               | 98.45%              |
| <b>14</b> | <b>K190723</b>            | <b>CY 19 Tribal Courts</b>   |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 262,524.00             | 1,441,732.00            | 1,437,137.43            | 0.00                | 4,594.57               | 99.68%              |
|           | 3000-7000                 | Operating Expenses   | 8,119.00               | 244,088.00              | 189,984.34              | 28,399.71           | 25,703.95              | 89.47%              |
|           | 2000                      | Expenses   | 270,643.00             | 1,685,820.00            | 1,627,121.77            | 28,399.71           | 30,298.52              | 98.20%              |
| <b>15</b> | <b>K190778</b>            | <b>CY 19 Judicial One Time Funds</b>                                 |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 277,245.00             | 278,655.00              | 255,765.38              | 0.00                | 22,889.62              | 91.79%              |
|           | 3000-7000                 | Operating Expenses   | 48,061.00              | 46,651.00               | 7,897.68                | 411.88              | 38,341.44              | 17.81%              |
|           | 2000                      | Expenses   | 325,306.00             | 325,306.00              | 263,663.06              | 411.88              | 61,231.06              | 81.18%              |
| <b>16</b> | <b>K200713</b>            | <b>CY 20 Tribal Courts</b>   |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 272,055.00             | 1,688,785.40            | 1,601,916.04            | 0.00                | 86,869.36              | 94.86%              |
|           | 3000-7000                 | Operating Expenses   | 51,130.00              | 174,143.60              | 14,096.59               | 66,529.57           | 93,517.44              | 46.30%              |
|           | 2000                      | Expenses   | 323,185.00             | 1,862,929.00            | 1,616,012.63            | 66,529.57           | 180,386.80             | 90.32%              |
| <b>17</b> | <b>K200744</b>            | <b>CY 20 Judicial One Time Funds VAWA</b>                            |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 80,927.00              | 80,927.00               | 29,907.31               | 0.00                | 51,019.69              | 36.96%              |
|           | 3000-7000                 | Operating Expenses   | 104,265.00             | 104,265.00              | 172.16                  | 0.00                | 104,092.84             | 0.17%               |
|           | 2000                      | Expenses   | 185,192.00             | 185,192.00              | 30,079.47               | 0.00                | 155,112.53             | 16.24%              |
| <b>18</b> | <b>K200791</b>            | <b>CY 20 Judicial One Time Fund DAPA CW</b>                          |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 478,643.00             | 480,023.00              | 158,355.40              | 0.00                | 321,667.60             | 32.99%              |
|           | 3000-7000                 | Operating Expenses   | 47,200.00              | 45,820.00               | 930.08                  | 0.00                | 44,889.92              | 2.03%               |
|           | 2000                      | Expenses   | 525,843.00             | 525,843.00              | 159,285.48              | 0.00                | 366,557.52             | 30.29%              |
| <b>19</b> | <b>K210722</b>            | <b>CY 21 Tribal Courts</b>   |                        |                         |                         |                     |                        |                     |
|           | 2001                      | Personnel Expenses   | 273,047.00             | 1,433,497.00            | 510,713.04              | 0.00                | 922,783.96             | 35.63%              |
|           | 3000-7000                 | Operating Expenses   | 16,878.00              | 62,837.00               | 2,710.93                | 0.00                | 60,126.07              | 4.31%               |
|           | 2000                      | Expenses   | 289,925.00             | 1,496,334.00            | 513,423.97              | 0.00                | 982,910.03             | 34.31%              |
|           |                           | <b>Total P.L. 93-638 Funds:</b>                                      | <b>\$ 5,128,690.00</b> | <b>\$ 11,747,331.05</b> | <b>\$ 9,870,915.56</b>  | <b>\$ 95,341.16</b> | <b>\$ 1,781,074.33</b> | <b>84.84%</b>       |
|           |                           | <b>Judicial Branch External Funds &amp; P.L. 93-638 Grand Total:</b> | <b>\$7,007,680.00</b>  | <b>\$ 13,626,321.05</b> | <b>\$ 10,045,541.52</b> | <b>\$ 95,341.16</b> | <b>\$ 3,485,438.37</b> | <b>74.42%</b>       |

| <b>20 K201506 US TREASURY Judicial Branch</b>  |                          |                  |                  |                  |               |                  |         |
|--|--------------------------|------------------|------------------|------------------|---------------|------------------|---------|
| 2001   | Personnel Expenses       | 334,029.00       | 61,308.60        | 61,308.60        | 0.00          | 0.00             | 100.00% |
| 3000-7000  | Operating Expenses       | 7,475,923.00     | 1,906,446.94     | 1,871,924.41     | 31,623.36     | 2,899.17         | 99.85%  |
| 9000   | Capital Outlay           | 1,823,911.00     | 0.00             | 0.00             | 0.00          | 0.00             | #DIV/0! |
| 2000   | Expenses                 | 9,633,863.00     | 1,967,755.54     | 1,933,233.01     | 31,623.36     | 2,899.17         | 99.85%  |
| <b>Overall Breakdown of General Funds, NNIJ, External Funds, P.L. 93-638 Funds and US Treasury</b> |                          |                  |                  |                  |               |                  |         |
| 2000   | Personnel Expenses       | 8,987,745.43     | 25,733,401.55    | 17,816,879.24    | 0.00          | 7,916,522.31     | 69.24%  |
| 3000-7000  | Operating Expenses       | 9,513,644.00     | 7,175,776.42     | 4,056,231.45     | 270,622.60    | 2,848,922.37     | 60.30%  |
| 9000   | Capital Outlay           | 1,823,911.00     | 95,175.49        | 95,175.49        | 0.00          | 0.00             | 100.00% |
| 9500   | Matching & Indirect Cost | 241,912.00       | 243,951.00       | 21,577.80        | 0.00          | 222,373.20       | 8.85%   |
| 2000   | Expenses                 | \$ 20,567,212.43 | \$ 33,248,304.46 | \$ 21,989,863.98 | \$ 270,622.60 | \$ 10,987,817.88 | 66.95%  |

# XI. JUDICIAL BRANCH FINES AND FEES COLLECTION

| FY 2021 3rd Quarter - Budget Status Report as of 6/30/21 - BU 107008 |  |                    |                    |                    |                  |                    |                     |
|--|--|--------------------|--------------------|--------------------|------------------|--------------------|---------------------|
| Object Code  | Description                                | Original Budget    | Revised Budget     | Actuals - YTD      | Encumbrances     | Budget Available   | % Expensed of Total |
| 1400   | Financial Revenues                         | 0.00               | 0.00               | 24.00              | 0.00             | (24.00)            |                     |
| 1600   | Fines & Court Fees                         | (500,000.00)       | (500,000.00)       | (288,206.18)       | 0.00             | (211,793.82)       | 57.64%              |
| 1850   | Other Revenue Sources                      | 0.00               | 0.00               | (155.00)           | 0.00             | 155.00             | #DIV/0!             |
| 1000   | Revenues                                   | (\$500,000.00)     | (\$500,000.00)     | (\$288,337.18)     | \$0.00           | (\$211,662.82)     | 57.67%              |
| Court Fines & Fees Collected by Quarter                              |  |                    |                    |                    |                  |                    |                     |
| Object Code  | Description                                | FY 2021 1st Qtr.   | FY 2021 2nd Qtr.   | FY 2021 3rd Qtr.   | FY 2021 4th Qtr. | TOTAL - YTD        |                     |
| <b>1600</b>  | <b>Fines &amp; Court Fees</b>              |                    |                    |                    |                  |                    |                     |
| 1611   | District Court - Chinle                    | 1,090.00           | 1,660.40           | 1,288.90           | 0.00             | 4,039.30           |                     |
| 1612   | District Court - Crownpoint                | 2,161.40           | 1,831.25           | 4,103.15           | 0.00             | 8,095.80           |                     |
| 1613   | District Court - Kayenta                   | 1,189.35           | 3,907.37           | 5,539.90           | 0.00             | 10,636.62          |                     |
| 1614   | District Court - Ramah                     | 604.00             | 3,313.00           | 1,797.25           | 0.00             | 5,714.25           |                     |
| 1615   | District Court - Shiprock                  | 969.80             | 1,202.50           | 1,983.85           | 0.00             | 4,156.15           |                     |
| 1616   | District Court - Tuba City                 | 1,348.55           | 1,929.35           | 4,439.55           | 0.00             | 7,717.45           |                     |
| 1617   | District Court - Window Rock               | 547.80             | 1,861.00           | 1,144.00           | 0.00             | 3,552.80           |                     |
| 1618   | District Court - Dilkon                    | 330.10             | 70.00              | 285.00             | 0.00             | 685.10             |                     |
| 1619.02  | District Court - Aneth                     | 111.80             | 105.00             | 83.40              | 0.00             | 300.20             |                     |
| 1619.04  | District Court - Dzil Yijiin               | 1,285.00           | 1,615.00           | 1,229.10           | 0.00             | 4,129.10           |                     |
| <b>1610</b>  | <b>Dist. Fines &amp; Court Fees Total:</b> | <b>\$9,637.80</b>  | <b>\$17,494.87</b> | <b>\$21,894.10</b> | <b>\$0.00</b>    | <b>\$49,026.77</b> |                     |
| <b>1620</b>  | <b>Family</b>                              |                    |                    |                    |                  |                    |                     |
| 1621   | Family Court - Alamo                       | 55.00              | 220.00             | 245.00             | 0.00             | 520.00             |                     |
| 1622   | Family Court - Chinle                      | 500.00             | 840.00             | 740.00             | 0.00             | 2,080.00           |                     |
| 1623   | Family Court - Crownpoint                  | 610.00             | 1,085.00           | 1,125.00           | 0.00             | 2,820.00           |                     |
| 1624   | Family Court - Kayenta                     | 270.00             | 860.00             | 890.00             | 0.00             | 2,020.00           |                     |
| 1625   | Family Court - Ramah                       | 125.05             | 70.00              | 145.00             | 0.00             | 340.05             |                     |
| 1626   | Family Court - Shiprock                    | 359.50             | 1,093.65           | 415.00             | 0.00             | 1,868.15           |                     |
| 1627   | Family Court - Tohajiilee                  | 230.00             | 275.00             | 243.00             | 0.00             | 748.00             |                     |
| 1628   | Family Court - Tuba City                   | 435.00             | 2,290.00           | 1,120.00           | 0.00             | 3,845.00           |                     |
| 1629   | Family Court - Window Rock                 | 650.00             | 1,255.00           | 2,020.00           | 0.00             | 3,925.00           |                     |
| 1630   | Family Court - Dilkon                      | 115.00             | 175.00             | 630.00             | 0.00             | 920.00             |                     |
| 1631.02  | Family Court - Aneth                       | 265.00             | 240.00             | 455.00             | 0.00             | 960.00             |                     |
| 1631.04  | Family Court - Dzil Yijiin                 | 205.00             | 371.96             | 965.00             | 0.00             | 1,541.96           |                     |
| <b>1620</b>  | <b>Family Court Total:</b>                 | <b>\$3,819.55</b>  | <b>\$8,775.61</b>  | <b>\$8,993.00</b>  | <b>\$0.00</b>    | <b>\$21,588.16</b> |                     |
| <b>1640</b>  | <b>Circuit</b>                             |                    |                    |                    |                  |                    |                     |
| 1642   | Circuit Court - Alamo                      | 32.10              | 96.05              | 135.35             | 0.00             | 263.50             |                     |
| 1644   | Circuit Court - Tohajiilee                 | 376.50             | 559.30             | 105.15             | 0.00             | 1,040.95           |                     |
| <b>1640</b>  | <b>Circuit Court Total:</b>                | <b>\$408.60</b>    | <b>\$655.35</b>    | <b>\$240.50</b>    | <b>\$0.00</b>    | <b>\$1,304.45</b>  |                     |
| <b>1650</b>  | <b>Supreme</b>                             |                    |                    |                    |                  |                    |                     |
| 1652   | Supreme Court - WR                         | 980.00             | 480.00             | 480.00             | 0.00             | 1,940.00           |                     |
| <b>1650</b>  | <b>Supreme Court Total:</b>                | <b>\$980.00</b>    | <b>\$480.00</b>    | <b>\$480.00</b>    | <b>\$0.00</b>    | <b>\$1,940.00</b>  |                     |
| <b>1601</b>  | <b>Court Total:</b>                        | <b>\$14,845.95</b> | <b>\$27,405.83</b> | <b>\$31,607.60</b> | <b>\$0.00</b>    | <b>\$73,859.38</b> |                     |



| Object Code  | Description                           | FY 2021<br>1st Qtr. | FY 2021<br>2nd Qtr. | FY 2021<br>3rd Qtr. | FY 2021<br>4th Qtr. | TOTAL - YTD         |
|--|---------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| <b>1660</b>  | <b>Public Safety Fines</b>            |                     |                     |                     |                     |                     |
| 1661   | Traffic                               |                     |                     |                     |                     |                     |
| 1662   | Traffic Fines - Alamo                 | 0.00                | 0.00                | 0.00                | 0.00                | 0.00                |
| 1663   | Traffic Fines - Chinle                | 3,955.00            | 7,210.00            | 13,191.00           | 0.00                | 24,356.00           |
| 1664   | Traffic Fines - Crownpoint            | 2,438.00            | 7,146.50            | 9,306.00            | 0.00                | 18,890.50           |
| 1665   | Traffic Fines - Kayenta               | 6,122.50            | 20,118.50           | 31,008.00           | 0.00                | 57,249.00           |
| 1666   | Traffic Fines - Ramah                 | 3,655.00            | 9,230.50            | 9,540.00            | 0.00                | 22,425.50           |
| 1667   | Traffic Fines - Shiprock              | 1,224.40            | 11,677.50           | 5,250.00            | 0.00                | 18,151.90           |
| 1668   | Traffic Fines - Tohajiilee            | 0.00                | 0.00                | 0.00                | 0.00                | 0.00                |
| 1669   | Traffic Fines - Tuba City             | 5,050.00            | 16,312.50           | 28,290.00           | 0.00                | 49,652.50           |
| 1670   | Traffic Fines - Window Rock           | 6,048.00            | 1,850.00            | 1,679.00            | 0.00                | 9,577.00            |
| 1671   | Traffic Fines - Dilkon                | 755.00              | 485.00              | 9,930.00            | 0.00                | 11,170.00           |
| 1672.02  | Traffic Fines - Aneth                 | 645.00              | 1,165.00            | 375.00              | 0.00                | 2,185.00            |
| 1672.04  | Traffic Fines - Dzil Yijjin           | 235.00              | 375.00              | 50.00               | 0.00                | 660.00              |
| <b>1661</b>  | <b>Traffic Total:</b>                 | <b>\$30,127.90</b>  | <b>\$75,570.50</b>  | <b>\$108,619.00</b> | <b>\$0.00</b>       | <b>\$214,317.40</b> |
| 1682   | Restitution                           | 0.00                | 29.40               | 0.00                | 0.00                | 29.40               |
| <b>1600</b>  | <b>Fines &amp; Court Fees Totals:</b> | <b>\$44,973.85</b>  | <b>\$103,005.73</b> | <b>\$140,226.60</b> | <b>\$0.00</b>       | <b>\$288,206.18</b> |
| <b>Judicial District Court Fines &amp; Fees Summary:</b> |                                       |                     |                     |                     |                     |                     |
| 1600   | Fines & Court Fees                    | 9,637.80            | 17,494.87           | 21,894.10           | 0.00                | 49,026.77           |
| 1620   | Family                                | 3,819.55            | 8,775.61            | 8,993.00            | 0.00                | 21,588.16           |
| 1640   | Circuit                               | 408.60              | 655.35              | 240.50              | 0.00                | 1,304.45            |
| 1650   | Supreme                               | 980.00              | 480.00              | 480.00              | 0.00                | 1,940.00            |
| 1661   | Traffic                               | 30,127.90           | 75,570.50           | 108,619.00          | 0.00                | 214,317.40          |
| 1682   | Restitution                           | 0.00                | 29.40               | 0.00                | 0.00                | 29.40               |
|  | <b>Grand Totals:</b>                  | <b>\$44,973.85</b>  | <b>\$103,005.73</b> | <b>\$140,226.60</b> | <b>\$0.00</b>       | <b>\$288,206.18</b> |