

# FISCAL YEAR 2016

## Annual Report

(October 1, 2015 – September 30, 2016)

# Judicial Branch of the Navajo Nation



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[www.navajocourts.org](http://www.navajocourts.org)

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# 1. Vision, Mission and Strategic Goals

## VISION

It is our vision that the present judicial system, consisting of an adversarial-style tribal court system modeled on Anglo courts, a peacemaking system modeled on Diné original dispute resolution methods, and Probation and Parole Services, will fully embody the values and processes of the Navajo People, including family and clan-centered Navajo values. Our justice system as a whole will truly reflect the heart and soul of the Diné. It will be one that the People can recognize as their own and fully participate in the spirit of nábináhaazláago.

## MISSION

The Judicial Branch will provide stability in the Navajo Nation government by providing court, peacemaking, and probation and parole services, to adjudicate cases, resolve disputes, rehabilitate individuals and families, restore harmony, educate the public, agencies, services and other governments in Diné bi beenahaz' áanii, and protect persons and property pursuant to Navajo Nation laws, customs, traditions, and applicable federal laws. Pursuant to Diné bi beenahaz' áanii, the Judicial Branch will carefully develop a justice system that fully embodies the traditional values and processes of the Navajo People.

## STRATEGIC GOALS

- One:* As the Navajo Nation court, peacemaking, and probation and parole system, we will ensure the continued provision of efficient, fair, and respectful judicial services.
- Two:* We will ensure access to the judicial system by the public.
- Three:* We will address the infrastructure needed to maximize partnerships across branches, agencies, and communities.
- Four:* We will develop a judicial system in accordance with Diné bi beenahaz' áanii that fully incorporates Navajo values and processes.
- Five:* We will address facilities needs.

## 2. Judicial Branch Directory

### **ADMINISTRATIVE OFFICE OF THE COURTS**

P.O. Box 520 Window Rock, AZ 86515

#### **OFFICE OF THE CHIEF JUSTICE**

(928) 871-7669 / FAX (928) 871-6866

Allen Sloan, Chief Justice

#### **ADMINISTRATION**

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Stephen B. Etsitty, Administrative Director of the Courts

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#### **HUMAN RESOURCES**

(928) 871-7023 / FAX (928) 871-6862

Ralph L. Roanhorse, Director of Human Resources

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#### **FISCAL SERVICES**

(928) 871-7026 / FAX (928) 871-6901

Yvonne A. Gorman, Financial Services Manager

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#### **INFORMATION TECHNOLOGY**

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Ben Mariano, Information Technology Manager

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### **NAVAJO NATION PROBATION SERVICES**

P.O. Box 520

Window Rock, AZ 86515

Lucinda A. Yellowhair, Chief Probation Officer

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### **NAVAJO NATION PEACEMAKING PROGRAM**

P.O. Box 520

Window Rock, AZ 86515

Roman Bitsuie, Peacemaking Coordinator

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### **SUPREME COURT OF THE NAVAJO NATION**

P.O. Box 520

Window Rock, AZ 86515

Allen Sloan, Acting Chief Justice

Eleanor Shirley, Associate Justice

Michael Smith, Supreme Court Clerk

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### **ALAMO / TO'HAIJILEE JUDICIAL DISTRICT**

Alamo Court

P.O. Box 5458

Alamo, NM 87825

William J.J. Platero, Judge

Regina C. Begay-Roanhorse, Court Administrator

Telephone (575) 854-2668 or 854-2669

FAX (575) 854-2660

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E-mail [reginaroanhorse@navajo-nsn.gov](mailto:reginaroanhorse@navajo-nsn.gov)

To'hajiilee Court

P.O. Box 3101-A

Canoncito, NM 87026

William J.J. Platero, Judge

Regina C. Begay-Roanhorse, Court Administrator

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FAX (505) 908-2819

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E-mail [reginaroanhorse@navajo-nsn.gov](mailto:reginaroanhorse@navajo-nsn.gov)

### **ANETH JUDICIAL DISTRICT**

P.O. Box 320

Montezuma Creek, UT 84534

Irene S. Black, Judge

Susie L. Martin, Court Administrator

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**CHINLE JUDICIAL DISTRICT**

P.O. Box 547  
Chinle, AZ 86503

Rudy I. Bedonie, Judge  
Vanessa Mescal, Court Administrator

District Court (928) 674-2070/2071  
Family Court (928) 674-2084  
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E-mail [vanessamescal@navajo-nsn.gov](mailto:vanessamescal@navajo-nsn.gov)

**CROWNPOINT JUDICIAL DISTRICT AND PUEBLO PINTADO CIRCUIT COURT**

P.O. Box 6  
Crownpoint, NM 87313  
Irene M. Toledo, Judge  
Rena Thompson, Court Administrator

District/Family Court (505) 786-2072  
FAX (505) 786-2086  
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**DILKON JUDICIAL DISTRICT**

HC 63 Box 787  
Winslow, AZ 86047  
Cynthia Thompson, Judge  
Darlene LaFrance, Court Administrator

District/Family Court (928) 657-8141,8142  
FAX (928) 657-8146  
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**DZIL YIJIIN JUDICIAL DISTRICT**

P.O. Box 129  
Pinon, AZ 86510  
Rudy I. Bedonie, Judge  
Arlene Lee, Court Administrator

District/Family Court (928) 725-3781  
FAX (928) 725-3786  
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**KAYENTA JUDICIAL DISTRICT**

P.O. Box 2700  
Kayenta, AZ 86033

Malcolm P. Begay, Judge  
Lavonne K. Yazzie, Court Administrator

District Court (928) 697-5549  
Family Court (928) 697-5550  
FAX (928) 697-5546  
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E-mail [lavonneyazzie@navajo-nsn.gov](mailto:lavonneyazzie@navajo-nsn.gov)

**RAMAH JUDICIAL DISTRICT**

P.O. Box 309  
Ramah, NM 87321  
Leonard Livingston, Judge  
Esther Jose, Court Administrator

Telephone (505) 775-3218  
FAX (505) 775-3399  
E-mail [leonardlivingston@navajo-nsn.gov](mailto:leonardlivingston@navajo-nsn.gov)  
E-mail [estherjose@navajo-nsn.gov](mailto:estherjose@navajo-nsn.gov)

**SHIPROCK JUDICIAL DISTRICT**

P.O. Box 1168  
Shiprock, NM 87420

Genevieve Woody, Judge  
Ethel S. Laughing, Court Administrator

District Court (505) 368-1270  
Family Court (505) 368-1287  
FAX (505) 368-1288  
E-mail [genevievewoody@navajo-nsn.gov](mailto:genevievewoody@navajo-nsn.gov)  
E-mail [ethellaughing@navajo-nsn.gov](mailto:ethellaughing@navajo-nsn.gov)

**TUBA CITY JUDICIAL DISTRICT**

P.O. Box 725  
Tuba City, AZ 86045  
Victoria R. Yazzie, Judge  
Alice Huskie, Court Administrator

District/Family Court (928) 283-3140  
FAX (928) 283-3158  
E-mail [vryazzie@navajo-nsn.gov](mailto:vryazzie@navajo-nsn.gov)  
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**WINDOW ROCK JUDICIAL DISTRICT**

P.O. Box 5520  
Window Rock, AZ 86515

Carol K. Perry, Judge  
Geraldine V. Benally, Judge  
Verlena Hale, Court Administrator

District Court (928) 871-6962/6984  
Family Court (928) 871-6471/7562  
FAX (928) 871-7560  
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### 3. Judicial Branch Organizational Chart



## 4. Judicial Branch Reports

### A. Administrative Office of the Courts

#### Facilities

*Supreme Court and Administrative Office of the Courts.* Legislation for \$300,000 supplemental funding to complete construction was approved by the Navajo Nation Council. The estimated completion cost is \$275,422. In collaboration with the Office of the NN President/Vice President, the Navajo Nation Facilities Maintenance Department agreed to assist with the construction project. In July 2016, Four Seasons Construction LLC installed new roofs and repaired leaks on both buildings. NTUA and NECA completed the water and sewer lines. The electrical power is still pending and should be completed in the next fiscal year. An appreciation ceremony was held on September 29, 2016, to thank the NN President/Vice President, Navajo Nation Council, Law & Order Committee, NTUA, NN Facilities Maintenance, and Judicial Branch personnel for their contributions to the projects.

*Pueblo Pintado.* Construction of the Pueblo Pintado Court was completed by Rock Gap Engineering Company. The building does not have electricity and is unoccupied. Jemez Mountains Electric Cooperative was paid to do the work; however, it was found that the building was located on land identified by the Pueblo Pintado Chapter; however, the land was not under the chapter's withdrawn land. The land issue was resolved through Navajo Land Administration with the assistance of chapter vice-president Erlene Henderson. In early 2016, the building had a severe water leak resulting in damage to the walls, floor, and ceiling. After an inspection by Navajo Nation Risk Management and an insurance adjuster, mold was detected. The NN Risk Management Program hired a contractor to repair and renovate the building to make the facility safe for court personnel and the public. Once the repairs are complete, a certified electrician is needed to connect services to the building. Jemez Electric will then connect electrical services to the property. Completion of the work and occupancy should occur in FY2017.

*Chinle Judicial District.* Construction of facilities for the court, law enforcement, and corrections are complete and ready for occupancy. The facilities were dedicated with a program on August 26, 2016, at Chinle. Occupancy of the court building should occur in the next fiscal year.

*Dzil Yijiin Existing Building.* Navajo Nation funds in the amount of \$340,725 was appropriated by Resolution CJY-37-16 to remodel a modular building for court services. Thank you to the Law & Order Committee, Budget & Finance Committee, Naabikiyati Committee, Navajo Nation Council, and the Office of the President/Vice President.

*Window Rock Judicial District.* The Window Rock Judicial District will be relocating to a Window Rock Shopping Center modular building located south of Navajo Westerners True Value. The move will occur in FY2017. Window Rock Probation/Parole Services and Window Rock Probation Services Central Office will also occupy this facility. The Window Rock Judicial District and the Administrative Office of the Courts paid for materials to complete installation of interior walls, judges' benches, offices for judges, court staff, and probation services staff. Completion of the renovation should occur in the next fiscal year and will be occupied by the Window Rock Judicial District judges and court staff and probation services.

*Crownpoint Judicial District.* A few years ago, a hogan was constructed near the former police/court building. It is not connected to the community water and sewer lines. Funds are

necessary to complete this project. The Crownpoint Judicial District is planning to renovate the hogan and use it as office space.

*Peacemaking Program Headquarters.* The Navajo Nation Facilities Maintenance did a great job in renovating the building. The staff moved back in and are providing services as of July 5, 2016.

*Alamo Court.* Water from leaks in the roof caused mold to form in and on interior walls in the court building. The Judicial Branch has been working with Facilities Maintenance to correct the problem. Spot repairs have been done as temporary fixes, but, a complete roof replacement is necessary. Personnel relocated to Alamo chapter facilities and a hogan pending replacement of the roof and eradication of the mold. On September 16, 2016, NN Risk Management Program determined that this loss would not be covered under the Navajo Nation property insurance. The Administrative Office of the Courts plans to request that NN Risk Management Program reconsider the denial of coverage of this claim.

*Tuba City Court Building Warranty Issues.* The Administrative Office of the Courts assisted the Tuba City court administrator with warranty issues reported to the construction company for the last two years. A number of warranty issues remained outstanding and the construction company representative and the court administrator have reached an agreement to have the warranty issued resolved.

### **Navajo Nation Integrated Justice Information Sharing Project**

- Assisted with Justware training on October 26-28, 2015. Reviewed changes within Justware once “partition” or separate district data storage area is removed to allow data to be viewed by all districts allowing for the one-view concept.
- Coordinated a NNIJISP partnership meeting on December 18, 2015. Nelson Billy and Alex Largo of NDIT; Orlando Bowman, IMS; Harmon Mason, Probation Services; Sharon Begay-McCabe, AOC; and Ben Mariano, AOC reviewed the compiled tasks list for NNIJISP goals and objectives, Memorandum of Understanding, and Plan of Operation.
- Submitted the NNIJISP Memorandum of Understanding, Plan of Operation, and Court Automation Policy for legal review before introducing new updates to NNIJISP partners and stakeholders (i.e., Division of Public Safety, Division of Social Services, Navajo Department of Information Technology, Navajo Nation Probation Services, Office of the Prosecutor, and the Peacemaking Program).
- Assisted in coordinating an overview of NNIJISP next configuration and implementation plan for JusticeWeb e-payment, e-view, e-discovery, and e-file. This training/work session was held June 6-10, 2016, for all Justware participants and Navajo Nation Cashiers Section with Journal Technologies project managers facilitating.
- Worked with Sacred Wind Communications to provide To’hajiilee Judicial District fiber optic internet service. The communication upgrade will provide stable and faster internet service. A communications room at the court was upgraded in preparation for the new network/fiber optic equipment installation.
- Assisted with Justware JDA forms configuration to consolidate JDA forms with the staff attorneys. The staff attorneys evaluated 60+ forms and consolidated the forms down to an estimate 42 forms.
- Assisted grant administrator Raquel Chee with the Tribal Action Plan to address issues on the Navajo Nation (i.e. domestic violence, abuse) by providing IT technical support for PowerPoint presentation and laptop connections at meetings, in addition to participating in the TAP initiative.



- Prepared and submitted the 2017 NNIJISP Proposed Fixed Cost Justification for Business Unit 118019 and presented before the Budget and Finance Committee.
- Assisted the senior budget analyst in completing the iVCI Video Conferencing Support Contract for FY2017 to provide video conferencing equipment and technical support to the Judicial Branch.
- Assisted the senior budget analyst in completing the Justware Support Contract for Journal Technologies to provide technical support for the Justware software.

## **Information Technology**

Provided continual HP AlphaServer, case management system (CMS), hardware, and other applicable network support at Ramah Court.

Provided continual personal computer support at Tuba City, Kayenta, Chinle, Dził Yijiin, Window Rock, Crownpoint, Ramah, Alamo, To'hajiilee, Dilkon, and Aneth courts. Personal computer support consisted of hardware/software support and software patches/updates for personal computers.

Provided continual support for digital recording systems, archival scanning systems, and video conferencing equipment.

Provided system administration support for the Justware computer software application for NN Supreme Court; Shiprock court, probation, prosecutor; Dilkon court, probation, peacemaking, prosecutor; Chinle court, probation, peacemaking, and prosecutor; Aneth court, probation, peacemaking; Kayenta court, probation, peacemaking, prosecutor; Tuba City court, probation, and peacemaking; Window Rock court, probation, peacemaking, prosecutor; Crownpoint court, probation, peacemaking, prosecutor; Dził Yijiin court; To'hajiilee court, probation, peacemaking, prosecutor; Alamo court, probation, peacemaking and prosecutor.

Provided Justware configuration/modification work sessions for Navajo Nation SMEs (subject matter experts) to implement more features for the courts.

Completed point-to-point computer network connection for Dził Yijiin Courts with Cellular One and NNDIT. Point-to-point connection between Whipporwill and NNDIT is for access to Justware, SharePoint, and navajo.org computer domain.

Selected NavaTech to replace Judicial Branch desktop and laptop computers. Received shipment of 112 desktop computers and 77 laptop computers with docking accessories. Completed installation of replacement computers for Navajo Nation court administrators, court clerks, office technicians, staff attorneys, judges, peacemaking staff, probation staff, and AOC staff. The court clerks, peacemaking staff, and office technicians received desktop computers. Court administrators, staff attorneys, judges, and probation officers received laptop computers with docking accessories. Windows 10 Professional, MS Office 2016 Professional, and MS Office Home and Business were installed on these computers before deployment to the districts.

Implemented SharePoint for use by all Navajo Nation Judicial Branch courts, administration, supreme court, staff attorneys, and judges.

Conducted Justware work sessions for courts, probation, and peacemaking. Continued Justware configuration for probation and peacemaking to integrate and share Justware data to improve information exchange between the courts, probation, and peacemaking.

Held teleconference meetings with Journal Technologies to continue JusticeWeb implementation and configuration for e-file, e-view, e-payment, and e-discovery in JusticeWeb.

Conducted JusticeWeb work sessions for Navajo Nation Integrated Justice Information Sharing Project (NNIJISP) participants to implement e-file, e-view, e-payment, and e-discovery.

## **Archiving**

Prepared, organized, and scanned documents/files for archiving:

- 2015 IT Service Requests from judicial districts, Supreme Court, Office of the Chief Justice, Administrative Office of the Courts, NN Probation Services, and Peacemaking Program.
- Chinle, Crownpoint, and Ramah Judicial Districts: 1985-1993 fines and fees
- Ramah, Tuba City, Shiprock, and Window Rock Judicial Districts: Fines and fees from 1988-1993
- Office of the Chief Justice: 2002 chrono files, correspondences, travel file for retired Chief Justice Robert Yazzie; 2014 leave reports for judges; 2015 administrative orders
- Tuba City Judicial District: Inactive 2006 traffic records
- Dził Yijiin Judicial District: Closed 2012-2014 family court records
- Judicial Branch Human Resources: Inactive grievance records, personnel records, and timesheets

Assisted Judicial Districts with retrieval of archived microfilmed records. The Judicial Branch has only one machine at the Administrative Office of the Courts in Window Rock to view and print microfilmed records. District personnel travel to or send films to Window Rock to retrieve and/or print records for the public.

Assisted Shiprock Judicial District with locating, printing, and certifying a court record. An individual had requested for a name change record at Window Rock Judicial District, but, after checking on the WebXtender database, the record was found with Shiprock Court's records. Certified copies were provided to the requesting party.

Assisted with saving (back up) scanned court records onto DVDs for safe keeping for the judicial districts.

Assisted IT personnel by receiving and processing IT service requests submitted by the Judicial Branch courts and programs, and maintaining an inventory of laptops and audio visual equipment for short-term loan.

## **B. Courts of the Navajo Nation**

### **Tuba City Judicial District**

Pro Se Training. Staff attorneys Glen Renner and Tina Tsinigine sponsored 19 pro se trainings for individuals that wish to file pro se packets with the court. Pro se training topics included dissolution of marriage, validation of common law marriage, recognition of traditional marriage, paternity, child visitation, child custody, child support, modifications, correction of record, declaration of name, how to answer a petition, guardianship of a minor/adult, probate, quiet title, and name change. Individuals who want to represent themselves are required to attend pro se training so he/she is aware of what the law requires and their duties and responsibilities in court. A total of 371 participants attended pro se training sessions in FY2016.

Criminal Justice Summit (CJS). The Tuba City Judicial District sponsored five CJS meetings this quarter. Groups in attendance included Navajo Department of Law Enforcement, Department of Corrections, Navajo Nation Courts, Navajo Nation Probation/Parole Services, Peacemaking Program, local schools, and service providers that have an interest in delivery of services by the justice system. With collaborative efforts, the group hopes to continue identifying ways to share and streamline existing processes to better serve the community. Judge Victoria Yazzie facilitated the meetings, with assistance by staff attorney Tina Tsinigine, court administrator Alice Huskie, and office technician Orlando Sam.

2016 Justice Day. On April 1, 2016, the Tuba City Judicial District held its annual justice day with an open house, tours of the court building, and a luncheon. More than 500 students and the public participated in the event and had opportunity to meet the judge and visit the courtroom without the formalities of a court hearing. The public enjoyed presentations on justice symbols, why Lady Justice is blindfolded, and the meaning of the justice scale. Staff explained courtroom rules, the different types of hearings held by the family and district courts, and the types of cases referred to probation or peacemaking. Chief Justice Allen Sloan and Tuba City Council Delegate Otto Tso shared information on the Navajo Nation Supreme Court and the Navajo Nation government to the students and the general public. The overall turnout was excellent.

Child Support Enforcement (CSE). The Tuba City Judicial District worked with the Navajo Child Support Enforcement by having them hold administrative hearings at the court where it is more structured and secured for clients. Staff of CSE are assigned an office in the court building where they can meet with clients and process paperwork. The courts and CSE are collaborating information in hopes of locating absent parents.

Fiscal Year 2017 Budget. Court administrator Alice Huskie attended orientation sponsored by the Office of Management and Budget on the FY2017 Budget Instructions Manual. Ms. Huskie attended budget hearings before the Law and Order and Budget and Finance Committees. She also presented the Tuba City Judicial District proposed budget for approval by the Law and Order Committee. The budget for Fiscal Year 2017 was accepted.

Staff Development. On August 26, 2016, the Tuba City Judicial District staff participated in the 2016 Staff Development Day at Wheatfields Lake, Arizona. Trainings topics included Customer Service by Lyle Harvey, Wellness and Spirituality by Anthony Lee, Sexual Harassment by Ralph Roanhorse, and stress buster activities by staff of the Peacemaking Program. Crownpoint Judge Irene Toledo gave the welcome address and Chief Justice Allen Sloan gave the closing remarks. The staff enjoyed the get together with other Judicial Branch staff.

Justware Work Sessions. Numerous Justware (the court case management software program) work sessions were held for the court staff. The Tuba City Judicial District went live with Justware in December 2012. The program is good; however, it requires a lot of entries so the Branch is working on simplifying many functions and minimizing the data entry. The goal is to standardize and make uniform the court's procedures and processes throughout all judicial districts.

Navajo Nation Judicial Conference. Judge Victoria Yazzie, staff attorney Tina Tsinigine, and court administrator Alice Huskie participated in the quarterly judicial conferences. Judicial conferences are hosted by the local judicial districts. Chief Justice Allen Sloan conducted the meetings and shared updated information on governmental and branch activities. Different presenters are invited to share information on their respective programs and activities. Key staff from the Administrative Office of Courts also report on their projects.

Leadership Meeting. On July 26, 2016, court administrator Alice Huskie attended a meeting with Navajo Nation President Russell Begay, Regina Holyan with Department of Justice, Jesse Delmar with Division of Public Safety, Delores Grayeyes with Department of Corrections, the Law and Order Committee, and the Federal Office of Justice Programs, deputy assistant attorney general and key staff. The meeting was to address remaining audit issues and implement corrective action plans for the Navajo Department of Corrections. The group set timelines for submission of reports.

Flagstaff Justice Court. Judge Howard Grodman of Coconino County Flagstaff Justice Court and Judge Gerald McCafferty of the Flagstaff Municipal Court and their staff conducted court proceedings at the Tuba City Judicial District for individuals with open criminal or traffic cases with the Coconino County Flagstaff Justice Court or Flagstaff Municipal Court. People can also use this opportunity to ask a judge to cancel their outstanding warrants and appear without being arrested in court. This arrangement is for the benefit of the people, defendants, and families so they do not have to travel far for court hearings. The types of charges consisted of DUI, driving on suspended license, disorderly conduct, assault, false reporting, possession of marijuana, and insurance violation.

## **Kayenta Judicial District**

The Kayenta Judicial District provided mobile court services to the Naatsis'áán (Navajo Mountain) Chapter in Navajo Mountain, Utah, and Tsa'ah bii Kin (Inscription House) Chapter House in Inscription House, Arizona. Services included new Navajo Nation court case filings, pending family and district case inquiries, fine and fee payments, probation services, and free pro se clinic. The community was very thankful and appreciated the "Justice on Wheels" effort. In addition, the district staff attorney's monthly free pro se legal clinics benefited 217 self-represented litigants throughout the year.

Court administrator Lavonne K. Yazzie participated in several Navajo Nation Law and Order Committee and Budget and Finance Shihasin Sub-Committee meetings to advocate for the district's building needs. Both committees were supportive of the district efforts to secure funding for our construction ready project for a new 15,000 square feet district/family court, probation and peacemaking services building. Law and Order committee member Kee Allen Begay is commended for his diligent efforts in support of the Kayenta Judicial District's needs.

All district staff participated in the 2015 Navajo Nation Judicial Branch Conference entitled, "Linking the Past with the Future through Diné Bi Beenahaz'áanii" in Albuquerque, New

Mexico. The staff garnered 20 hours of continued education and essential information to enhance and foster professional development within their perspective job titles. The highlight of the conference was guidance and inspiration offered by retired Navajo Nation Justices Tom Tso, Robert Yazzie, Raymond D. Austin, and Herb Yazzie on the importance of Navajo sovereignty, tradition, and language.

Judge Malcolm Begay represented the Navajo Nation Judicial Branch at the Arizona State, Tribal, and Federal Court Forum in Maricopa, Arizona. Members and participants addressed child support guidelines development, probation supervision on reservations, Indian Child Welfare Act developments, and tribal orders of protection.

Judge Malcolm Begay completed the National Judicial College trainings entitled, “General Jurisdiction” and “Appellate Skills for Tribal Judges” to fulfill his probationary judge training requirements. The courses offered insight on how to manage courtrooms, handle self-represented litigants, effectively conduct trials, judicial discretion, judicial ethics and sentencing, insights on current federal case law and its impact on the jurisdiction of tribal courts; legal reasoning, analysis, and opinion writing.

Judge Malcolm Begay and six district staff participated in the “2016 Journal Technologies Professionals Training Conference” in Logan, Utah. The staff gained insight to the new JusticeWeb interface program coming to the Navajo Nation. The program is an online version of the court JustWare software program that will provide public access to the Navajo Nation Courts using the E-View, E-Discovery, E-Pay and E-File alternatives. Staff previewed the JustWare 6.0 upgrade version for future implementation.

Judge Malcolm Begay and staff attorney Letitia Stover earned 11.75 hours of continuing legal education credits at the Navajo Nation Bar Association 2016 Annual Conference on June 9-10, 2016, in Albuquerque, NM. The conference gave presentations on Navajo traditional, customary, and common laws; Navajo and international laws; and ethical issues under Navajo Nation law.

The Kayenta Judicial District staged a successful 2016 Kayenta Judicial District Justice and Law Day in conjunction with the Navajo Nation Division of Public Safety at the Kayenta Recreation Center on May 13, 2016. Hundreds of people came out to obtain information on court services, visit with service providers, and view emergency vehicle displays. Lunch was provided to all participants.

The Kayenta Judicial District presented effective in-house staff trainings on Alchíní Bi Beehaz ánni Act, Navajo Nation Privacy Access to Information Act, Jury Management, Navajo Nation Financial Management Information System, and Emergency Response Procedures and Active Shooter Preparedness. The district was fortunate to secure qualified NNBA members, judges, staff attorneys, and other professionals to provide in-house education at no cost.

Court administrator Lavonne K. Yazzie participated in several strategic budget planning meetings and presented the Kayenta Judicial District’s proposed FY2017 general fund budget before the Navajo Nation Law & Order and Budget & Finance Committees. The committees were supportive of the district’s proposed portion of the Judicial Branch’s overall budget despite a shortfall in funding.

## **Aneth Judicial District**

The Aneth Judicial District staff met with FBI victim specialist Kelly Bradford of Monticello, Utah, on December 15, 2015, to discuss concerns related to children/students in the local area.

Resource coordinator Clorissa Thomas and staff attorney Glen Renner presented during the “Women’s Empowerment Day” at the local high school on October 22, 2015. The presentation focused on setting career goals to the young female population.

The staff gained traditional education from various presentations during the Navajo Nation Judicial Branch Conference held in Albuquerque, NM, from November 2, 2015, to November 5, 2015. Former chief justices, associate justices, and traditional specialists shared information which will be cherished by the Judicial Branch personnel.

The Aneth Judicial District staff planned its 2016 Annual Justice Day to include U.S. District Court for the District of Utah Tribal and Community Reentry Court (TCRC) and pro-se clinics to educate the public on Navajo judicial services.

The U.S. District Court for the District of Utah Tribal and Community Reentry Court held three hearings at the Aneth Judicial District. The reentry court met with participants to address the high recidivism rate of reoffending individuals who have been released from federal incarceration. TCRC holds monthly review hearings for participants to assist with reentry into the Aneth community. The Aneth Judicial District and the Aneth Community Court support TCRC’s efforts to help heal communities within the Aneth Judicial District.

On April 18, 2016, staff attorney Glen Renner provided training to chapter officials at Mexican Water and Red Mesa Chapters on the chapter official’s responsibility as it relates to emergency protection orders. According to the Domestic Abuse Protection Act, Title 9 NNC §1656, in cases of emergency, chapter officials are vested with responsibility to assist victims in applying for emergency (short-term) protection orders. The training educated chapter officials to understand the statute and their responsibilities in cases of emergency domestic abuse.

The Law and Order Committee (LOC) held its April 27, 2016, committee meeting at the Aneth Chapter meeting hall. The Aneth Judicial District presented its concerns and recommendations to the oversight committee. In addition, the district presented on its community court pilot program. The report was well received by the committee. The LOC visit was featured in a news release which was broadcast by KTNN radio station and published in the May 10, 2016, issue of the Gallup Independent.

The Utah Federal Court Tribal Reentry Program sponsored a family day event in Blanding, Utah, on July 14, 2016, to encourage program participants and their families to continue making positive changes in their lifestyles. Local resource providers and the Aneth Judicial District staff who helped with the reentry program also participated to offer encouragement.

The Aneth Community Court closed its Aneth Community Court grant project in the fourth quarter of FY2016. The last report to the grantor will be submitted at the end of the fourth quarter. The program was a success with a recidivism rate of 3%. However, the Navajo Nation Judicial Branch lacked funds to sustain the program under its regular general funds budget. Court administrator Susie L. Martin advocated to the Navajo Nation Judicial Branch and the Law and Order Committee to continue the community court and make the resource coordinator position a permanent position under the general funds budget. Ms. Martin presented on the success of the

program during the oversight committee's visit to Aneth. Nonetheless, the Navajo Nation lacked funds to continue the community court. Community court resource officer Clorissa Thomas resigned on September 2, 2016. All participants and pending cases in the program were referred to Navajo Nation Probation and Parole Services to complete their sentences.

On December 1, 2014, Administrative Order 68-2014, Temporary Assignment of Judge Irene S. Black to Shiprock Judicial District, was issued and remains in effect. Judge Black heard cases in the Shiprock Judicial District on Mondays, Thursdays, and Fridays. She hears cases and attends to district matters in the Aneth Judicial District on Tuesdays and Wednesdays each week.

## **Chinle Judicial District**

Justware Training. Court administrator Vanessa Mescal and court clerks Shaun Sells, Jeremiah Tsosie, and Valerie Descheny participated in Justware w to review and revise the dropdown menus, and add or disable the terminologies used in the dropdown menus. The partitions between the districts were removed so all districts are able to see other districts' case records.

Administrative Assignment for Dził Yijiin. Judge Rudy Bedonie traveled to Whippoorwill Chapter on Wednesdays and Thursdays to hear Dził Yijiin Judicial District cases. Court administrator Vanessa Mescal rescheduled cases to accommodate clients in Dził Yijiin on the given days. Thus far, the arrangements for Judge Bedonie has been working. The court administrator continued to work with Dził Yijiin court administrator Arlene Lee to maintain the management of cases in both courts.

Chinle Justice Center. Construction of the Chinle Justice Center continued. Barriers including cleaning of certain areas, right-of-way for utilities, and signing over of land from BIA and Navajo Nation are being addressed at the Navajo Nation President and Navajo Nation Council level. Aside from these barriers, the court administrator and staff selected furniture and upholstery for the furniture. Other meetings focused on warranties, maintenance, controlling security cameras, and costs of utilities. The final punch list for security and safety is still pending. Also, BIA has yet to complete its inspection so a certificate of occupancy can be issued. The court staff is eagerly anticipating moving into the new building.

2015 Judicial Conference. The staff enjoyed the Judicial Branch 2015 Conference in Albuquerque, New Mexico in November 2015. Staff reacquainted themselves with other employees from other judicial districts. The presenters were awesome and motivated the staff to "keep serving our people in the best way we know." They enjoyed topics presented by the Peacemaking Program and look forward to the next conference.

Law & Order Committee Meeting. The court administrator reported on updates on the Chinle Justice Center and the staff during a meeting with the Law & Order Committee in Chinle, Arizona. The court administrator reported that the judicial district is in need of a staff attorney. Currently, the judge and court administrator ask staff attorneys from other judicial districts for assistance with court cases, administrative matters, and issues associated with the Chinle Justice Center.

Grand Opening for Chinle Justice Center. The Chinle Navajo Department of Law Enforcement, Department of Corrections, and the Navajo Nation Courts met periodically to plan for the grand opening of the buildings. The dedication and grand opening included a blessing-way ceremony in the on-site peacemaking hogan, a program at the local high school to recognize individuals who contributed in the planning and construction of the buildings, mini tours of the buildings, and

a luncheon for the attendees. The criminal justice entities collaborated in planning and carrying out the plans for the event.

FMIS Training. The court administrator, office technicians, and one court clerk participated in the Navajo Nation Financial Management Information System (FMIS) training in Tuba City, Arizona. Once the training modules are complete, staff will be able to access the FMIS and track their budgets, expenditures, and fines and fees.

Justware Conference Training. The court administrator, office technician, and court clerks obtained information on JusticeWeb and its financial aspects during the Justware Training Conference in Logan, Utah. The information obtained will assist in the planning of implementing payment through JusticeWeb and allow the public to view the status of cases on line.

Annual Justice Day. The court staff hosted its annual justice day for the public with an open house, luncheon, and presentations. Several local classes visited the courts and had plenty of questions for the judge and court staff. The students were intrigued by the kind of work the court conducts.

Judicial Branch Staff Development. The court staff participated in the Judicial Branch Staff Development Day at Wheatfields Lake, Arizona. Staff enjoyed the company of other Judicial Branch staff, the outing away from the office, information obtained from presenters, and promotional items. Chief Justice Allen Sloan announced that he will be retiring from his judgeship soon.

### **Dilkon Judicial District**

The district continued to network and collaborate with the local resources to improve interoffice communication and services. Participation by all groups is essential. i.e., Office of the Prosecutor, Department of Public Safety, Department of Corrections, Department of Behavioral Health Services, Division of Social Services, and Judicial Branch Probation and Parole Services. It was a slow start, but with extra efforts, the group managed to have productive meetings with public safety and social services. The focus for fiscal year 2016 will be to get other departments involved; it is important to have these meetings with all local resources to better serve customers that are encountered on a daily basis.

Dilkon Court conducted two staff in-service trainings for each quarters this fiscal year; trainings such as, Legislative Tracking Website, Colonial Life Insurance information, financial desk audit process & procedures, updates/refreshers on jury trials process & procedural, judicial branch uniform case management, SharePoint process and etc.

Throughout the year, Dilkon Judicial District supported trainings and work sessions related to the JustWare Case Management application. Training and/or work sessions facilitated by Judicial Branch IT staff enhance staff knowledge to better understand and learn the data system for a more uniformed efficient case management system. This in turn improves customer services to the public. District SMEs and court administrator attended scheduled work sessions/meetings throughout the quarters.

The Dilkon Judicial District court staff attended the Annual Judicial Branch 2015 Conference in Albuquerque, New Mexico. Thank you for another successful conference.



The Dilkon Court management staff held meetings to discuss providing Pro Se classes for the public and reviewed forms currently being used.

Judicial Branch Fiscal Services conducted training for staff to become certified to use the Navajo Nation Financial Information Management System (FMIS).

Dilkon Judicial District celebrated its 10<sup>th</sup> Anniversary and Justice Day on April 1, 2016; with a theme, "Planning the Future with Diné Justice." Activities included guest speakers, entertainment by local schools and information booths by local resources. A traditional lunch was served as an appreciation gesture to the community and court staff for making the event a success.

Judge Cynthia Thompson:

- Remained active on community awareness and education, especially outreach to the youth.
- Participated in meetings and presented during Save Our Schools meetings with Pinon Health Clinic, Many Farms School, and Dilkon Community Schools.
- Presented at the Domestic Violence Breaking the Silence Conference.
- Administered oaths of office to police officers, prosecutors, school board.
- Designated Associate Justice in several Navajo Nation Supreme Court cases. Staff attorney Jordan Hale provided legal assistance.
- Participated in Title 7 work sessions and quarterly judicial conferences.
- Assisted other judicial district as requested.

The Dilkon Court staff participated in the 2016 Staff Development Day at Wheatfields Lake, Arizona. Outdoor activities included guest speakers, traditional lectures, and presentations by peacemaking program staff. It was a nice outing for the staff away from the court.

The staff attorney and court administrator prepared and assisted with the FY2017 budget process. They attended work sessions, budget orientations, and oversight budget hearings before the Law and Order Committee. The district's proposed budget was accepted by the committee.

### **Dził Yijiin Judicial District**

Along with his regular assignment as the presiding judge of the Chinle Judicial District, Judge Rudy I. Bedonie was assigned as the presiding judge of the Dził Yijiin Judicial District. His worksite arrangements on an interim basis include his regular duty station in Chinle three days a week and in Dził Yijiin two days a week.

Dził Yijiin Judicial District successfully acquired internet access and installation of the Justware network, updated software, and equipment to promote more efficient and effective judicial services.

On October 30, 2015, the Dził Yijiin Judicial District hosted the quarterly judicial conference at Blue Gap Chapter with presentations by Blue Gap Chapter president Aaron Yazzie, council delegate Dwight Witherspoon, and the Dził Yijiin Regional Council.

On November 9, 2015, Victor J. Clyde commenced as a commissioner presiding on weekly hearings involving domestic violence matters at the Dził Yijiin Judicial District.

The Dził Yijiin Judicial District employees were fortunate to have participated in two conferences with other Judicial Branch personnel; one in Albuquerque, NM, in November 2015, and, the other

at Wheatfield Lake, Arizona, in August 2016. This was rewarding for each staff. In addition, each personnel had opportunity to attend two trainings per quarter. District court clerk Myron Begay and bailiff Richard Claw satisfactorily completed their 90-day introductory periods and attained permanent employee status in accordance with the Judicial Branch Employee Policies and Procedures.

The Dził Yijiin Judicial District successfully achieved its commitment to deliver services and coordinate resource meetings with chapters and service providers within the Dził Yijiin region. On April 7, 2016, court administrator Arlene Lee, Chief Justice Allen Sloan, and Judge Rudy Bedonie attended the quarterly Dził Yijiin Regional Council meeting at the Hard Rock Chapter. Chief Justice Sloan delivered an informative report on the daily functions and operations of the Judicial Branch.

On April 5, 2016, the Dził Yijiin Judicial District hosted its 2016 Justice Day to celebrate the 57<sup>th</sup> anniversary of the Navajo Nation courts. The festivities featured a three-mile fun walk from Pinon IHS to Pinon Chapter House, a program featuring Associate Justice Eleanor Shirley, members of the Law and Order Committee, and other distinguished leaders and guest speakers, and lunch for participants. Participation by local resource providers and community members was excellent. Navajo Department of Law Enforcement as well as Navajo County and Apache County Deputy Sheriffs personnel contributed to a successful annual justice day event.

On June 27, 2016, court administrator Arlene Lee attended a Law and Order Committee meeting at Pinon Chapter. The committee heard reports from Pinon Chapter officials, Chinle Navajo Department of Law Enforcement, Chinle Criminal Investigations, and Dził Yijiin Judicial District. The main topics focused on public safety issues and need for police presence within the community, and the need to prioritize funding for construction of a judicial/public safety complex to adequately house court, detention, and law enforcement personnel.

On July 27, 2016, the Dził Yijiin Judicial District collaborated with contracted and unified school districts, local resource providers, chapter governments, and Pinon Health Center within the Dził Yijiin region to sponsor the Annual Amá Doo Azhe'i Dilzin Conference. The conference was held to educate the public about prevention on issues such as domestic violence, suicide, and bullying, and incorporate teachings on healthy family life styles with Navajo customs and values.

The Dził Yijiin Judicial District succeeded with digital scanning/archiving 2013 criminal/traffic closed cases and a portion of the 2014 criminal and traffic closed cases. The district also prepared family/district civil closed cases for archiving.

Throughout the year, the court administrator contributed in various work sessions, Justware, budget meetings, and quarterly judicial conferences to advocate continuing efforts to attain uniformed goals set within the Judicial Branch.

During the Navajo Nation Council Summer 2016 session, the Judicial Branch received supplemental funding in the amount of three hundred twenty-four thousand, seven hundred twenty-five dollars (\$324,725) to renovate a modular building for the Dził Yijin Judicial District. The court administrator, with the support of Chief Justice Allen Sloan, will initiate securing a modular structure in Pinon to house court personnel pending the completion of the proposed judicial/public safety facility in the near future. The court administrator continued efforts to advocate with stake key holders, public safety, and corrections in the process of moving the approved schematic design model into the design development phase in renewed efforts to move the proposed Pinon Judicial/Public Safety Complex Project forward into development.

## **Window Rock Judicial District**

FY2017 Budget. The FY2017 budget development was successfully completed. The Window Rock Judicial District's (WRJD) allocation for FY2017 was \$976,914. WRJD is in dire need for additional funding to fully operate, pay for maintenance services, purchase equipment, and order office/operating supplies in order to provide effective services and assist clients. In addition, the Peacemaking Program, NN Probation Services, Administrative Office of the Courts, and the Supreme Court will share tribal vehicles assigned to the Window Rock Judicial District to perform their duties and to make certain the mileage expense and monthly premiums are paid.

Facility. The Navajo Nation Law Enforcement building continues to deteriorate. Efforts to locate an alternative site has been successful. WRJD staff and court services will be relocated to Pad 21, a modular building within the Navajo Nation Shopping Center, Inc. (NNSCI) compound behind Navajo Arts and Crafts Enterprises in Window Rock, Arizona. The building is better suited for clients and the work environment. Charles Day and the NNSCI maintenance staff leveled the building floor two times, repaired and installed handicap ramps, leveled the ground, provided gravel for the staff parking area, installed new carpet, floor tiles, and new doors with locking hardware, repaired the skirting around the building, and installed/repared weight bearing pillars and installed rafters to support the truss. Frontier Communications completed installation of new phone lines and jacks. The Judicial Branch Information Technology technicians are installing cables and jacks for internet services. Next, NNSCI will widen the sidewalks, install new sidewalks from the east to the north side of the building, install security lights, install a mop sink, repair the exterior drain, re-caulk the exterior siding of building, and replace damaged ceiling panels. The district is working with Navajo Nation Telecommunications and Utilities and Frontier Communications in getting the phone lines transferred to the building. Lastly, the WRJD is requesting support and assistance in identifying additional funds to pay for the relocation and monthly rental fees. The WRJD is also renting a 40' container to store office furniture, audio equipment, and closed case files.

Title 7 Revision. Judge Carol K. Perry participated in Title 7 revisions with other judges, justices, and administrators. She participated in discussions and made recommendations for consideration by the attendees. The revisions will be proposed as a referendum to the people.

JBEPP Revision. The court administrators facilitated several work sessions to revise the current Judicial Branch Employee Policies and Procedures (JBEPP). The group proposed language for employee conduct, leave and coverage, and talking things out. In the next fiscal year, the group plans to discuss disciplinary action and its process and the grievance section of the JBEPP.

Justware Training. Court clerk Corina Begay, office technician Patricia Mariano, and court administrator Verlena Hale participated in meetings to recommend changes to the Justware drop down menus, financial reporting, bond, and fines & fees. Ms. Begay attended the Justware Training Conference in Logan, Utah. Changes to Justware are shared with the district staff through training.

Salary Study. The court administrators participated in discussions for an updated salary study for the Navajo Nation Judicial Branch. Preliminary discussions set the parameters for the staff survey and for an on-site visit by the conductors of the salary study. Staff completed surveys and the conductors compiled and shared the results of the survey with court administrators and program managers. Further discussions will be continued in the next fiscal year based on availability of funds.

Justice Day. The 2016 Justice Day was a success. Activities included an open house, tours, showing of the movie, *Navajo Nemo*, and serving refreshments to the public. Staff answered questions and shared with the public information on their roles and responsibilities.

Oaths of Office. Throughout the year, Judges Geraldine Benally and Carol Perry administered oaths of office to police officers, prosecutors, and council delegates.

Special Prosecutor Cases. The WRJD actively kept up with the numerous special prosecutor cases. Criminal defense attorneys filed many motions which the court clerks, staff attorney, and judges addressed.

Domestic Violence Cases. The WRJD had a very high domestic violence docket; some were complex domestic violence cases involving child custody issues. Prudent efforts are made to ensure orders are issued. The total number domestic violence petitions filed in FY2016 was 542.

Direct Services. The WRJD visitor, client, and guest register for the year totaled 11,750. This is the number of individuals who signed in requesting judicial services.

Document Requests. The WRJD completed 503 document requests. This number fluctuates because there is not enough staff available to complete the requests in a timely manner.

Fines & Fees. The WRJD FY2016 fines and fees collection totaled \$55,514.45. This amount is broken down as follows: (1) district court fines/fees: \$15,499.95; (2) family court fines/fees: \$ 8,280.00; and (3) traffic fines/fees: \$31,734.50.

**Shiprock Judicial District**

Fiscal Year 2016 was a busy year for the Shiprock Judicial District. District accomplishments are important to the judicial district due that the district regards it as a successful fiscal year.

The Shiprock Judicial District administration successfully spent 97% of its allocated FY2016 General Funds budget of \$924,196; the district is awaiting the final closeout report. The district extends its appreciation to the staff of the Judicial Branch Fiscal Office for providing quality financial services to the Shiprock Judicial District throughout the fiscal year.

The Shiprock Judicial District bailiffs maintained a daily visitor registry during the fiscal year. The registry provided information on the purpose of visits which is summarized below. The overall count by the metal detector reading was 42,683.

FY2016 ANNUAL REPORT																	
DAILY VISITORS REGISTER (BY QUARTERS)																	
QUARTER	District Court Hearing	Questions	Citation/Pay Fine	Paperwork	Family Court Hearing	Questions	Pay Fine	Protection order	Paperwork Peacemaking Session	Questions	Pay Stipend Fee	Probation Services	Questions	Others	TOTAL CLIENTS	METAL DETECTOR READINGS	
1 <sup>st</sup> Quarter	451	263	104	145	623	239	19	142	596	5	2	17	3	1,150	3,761	9,908	
2 <sup>nd</sup> Quarter	404	289	184	219	696	227	21	154	705	0	4	0	28	1,189	4,136	11,040	
3 <sup>rd</sup> Quarter	499	237	121	171	621	232	14	170	615	6	5	0	107	1,276	4,090	11,036	
4 <sup>th</sup> Quarter	572	224	120	132	623	249	23	176	628	1	6	0	39	1,127	3,923	10,699	
<b>TOTAL</b>	<b>1,926</b>	<b>1,013</b>	<b>529</b>	<b>667</b>	<b>2,563</b>	<b>947</b>	<b>77</b>	<b>642</b>	<b>2,544</b>	<b>12</b>	<b>17</b>	<b>2</b>	<b>191</b>	<b>38</b>	<b>4,742</b>	<b>15,910</b>	<b>42,683</b>

The Shiprock Judicial District’s caseload for Fiscal Year 2016 was 6,094. This included 2,507 brought forward cases from FY2015; 3,587 new cases filed in FY2016; 3,012 cases closed in FY2016; and 2,573 cases pending for carryover into FY2017.

**FY2016 CASELOAD STATISTICS**

Case Type	Brought Forward	Filed	Caseload	Closed	Pending
Civil	177	191	368	195	173
Criminal	946	1,224	2,170	1,177	993
Civil Traffic	304	654	958	695	263
Criminal Traffic	539	434	973	385	588
<b>District Total</b>	<b>1,966</b>	<b>2,503</b>	<b>4,469</b>	<b>2,452</b>	<b>2,017</b>
Family Civil	270	301	571	227	344
Domestic Violence	211	739	950	794	156
Dependency	19	11	30	14	16
Delinquency	22	30	52	27	25
CHINS	19	3	22	7	15
<b>Family Total</b>	<b>541</b>	<b>1,084</b>	<b>1,625</b>	<b>1,069</b>	<b>556</b>
<b>TOTAL CASELOAD</b>	<b>2,507</b>	<b>3,587</b>	<b>6,094</b>	<b>3,521</b>	<b>2,573</b>

The Shiprock Judicial District fines and fees collection total of \$68,750.15 is broken down as follows:

<b>FY2016 FINES &amp; FEES COLLECTION</b>	
District Fines and Court Fees	\$ 25,847.70
Family Fines and Fees	\$ 7,239.45
Public Safety Fines - Traffic	\$ 35,663.00
<b>Total</b>	<b>\$ 68,750.15</b>

The Shiprock Judicial District personnel participated in the Judicial Branch 2015 Conference in Albuquerque, NM, on November 2-5, 2015. Overall, feedback from the judicial district personnel has been positive and that the conference was worthwhile. Presenters consisted of current and former Judicial Branch justices and personnel. Shiprock Judicial District staff attorney Derrick Burbank and two other staff attorneys provided training to the court clerks and bailiffs on customer service and legal advice. There were traditional and cultural teachings by staff of the Peacemaking Program. Staff were appreciative for the motivation, encouragement, and sharing of past leaderships toward building of the Navajo Nation Judicial Branch and visions by former justices Herb Yazzie, Robert Yazzie, Tom Tso, and Raymond D. Austin, current Chief Justice Allen Sloan, and Professor Manley Begay from Northern Arizona University Applied Indigenous Studies Department.

Per a directive by Acting Chief Justice Allen Sloan, the SharePoint 2013 application was installed for the Shiprock Judicial District and training provided by systems application programmer Coralene Austin with Navajo Nation Department of Information Technology. This application is being promoted by Chief Justice Allen Sloan as an essential form of communication within the judicial district and with the overall Judicial Branch courts and programs, i.e., peacemaking, probation services. Information is shared with Aneth Judge Irene Black via SharePoint since she is assigned on a part-time basis to the Shiprock Judicial District. Court administrator Ethel S. Laughing, office technician Emma Hannah, and court clerks Caroline Barber and Lucia Jensen participated in hands-on setup, design, and implementation of the SharePoint application for the judicial district.

On August 9, 2016, Peter Chave of JCG Technologies gave an online refresher training on the court recording system to the Shiprock court clerks and court administrator. Computer operations analyst Larry Hoskie coordinated the training and provided technical support.

The Shiprock Judicial District FY2017 budget was formulated and finalized. The budget development process and budget presentations to the oversight committee required several work sessions and meetings. The Shiprock Judicial District received a newer model 2016 Chevrolet Impala which was reassigned from the Tuba City Peacemaking Program.

The Shiprock Judicial District management is active in the Tribal Action Plan (TAP) initiative. On July 31, 2016, to August 3, 2016, Judge Genevieve Woody participated in the TAP work session at the Twin Arrows Resort in Flagstaff, AZ. Discussions included integration of TAP - planning, history, purpose, and the importance of leveraging SAMSHA and Department of Justice funding, updates on tribal and federal laws, MOT response team and approach to healing, fears and needs resource mapping, systems of care, community-based programs, ways schools are addressing violence, suicide, and substance abuse. There is need for follow-up discussions to complete the workshop evaluation and develop short-term goals. On September 13-15, 2016, court administrator Ethel S. Laughing attended the second TAP work session in Albuquerque, NM. Participants worked in groups to outline problem statements, programmatic goals/objectives with measurable timelines on problem-solving for communication challenges, and suicide. The groups shared their work products with the rest of the participants. As a part of this work session, Ms. Laughing attended a pre-workshop session on "Effects of Trauma."

### **Crownpoint Judicial District**

The Crownpoint court staff participated in the Navajo Nation Judicial Branch Conference held in Albuquerque, NM, from November 2 to November 5, 2015. Staff enjoyed the conference, obtained a lot of good information from the presentations, and enlightened by messages shared by former justices Herb Yazzie, Robert Yazzie, Tom Tso, and Raymond D. Austin.

District court clerk Eric Benally and bailiff Richelle Sandoval successfully completed their 90-day introductory period and are now permanent employees of the Navajo Nation Judicial Branch.

Case certifications for all calendar years 2013, 2014, and 2015 case types were completed. An inventory of the entire case files was conducted by court clerk Eric Benally. Court case files with discrepancies were forwarded to court administrator Rena Thompson and Judge Irene Toledo.

Court bailiff Kelsey Begay assisted Window Rock Judicial District with bailiff duties during a high profile jury trial. Mr. Begay was praised and credited for his exemplary manner by the judge of Window Rock Judicial District.

The Crownpoint Judicial District, Probation Services, Peacemaking Program, Department of Corrections, and Department of Law Enforcement celebrated its 2016 Justice Day on May 6, 2016, to commemorate the beginning of judicial and law enforcement services on the Navajo reservation. Prior to this time, judicial and law enforcement were under the umbrella of the Bureau of Indian Affairs.

The staff gained valuable education from two significant presentations this fiscal year. The first was a presentation by former Chief Justice Robert Yazzie during the 2016 Justice Day celebration. Chief Justice Yazzie covered "Jurisdiction" as it relates to the 2016 Justice Day theme, "Striving Towards the Balance of Law & Justice." The second training was held during a

staff meeting where BIA safety officer Harrison Nez gave a presentation on annual safety inspections of facilities and trained staff that, as users, staff need to be aware and alert of various hazardous situations.

Crownpoint Judicial District hosted training on the Navajo Nation Financial Management Information System (FMIS) in April 2016. The intent was for the Judicial Branch support staff to access, learn, and become certified in using FMIS, since FMIS is the financial software used by the Navajo Nation. Once users become certified, programs can monitor their business unit's annual budget appropriations and expenditures.

Court clerk Jacqueline Cambridge-Belen, district subject matter expert (SME), participated in quarterly JustWare work sessions to help with drop down menus, view JusticeWeb payment demonstration, etc. In June 2016, Ms. Cambridge-Belen attended the Journal Technologies Professionals Conference in Logan, Utah.

The Pueblo Pintado Circuit Court, which is under the Crownpoint Judicial District, was flooded with water in Spring 2016, as a result of a loose fitting under the sink in the kitchenette. The Judicial Branch worked with NN Risk Management in correcting the damage. The major problem was mold on the walls, ceiling, carpet, and doors. The building went through a complete renovation on the inside; however, there is still no electricity to the building. AOC staff have been working with Jemez Electric Coop in efforts to get power to the building. For the Crownpoint court facility, the last warranty item was the sewer line repair. Construction was done mostly on weekends and did not fall behind schedule, so there was minimal interruption to the court's schedule.

In this fiscal year, all 17 court staff received training in "Sexual Harassment," either during the Staff Development Day at Wheatfields Lake, Arizona, on August 26, 2016, or in Window Rock, Arizona, on September 28, 2016.

### **Ramah Judicial District**

The number of district and family court hearings held in FY2016 by the presiding judge was 1,973.

The judge, staff attorney, and court administrator attended quarterly judicial conferences, hearings and/or work sessions pertaining to the budget, and trainings to benefit their respective positions. The court administrator and court clerks attended the Justware Training Conference and other beneficial training as it relates to the new court software.

Judge Leonard Livingston assisted with cases at the NN Supreme Court and the Crownpoint, Dilkon, Alamo/To'hajiilee Judicial Districts. Staff attorney Dan Moquin provided staff attorney assistance at the NN Supreme Court and the Window Rock, Crownpoint, Chinle, and Dził Yijiin Judicial Districts due to the absence of staff attorneys in those districts.

Throughout FY2016, the court administrator and court staff held monthly staff meetings. These meetings helped the judge, court administrator, and court staff to address personnel issues and operations concerns. These meetings also helped to improve working relations among the staff, the public, and other programs.

Joint resource meetings for local service providers, judicial district management, probation services, and peacemaking to share information about resources available to defendants and the

community and to address areas of concern by the resource providers were held in the court. Participating service providers included Department of Behavioral Health Services, Ramah Navajo Department of Law Enforcement, Office of the Prosecutor, Pine Hill Health Center, Ramah Navajo Division of Social Services, and local school officials.

The Ramah Judicial District and Ramah Navajo Department of Law Enforcement celebrated the Navajo Nation Court's 57<sup>th</sup> anniversary with a program and open house at the Ramah District Court. Lunch was provided for participants. Local resource providers, community members, and staff were actively involved in the planning of this annual event.

All staff attended the Judicial Branch 2016 Staff Development Day at Wheatfields Lake, Arizona. Training topics included traditional and faith-based presentations, sexual harassment, and team building exercises and activities. Lunch was provided.

The collection of fines & fees, traffic fees, and cash bond for FY2016 totaled \$17,252.63 and is broken down as follows:

District Court	\$ 1,632.15
Family Court	\$ 485.00
Traffic Fines	\$ 11,531.25
Cash Bond	\$ 3,604.23
Total Collected	\$ 17,252.63

The Ramah Judicial District operates daily from 8:00 a.m. to 5:00 p.m. with observances of holidays as announced. During its daily operations, the court staff maintain contact with the service population and area service providers. The staff continued to provide professional and courteous services to all customers of the court. All cases are heard and decided justly, promptly, and economically. The judge and staff attorney revised sample orders so disposition of cases can be made more promptly. The judge and staff attorney provided assistance to the Navajo Nation Supreme Court as well as to other judicial districts. The quarterly statistics, weekly revenue recaps, monthly vehicle mileage logs, and other reports were submitted in a timely manner without having to be reminded or penalized.

### **Alamo/To'hajiilee Judicial District**

#### Tribal Action Plan

The specialty court team worked on developing a Tribal Action Plan (TAP) which is a requirement for grant proposals. Not only did the team move towards completion for purposes of federal grant awards, it worked towards completion of a more strategic approach to addressing justice issues on the Navajo Nation with the understanding that there is limited resources to address violence, suicide, and drug abuse. The purpose of TAP is to conduct interagency collaboration and coordination, and to be more strategic about the limited justice, health, and educational resources for Navajo Nation justice-involved defendants, youth, and families.

On September 8, 9 and 10, 2015, the Alamo/To'hajiilee Judicial District, NDPS Information Management Section, and Navajo Nation Office of Public Defender hosted the 2015 Criminal Justice Summit in Albuquerque, New Mexico. The summit provided information on alternative sentencing initiatives, i.e., the Aneth Community Court, the Alamo/To'hajiilee Healing to Wellness Court and Teen Court, and the impacts of the Tribal Law and Order Act and Violence Against Women Act on justice-involved Navajo defendants. Navajo Nation Department of Justice and Navajo Department of Law Enforcement presented on efforts they are working on with the Sex Offender Registration Notification Act (SORNA). Other presentations



included the impact of alcohol-related crimes to children, reentry programs for justice-involved defendants who are exiting federal or state corrections facilities, and assessments for co-occurring disorders. The results of the resource mapping and the fears and needs assessments for the Navajo Nation show that the top three fear factors are: (1) domestic violence (elderly abuse); (2) substance and alcohol abuse/addiction; and, (3) suicide.

The Judicial Branch partnered with the Navajo Nation Executive Branch in 2016 to work on the TAP. Meetings and conference calls were held to define the process for TAP with technical assistance by consultants from USDOJ BJA, Center for Court Innovation, and Substance Abuse and Mental Health Services Administration (SAMHSA). The TAP I work session was held at Twin Arrows, Arizona, on August 1-3, 2016. A panel of justice partners shared their perspectives on restorative justice which was helpful with nation building because collaboration with the public health community is key to addressing substance abuse and alcohol-related crimes. The TAP II work session was held in Albuquerque, New Mexico, on September 13-15, 2016. Participants worked on goals and objectives for the top three issues identified at the 2015 Justice Summit: domestic violence (elderly abuse); substance and alcohol abuse/addiction; and, suicide. A select group will compile the work products of the two work sessions to reflect the needs of the Navajo Nation.

### Teen Court Activities

- *Community Resource Mapping.* On February 3, 2016, teen court coordinator Eve Shenale partnered with To'hajiilee Community School to facilitate a community resource mapping activity with 7<sup>th</sup> through 12<sup>th</sup> grade students to better understand community resources available to them and their families. The event featured ice breaker activities and completing the mapping through individual knowledge of community services. Students identified programs or services in the health, education, social services, courts, law enforcement, and food/shelter areas. The activity reflected what youth perceive as resources to help them with their well-being.
- *Teen Court Youth Conference.* The Alamo/To'hajiilee Teen Court and Peacemaking Program sponsored youth conferences in Crownpoint on March 25, 2016, and in Alamo on March 31, 2016. The Peacemaking Program's safe schools curriculum was used to train youth to become youth apprentices. Participating youth earned three credit hours in communication, K'ee, and clanship courses at Navajo Technical University in Crownpoint. Counselors from Crownpoint Department of Behavioral Health Services and local peacemakers shared teachings on Diné culture and values. On March 23, 2016, Ms. Shenale gave a presentation on anti-bullying, recruited two new members of the To'hajiilee Youth Council, and recruited a To'hajiilee student to assist with the training. There were presentations on the youth apprenticeship program, teachings in the home, communication skills, and the importance of Diné culture, values, K'ee, and clanship. Crownpoint DBHS, Environmental Protection Agency, peacemakers, and community members attended the training.
- *Enhancements of Safe Schools Curriculum.* On March 7-10, 2016, Alamo/To'hajiilee teen court coordinator Eve Shenale assisted the Peacemaking Program with revising the curriculum for the Tribal Youth Program during the "Safe Schools Curriculum Development and Training" in Window Rock, Arizona.
- *Anti-Drinking and Suicide Billboards.* On January 15, 2016, the teen court coordinator met with To'hajiilee Community School administrators to obtain support for the To'hajiilee Youth Council's initiative to educate youth about life skills, reintroduce the Tribal Youth Program grant initiatives, and share completed projects during the school lunch hour. The court administrator presented the program design to include Peacemaking Teen Court, Botvin Life Skills, and To'hajiilee Youth Council as tools to address substance abuse. The teen

- court coordinator shared a tentative schedule of presentation ideas, including completion of a billboard project that promotes “No Drinking and Driving” and “Life is Precious.”
- *Teen Court Youth Council.* The Alamo/To’hajiilee Judicial District has the only functioning youth council in the Judicial Branch as a part of the US Department of Justice Tribal Youth Program funding for the Peacemaking Teen Court and Total Community Approach initiatives. The program provided early intervention services for actual cases filed as well as prevention programming in the schools. The mandate from the Alchini bi beehaazaani for rehabilitative and prevention services are incorporated into the program design. The Teen Court Youth Council met with the Navajo Nation Youth Council and their partners in December 2015 to work on resource mapping and community initiatives.
  - *Building Communities of Hope.* In November 2015, NN President Russell Begaye and Vice-President Jonathan Nez issued an Executive Order launching the “Building Communities of Hope” initiative to educate the public about suicide prevention. The teen court coordinator worked with NN Office of President and Vice-President’s executive staff assistant Yvonne Kee-Billison, counselor Barbara Gordan, and school principal Karen Bramlett to promote the “Building Communities of Hope Tour” for students at Alamo and To’hajiilee schools. Clinicians, behavioral health representatives from Navajo Nation departments/programs, motivational speakers, and suicide survivors presented on healthy communication, and suicide prevention and detecting early warning signs. There was a performance by song artist Sage Bond, a keynote address by NN Vice-President Jonathan Nez, and information about the Peacemaking Program and the youth apprenticeship recruitment by traditional program specialist Jamie Mike. The Alamo/To’hajiilee Judicial District assisted with planning the event and donating food. The event was a success.
  - *Anti-Bullying Presentations.* On January 8-9, 2016, the teen court coordinator and HWTC care coordinator presented on anti-bullying during the 5<sup>th</sup> Annual Striking Eagle Native American Basketball Tournament at the University of New Mexico. Over 48 high school, 8 junior high school, and 8 elementary teams participated, including a team from the Alamo community. Each team was required to attend an educational workshop during the tournament. On March 3, 2016, the teen court coordinator and traditional program specialist used the Native aspiration and incorporated Diné values and concepts that prohibit bullying in a presentation to the Alamo Community School 6<sup>th</sup> grade students. A similar presentation was provided during the 3<sup>rd</sup> Annual Honoring Our Youth and Elders on March 28-30, 2016.
  - *Peacemaking Apprenticeship and Peer Jury Training for Youth.* Teen court coordinator Eve Shenale assisted traditional program specialist Jamie Mike with training 10 youth peacemakers on June 17, 2016. Four were from Alamo, and six were from To’hajiilee. Ms. Mike shared information on Diné culture and traditions, and Ms. Shenale presented on truancy cases filed in the Teen Court. The youth held a mock Teen Court. Judge William Platero provided words of encouragement and presented each youth with a certificate.
  - *Substance Abuse Prevention and Life Value Engagement Training.* The Alamo/To’hajiilee Teen Court provided training in substance abuse prevention to Alamo and To’hajiilee youth. This school-based initiative trained youth on important life skills including decision making, stress management, and communication using the Botvin Life Skills curriculum. The Peacemaking Program’s Life Value Engagement and traditional teachings were incorporated in the curriculum and delivered through a dual-credit class through the New Mexico State University. With assistance by Dr. Shawn Secatero, the team obtained approval from NMSU to deliver the Evidence-Based Substance Abuse Prevention Program to youth and, in return, students will earn college credits. Meetings were held with the Peacemaking Program staff and traditional program specialists to develop the culturally-relevant curriculum. In preparation for this initiative, the teen court coordinator met with peacemakers, Office of Diné Youth, and Alamo/To’hajiilee Judicial District to discuss the course and expectations.

A training work session was held in Window Rock to familiarize trainers, i.e., traditional program specialists, peacemakers, staff attorneys, behavioral health services, etc., about the curriculum and the method of delivery. In December 2016, the Alamo/To'hajiilee Judicial District celebrated the graduation of participants enrolled in dual enrollment classes. Collaboration between the Judicial Branch and educators resulted in extra credits for high school and college students.

- *Anti-Drug Activities of Teen Court Program.* In September 2016, teen court coordinator Eve Shenale collaborated with Alamo and To'hajiilee behavioral health services and local schools in sponsoring a pledge by students to remain drug free.

#### HTWC Veterans Justice Outreach Project

- In June 2016, Navajo Area Indian Health Services, NM Department of Veteran Services, Navajo Nation Judicial Branch, Diné Bi Hoogaaan, and Farmington Veterans Center met in Shiprock, NM, to review the self-care guide for wellness and the Navajo Veterans Justice Outreach logic model, and to discuss prevention and intervention methods for Navajo veterans in northern New Mexico. According to the U.S. Census and other data collected, San Juan County has the largest number of Native American veterans in New Mexico. Veterans Affairs (VA) set aside funding for Native veterans to use traditional healing, i.e., sweat lodge, etc.
- The HTWC Veteran's Justice Expansion Project engages justice-involved veterans with accessing their benefits for services and health care. Instead of long-term incarceration and to reduce recidivism, Navajo veterans involved in state or tribal courts will be referred to the VJO office at VA or other support services. Coordination for this specific veteran population requires that veterans be deemed eligible by the Veterans Affairs through an application process. The VA and IHS health care systems are available for certain types of care. In cases of treatment courts, behavioral health care for eligible veterans includes immediate assessments by a licensed independent social worker (LISW).
- The VJO coordinator worked with the VA Veterans Justice Outreach Project and the LISW, NM Veterans Services, Navajo Veterans Administration, Navajo Veterans Advisory Council, Navajo veterans' organizations, and VA/IHS to plan events, activities, and training to engage Navajo veterans and their families in self-care, suicide prevention, and peer support. Many Navajo veterans with Post Traumatic Stress Disorder (PTSD) return from combat to face challenges on the reservation, i.e., high unemployment rate, substance abuse, etc. Creating a system of care for referral for intervention and prevention requires the courts to engage Indian Health Services, Navajo Department of Behavioral Health Services, local 638 tribal behavioral health programs, and the VA Health Care.
- The Alamo/To'hajiilee Judicial District court administrator/program manager for the 2013 BJA Indian Alcohol Grant, and NM Department of Veterans Services Rural Health Coordinator Robertson Yazzie, Jr., co-presented on "Interagency Collaboration and Coordination of Services." during the Tribal Law and Policy Institute's enhancement training on September 27-29, 2016. Participants learned to plan for on- or off-reservation service delivery for Native American veterans, particularly those who served in combat and are justice involved and ways the Navajo Nation Judicial Branch HWTC Veterans Justice Outreach coordinates and collaborates efforts with the NM Department of Veterans Services Rural Coordination Program to improve access to veteran services, particularly veterans in rural areas of the Navajo Nation.
- Alamo/To'hajiilee Judicial District court administrator Regina Roanhorse gave a presentation on veteran treatment courts in Indian Country and the Veterans Justice Outreach Project during the Veterans Affairs Summit in Santa Fe, NM, from July 6-7, 2016. The court

administrator also provided information on how to engage tribal courts in developing treatment, rehabilitation, and prevention services.

- The Alamo/To'hajiilee Judicial District participated in the 2016 Operation Veterans Wellness Fair and Gourd Dance on June 30, 2016, at the Rio West Mall in Gallup, NM, with partners, Navajo DBHS, Diné Bi Hogaan, NM Department of Veterans Services, City of Gallup, and managed care organizations. The Judicial Branch was awarded \$673,000 in 2013 through the Coordinated Tribal Assistance Solicitation (CTAS) grant to expand its existing Healing to Wellness Court in the Alamo/To'hajiilee Judicial District to outreach to Navajo veterans to address the high number of alcohol-related justice-involved participants in criminal court systems.

#### Collaboration with Socorro County

- *DWI Program and Community Forum.* In response to the high level of drug activity in Socorro, NM, where many Alamo Navajos reside, the court administrator, HTWC care coordinator, and veteran's justice outreach coordinator met with the Socorro County community response team to report on activities and discuss sentencing guidelines with the local judge. One concern was lack of services and programs for the youth. This interagency collaboration included state health care providers, clinicians, adult and youth state programs, and the Navajo Nation Judicial Branch. New partnerships were formed through this effort.
- *DWI Planning Council.* During meetings with the council, changes in the program were discussed, law enforcement expressed concerns, classes and/or training events were provided, and goals set for the new year. The council plans to partner with the Alamo Navajo Behavioral Health Program to provide DWI courses, awareness training, and be a part of community events in Alamo.
- *Drug Court.* The care coordinator attended Socorro County Drug Court meetings to assist with enhancing services to Alamo Navajos presently before the Socorro County Drug Court. She reviewed cases that are relevant to Navajo participants with Judge Mercedes Murphy.

#### Albuquerque Metro Court and Native American Healing to Wellness Court

- Attended the "Navajo Wellness Model" training on February 25-26, 2016, in Window Rock, Arizona. The training focused on enhancing outreach to drug court or teen court participants on self-identity (physical, personality, social, and spiritual), traditional ceremonies, arts and crafts, and defining a healthy family, community, and environment.
- Connected with managed care organizations funded by the state during NM Indian Day and built partnerships between New Mexico and other Indian tribes to address transportation to/from treatment facilities.
- Provided information on collaboration between the Metro Court Urban Native Healing to Wellness Court and the Alamo/To'hajiilee Healing to Wellness Court to the Navajo Nation Domestic Violence Advisory Council.
- Traditional program specialist Jamie Mike provided information on traditional parenting teachings, including a cartoon video of coyote stories for the children, and presented the HTWC 10 key components during the Ramah Navajo Family Staff Development Day on December 22, 2016.
- Attended the Bernalillo County Metropolitan Court Urban Native American HTWC graduation and gave a presentation on the NN Peacemaking Program's initiatives and services on March 10, 2016.
- The HTWC treatment team met with Judge Maria Dominguez to establish boundaries for the tribal-state collaboration of resources for justice-involved Navajo participants in the Bernalillo County Wellness Court. Judge Dominguez had over 30 Native American HTWC participants in the Metro Court, with half being Navajo. Judge Dominguez had contacted

Alamo/To'hajiilee Judicial District to ask for assistance in a treatment model she was developing, specifically inclusion of culturally-appropriate behavioral health care services. The two courts met to determine how referrals can be made to HTWC. Presently, off reservation justice-involved defendants who live on the reservation and are on state probation are referred to chief probation officer Lucinda Yellowhair for coordination of services. The group discussed using the process to include not only probation services, but care coordination through a wellness program that has peacemaking services, i.e., life value engagement, incorporated into the treatment plan. On July 8, 2016, Judge Dominguez and court administrator Regina Roanhorse gave an update on this tribal-state collaboration to the New Mexico Tribal-State Judicial Consortium.

## **C. Probation Services of the Navajo Nation**

The second Tribal Probation Academy held on the Navajo Nation concluded on February 11, 2016. The Navajo Nation Probation and Parole Services, through Dave Rogers Consulting, Training for Tribal Justice, provided 40 hours of basic probation training and graduated 11 Navajo Nation probation officers plus two non-Navajo probation officers from Idaho and Oregon. The four-week session gave probation officers basic information about probation supervision.

The continuation of observation and preparation to conduct Navajo Nation Probation's initial sessions of MRT (Mortal Recognition Therapy) is still underway. Probation officers to start conducting MRT sessions are ready yet the sessions require sentencing of more than six months of probation supervision for MRT to effectively run its therapeutic session/course with a client. So far, Tuba City has not sentenced or placed anyone to more than six months to be eligible for the sessions. The MRT sessions are still available and ready to start a session with the Tuba City Probation Services. Once they have begun and consistently conducting the session, the probation officers will be able to train other districts to start MRT in their districts nationwide.

NN Probation Services made diligent efforts to ensure that the Justware system was successfully integrated in the probation department. All probation officers, with the exception of Ramah Judicial District, are automated and running. Probation officers are utilizing the Justware case management system to manage cases and correspond with each other nationwide. It is anticipated that the Judicial Branch IT staff will soon implement the last component of the Justware probation module to report statistical information of data collection and accountability.

The management team is working on revising the NN Probation Services Standard Operating Procedures manual. Alamo/To'hajiilee staff attorney Alisha Thompson is reviewing the completed revisions to ensure that all language and logistics are legal, appropriate, comprehensive, and binding for use by probation officers. The reason for the lengthy revision process is that the revised manual will be a more detailed and more user friendly guide for new probation officers as well as current probation officers. The existing manual is vague and difficult to follow.

In 2016, NN Probation Services, in collaboration with grant administrator Raquel Chee, was part of a grant initiative in conducting "What's Happening Now" forums in Tuba City and Window Rock, Arizona. The NN Probation Services shared information and educated the public and service providers on reentry programs, its intent, purpose, and how it will benefit the community when an offender eventually returns after supervision expires.

Chief probation officer Lucinda Yellowhair, senior probation officers JoAnn Holyan-Terry, Harmon Mason, and Larry Tsosie, and select probation officers participated in work sessions to

develop a Tribal Action Plan. This is a collaborative effort with representatives from the Navajo Nation Executive and Legislative Branches to create a unified plan to address issues that affect the Navajo Nation as a whole, i.e., substance abuse, domestic violence, suicide. The Navajo Nation Probation Services has been a valuable asset in terms of information sharing, addressing setbacks, and data collection.

The Navajo Nation Probation Services staff took part in the planning of the 2016 Supervisory Summit for tribal, county, state, and federal probation agencies in Northern Arizona. Participants came together to plan the summit, discussed network sharing, and provided information on the duties and responsibilities among agencies, cultural awareness of tribal affiliations, and collaboration in terms of supervision. The summit was a success and planning is underway to hold another summit in 2017.

Chief probation officer Lucinda Yellowhair and senior probation officers JoAnn Holyan-Terry, Harmon Mason, and Larry Tsosie participated in the 2016 District of New Mexico Sex Offender Team Conference in Santa Fe, New Mexico. For years, the NM teams have been looking for information with regards to culture diversity, so they extended an invitation to the NN team to share information in terms of the Navajo language, cultural awareness, way of life, and other pertinent information in terms of sex offender supervision. Collaboration with both agencies was shared and information exchanged to ensure that supervision of individuals is followed through with the safety of families, communities, and individuals.

Year 2016 was a good, productive, and busy year for NN Probation Services. Staff identified projects and completed tasks in 2016 to enhance the program and to ensure that the people served obtain proper rehabilitative care in terms of assessment, basic cultural fundamental support, and individual needs, i.e., home, school, and employment. The probation officers worked diligently, applied professionalism, and demonstrated integrity in their work. Providing direction can be a daily challenge, but the chief probation officer worked and advocated for more training to make daily challenges manageable. The probation officers' utmost patience, consideration, and understanding is appreciated and look toward the next year with more opportunities to enhance the Navajo Nation Probation Services.

## 5. Judicial Branch FY2016 Statistical Caseload Reports

**FY2016 OVERALL STATISTICS BY LOCATION**

LOCATION	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Supreme Court	76	0.4%	105	0.4%	181	0.4%	86	0.3%	95	0.5%
Alamo	170	0.8%	201	0.8%	371	0.8%	292	1.0%	79	0.4%
Aneth	367	1.7%	775	2.9%	1,142	2.4%	645	2.3%	497	2.6%
Chinle	1,839	8.7%	2,719	10.3%	4,558	9.6%	3,142	11.1%	1,416	7.4%
Crownpoint	1,506	7.1%	2,009	7.6%	3,515	7.4%	2,418	8.5%	1,097	5.7%
Dilkon	1,082	5.1%	767	2.9%	1,849	3.9%	1,178	4.2%	671	3.5%
Dzit Yijiin	543	2.6%	754	2.9%	1,297	2.7%	975	3.4%	322	1.7%
Kayenta	1,047	5.0%	2,640	10.0%	3,687	7.8%	2,179	7.7%	1,508	7.9%
Pueblo Pintado	82	0.4%	185	0.7%	267	0.6%	169	0.6%	98	0.5%
Ramah	2,048	9.7%	448	1.7%	2,496	5.2%	523	1.8%	1,973	10.3%
Shiprock	2,507	11.9%	3,587	13.6%	6,094	12.8%	3,521	12.4%	2,573	13.4%
To'hajiilee	316	1.5%	289	1.1%	605	1.3%	291	1.0%	314	1.6%
Tuba City	1,680	8.0%	2,337	8.8%	4,017	8.4%	3,024	10.7%	993	5.2%
Window Rock	4,797	22.7%	3,316	12.5%	8,113	17.1%	3,475	12.3%	4,638	24.2%
Probation Services	2,626	12.4%	5,851	22.1%	8,477	17.8%	5,958	21.0%	2,519	13.1%
Peacemaking	411	1.9%	466	1.8%	877	1.8%	476	1.7%	401	2.1%
<b>TOTAL</b>	<b>21,097</b>	<b>100.0%</b>	<b>26,449</b>	<b>100.0%</b>	<b>47,546</b>	<b>100.0%</b>	<b>28,352</b>	<b>100.0%</b>	<b>19,194</b>	<b>100.0%</b>

**FY2016 OVERALL STATISTICS BY CASE TYPE**

CASE TYPE	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	1,515	7.2%	1,193	4.5%	2,708	5.7%	1,196	4.2%	1,512	7.9%
Criminal	7,106	33.7%	5,135	19.4%	12,241	25.7%	6,138	21.6%	6,103	31.8%
Civil Traffic	3,899	18.5%	6,893	26.1%	10,792	22.7%	7,387	26.1%	3,405	17.7%
Criminal Traffic	1,740	8.2%	934	3.5%	2,674	5.6%	1,117	3.9%	1,557	8.1%
Family Civil	1,904	9.0%	1,849	7.0%	3,753	7.9%	1,749	6.2%	2,004	10.4%
Domestic Violence	1,174	5.6%	3,667	13.9%	4,841	10.2%	3,853	13.6%	988	5.1%
Dependency	375	1.8%	212	0.8%	587	1.2%	191	0.7%	396	2.1%
Delinquency	165	0.8%	79	0.3%	244	0.5%	102	0.4%	142	0.7%
CHINS	106	0.5%	65	0.2%	171	0.4%	99	0.3%	72	0.4%
Supreme Court	76	0.4%	105	0.4%	181	0.4%	86	0.3%	95	0.5%
Probation/Parole	2,626	12.4%	5,851	22.1%	8,477	17.8%	5,958	21.0%	2,519	13.1%
Peacemaking	411	1.9%	466	1.8%	877	1.8%	476	1.7%	401	2.1%
<b>TOTAL</b>	<b>21,097</b>	<b>100.0%</b>	<b>26,449</b>	<b>100.0%</b>	<b>47,546</b>	<b>100.0%</b>	<b>28,352</b>	<b>100.0%</b>	<b>19,194</b>	<b>100.0%</b>

**SUPREME COURT OF THE NAVAJO NATION**

Case Type	Brought Forward		Filed		Caseload		Closed		Pending	
Civil	70	92%	74	70%	144	80%	58	67%	86	91%
Criminal	3	4%	4	4%	7	4%	3	3%	4	4%
NNBA	2	3%	27	26%	29	16%	25	29%	4	4%
Special Proceedings	1	1%	0	0%	1	1%	0	0%	1	1%
<b>Annual Case Load</b>	<b>76</b>	<b>100%</b>	<b>105</b>	<b>100%</b>	<b>181</b>	<b>100%</b>	<b>86</b>	<b>100%</b>	<b>95</b>	<b>100%</b>

**ALAMO COURT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	41	24%	28	14%	69	19%	48	16%	21	27%
Criminal	74	44%	19	9%	93	25%	78	27%	15	19%
Civil Traffic	19	11%	8	4%	27	7%	26	9%	1	1%
Criminal Traffic	7	4%	3	1%	10	3%	10	3%	0	0%
<b>District Total</b>	<b>141</b>		<b>58</b>		<b>199</b>		<b>162</b>		<b>37</b>	
Family Civil	15	9%	34	17%	49	13%	26	9%	23	29%
Domestic Violence	2	1%	82	41%	84	23%	81	28%	3	4%
Dependency	2	1%	10	5%	12	3%	5	2%	7	9%
Delinquency	1	1%	3	1%	4	1%	2	1%	2	3%
CHINS	9	5%	14	7%	23	6%	16	5%	7	9%
<b>Family Total</b>	<b>29</b>		<b>143</b>		<b>172</b>		<b>130</b>		<b>42</b>	
<b>Annual Case Load</b>	<b>170</b>	<b>100%</b>	<b>201</b>	<b>100%</b>	<b>371</b>	<b>100%</b>	<b>292</b>	<b>100%</b>	<b>79</b>	<b>100%</b>

**ANETH JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	30	8%	60	8%	90	8%	67	10%	23	5%
Criminal	180	49%	175	23%	355	31%	82	13%	273	55%
Civil Traffic	39	11%	329	42%	368	32%	293	45%	75	15%
Criminal Traffic	44	12%	37	5%	81	7%	16	2%	65	13%
<b>District Total</b>	<b>293</b>		<b>601</b>		<b>894</b>		<b>458</b>		<b>436</b>	
Family Civil	61	17%	63	8%	124	11%	79	12%	45	9%
Domestic Violence	11	3%	106	14%	117	10%	103	16%	14	3%
Dependency	2	1%	1	0%	3	0%	1	0%	2	0%
Delinquency	0	0%	2	0%	2	0%	2	0%	0	0%
CHINS	0	0%	2	0%	2	0%	2	0%	0	0%
<b>Family Total</b>	<b>74</b>		<b>174</b>		<b>248</b>		<b>187</b>		<b>61</b>	
<b>Annual Case Load</b>	<b>367</b>	<b>100%</b>	<b>775</b>	<b>100%</b>	<b>1,142</b>	<b>100%</b>	<b>645</b>	<b>100%</b>	<b>497</b>	<b>100%</b>

**CHINLE JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	84	5%	177	7%	261	6%	161	5%	100	7%
Criminal	1,039	56%	944	35%	1,983	44%	1,286	41%	697	49%
Civil Traffic	227	12%	774	28%	1,001	22%	841	27%	160	11%
Criminal Traffic	186	10%	134	5%	320	7%	171	5%	149	11%
<b>District Total</b>	<b>1,536</b>		<b>2,029</b>		<b>3,565</b>		<b>2,459</b>		<b>1,106</b>	
Family Civil	114	6%	228	8%	342	8%	224	7%	118	8%
Domestic Violence	168	9%	420	15%	588	13%	436	14%	152	11%
Dependency	18	1%	24	1%	42	1%	13	0%	29	2%
Delinquency	1	0%	11	0%	12	0%	4	0%	8	1%
CHINS	2	0%	7	0%	9	0%	6	0%	3	0%
<b>Family Total</b>	<b>303</b>		<b>690</b>		<b>993</b>		<b>683</b>		<b>310</b>	
<b>Annual Case Load</b>	<b>1,839</b>	<b>100%</b>	<b>2,719</b>	<b>100%</b>	<b>4,558</b>	<b>100%</b>	<b>3,142</b>	<b>100%</b>	<b>1,416</b>	<b>100%</b>

**CROWNPOINT JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	66	4%	222	11%	288	8%	185	8%	103	9%
Criminal	579	38%	382	19%	961	27%	434	18%	527	48%
Civil Traffic	384	25%	661	33%	1,045	30%	937	39%	108	10%
Criminal Traffic	204	14%	24	1%	228	6%	120	5%	108	10%
<b>District Total</b>	<b>1,233</b>		<b>1,289</b>		<b>2,522</b>		<b>1,676</b>		<b>846</b>	
Family Civil	123	8%	244	12%	367	10%	229	9%	138	13%
Domestic Violence	38	3%	433	22%	471	13%	421	17%	50	5%
Dependency	69	5%	26	1%	95	3%	41	2%	54	5%
Delinquency	9	1%	9	0%	18	1%	11	0%	7	1%
CHINS	34	2%	8	0%	42	1%	40	2%	2	0%
<b>Family Total</b>	<b>273</b>		<b>720</b>		<b>993</b>		<b>742</b>		<b>251</b>	
<b>Annual Case Load</b>	<b>1,506</b>	<b>100%</b>	<b>2,009</b>	<b>100%</b>	<b>3,515</b>	<b>100%</b>	<b>2,418</b>	<b>100%</b>	<b>1,097</b>	<b>100%</b>

**DILKON JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	55	5%	23	3%	78	4%	29	2%	49	7%
Criminal	706	65%	113	15%	819	44%	446	38%	373	56%
Civil Traffic	28	3%	252	33%	280	15%	276	23%	4	1%
Criminal Traffic	76	7%	4	1%	80	4%	29	2%	51	8%
<b>District Total</b>	<b>865</b>		<b>392</b>		<b>1,257</b>		<b>780</b>		<b>477</b>	
Family Civil	141	13%	134	17%	275	15%	153	13%	122	18%
Domestic Violence	35	3%	231	30%	266	14%	232	20%	34	5%
Dependency	20	2%	10	1%	30	2%	9	1%	21	3%
Delinquency	21	2%	0	0%	21	1%	4	0%	17	3%
CHINS	0	0%	0	0%	0	0%	0	0%	0	0%
<b>Family Total</b>	<b>217</b>		<b>375</b>		<b>592</b>		<b>398</b>		<b>194</b>	
<b>Annual Case Load</b>	<b>1,082</b>	<b>100%</b>	<b>767</b>	<b>100%</b>	<b>1,849</b>	<b>100%</b>	<b>1,178</b>	<b>100%</b>	<b>671</b>	<b>100%</b>



**DZIL YUJIN JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	16	3%	46	6%	62	5%	46	5%	16	5%
Criminal	188	35%	384	51%	572	44%	430	44%	142	44%
Civil Traffic	182	34%	60	8%	242	19%	184	19%	58	18%
Criminal Traffic	13	2%	46	6%	59	5%	42	4%	17	5%
<b>District Total</b>	<b>399</b>		<b>536</b>		<b>935</b>		<b>702</b>		<b>233</b>	
Family Civil	64	12%	69	9%	133	10%	74	8%	59	18%
Domestic Violence	64	12%	136	18%	200	15%	183	19%	17	5%
Dependency	11	2%	10	1%	21	2%	10	1%	11	3%
Delinquency	4	1%	2	0%	6	0%	5	1%	1	0%
CHINS	1	0%	1	0%	2	0%	1	0%	1	0%
<b>Family Total</b>	<b>144</b>		<b>218</b>		<b>362</b>		<b>273</b>		<b>89</b>	
<b>Annual Case Load</b>	<b>543</b>	<b>100%</b>	<b>754</b>	<b>100%</b>	<b>1,297</b>	<b>100%</b>	<b>975</b>	<b>100%</b>	<b>322</b>	<b>100%</b>

**KAYENTA JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	38	4%	129	5%	167	5%	115	5%	52	3%
Criminal	442	42%	816	31%	1,258	34%	378	17%	880	58%
Civil Traffic	187	18%	1,013	38%	1,200	33%	1,055	48%	145	10%
Criminal Traffic	96	9%	130	5%	226	6%	55	3%	171	11%
<b>District Total</b>	<b>763</b>		<b>2,088</b>		<b>2,851</b>		<b>1,603</b>		<b>1,248</b>	
Family Civil	92	9%	120	5%	212	6%	114	5%	98	6%
Domestic Violence	162	15%	409	15%	571	15%	444	20%	127	8%
Dependency	23	2%	21	1%	44	1%	10	0%	34	2%
Delinquency	7	1%	1	0%	8	0%	7	0%	1	0%
CHINS	0	0%	1	0%	1	0%	1	0%	0	0%
<b>Family Total</b>	<b>284</b>		<b>552</b>		<b>836</b>		<b>576</b>		<b>260</b>	
<b>Annual Case Load</b>	<b>1,047</b>	<b>100%</b>	<b>2,640</b>	<b>100%</b>	<b>3,687</b>	<b>100%</b>	<b>2,179</b>	<b>100%</b>	<b>1,508</b>	<b>100%</b>

**PUEBLO PINTADO COURT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	6	7%	24	13%	30	11%	11	7%	19	19%
Criminal	36	44%	34	18%	70	26%	22	13%	48	49%
Civil Traffic	12	15%	3	2%	15	6%	14	8%	1	1%
Criminal Traffic	7	9%	4	2%	11	4%	6	4%	5	5%
<b>District Total</b>	<b>61</b>		<b>65</b>		<b>126</b>		<b>53</b>		<b>73</b>	
Family Civil	5	6%	18	10%	23	9%	18	11%	5	5%
Domestic Violence	4	5%	88	48%	92	34%	82	49%	10	10%
Dependency	12	15%	14	8%	26	10%	16	9%	10	10%
Delinquency	0	0%	0	0%	0	0%	0	0%	0	0%
CHINS	0	0%	0	0%	0	0%	0	0%	0	0%
<b>Family Total</b>	<b>21</b>		<b>120</b>		<b>141</b>		<b>116</b>		<b>25</b>	
<b>Annual Case Load</b>	<b>82</b>	<b>100%</b>	<b>185</b>	<b>100%</b>	<b>267</b>	<b>100%</b>	<b>169</b>	<b>100%</b>	<b>98</b>	<b>100%</b>

**RAMAH JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	38	2%	5	1%	43	2%	5	1%	38	2%
Criminal	459	22%	41	9%	500	20%	173	33%	327	17%
Civil Traffic	1,326	65%	311	69%	1,637	66%	240	46%	1,397	71%
Criminal Traffic	80	4%	14	3%	94	4%	35	7%	59	3%
<b>District Total</b>	<b>1,903</b>		<b>371</b>		<b>2,274</b>		<b>453</b>		<b>1,821</b>	
Family Civil	60	3%	33	7%	93	4%	26	5%	67	3%
Domestic Violence	59	3%	40	9%	99	4%	35	7%	64	3%
Dependency	14	1%	3	1%	17	1%	6	1%	11	1%
Delinquency	12	1%	1	0%	13	1%	3	1%	10	1%
CHINS	0	0%	0	0%	0	0%	0	0%	0	0%
<b>Family Total</b>	<b>145</b>		<b>77</b>		<b>222</b>		<b>70</b>		<b>152</b>	
<b>Annual Case Load</b>	<b>2,048</b>	<b>100%</b>	<b>448</b>	<b>100%</b>	<b>2,496</b>	<b>100%</b>	<b>523</b>	<b>100%</b>	<b>1,973</b>	<b>100%</b>

**SHIPROCK JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	177	7%	191	5%	368	6%	195	6%	173	7%
Criminal	946	38%	1,224	34%	2,170	36%	1,177	33%	993	39%
Civil Traffic	304	12%	654	18%	958	16%	695	20%	263	10%
Criminal Traffic	539	21%	434	12%	973	16%	385	11%	588	23%
<b>District Total</b>	<b>1,966</b>		<b>2,503</b>		<b>4,469</b>		<b>2,452</b>		<b>2,017</b>	
Family Civil	270	11%	301	8%	571	9%	227	6%	344	13%
Domestic Violence	211	8%	739	21%	950	16%	794	23%	156	6%
Dependency	19	1%	11	0%	30	0%	14	0%	16	1%
Delinquency	22	1%	30	1%	52	1%	27	1%	25	1%
CHINS	19	1%	3	0%	22	0%	7	0%	15	1%
<b>Family Total</b>	<b>541</b>		<b>1,084</b>		<b>1,625</b>		<b>1,069</b>		<b>556</b>	
<b>Annual Case Load</b>	<b>2,507</b>	<b>100%</b>	<b>3,587</b>	<b>100%</b>	<b>6,094</b>	<b>100%</b>	<b>3,521</b>	<b>100%</b>	<b>2,573</b>	<b>100%</b>

**TO'HAIJILEE COURT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	4	1%	4	1%	8	1%	3	1%	5	2%
Criminal	157	50%	118	41%	275	45%	150	52%	125	40%
Civil Traffic	68	22%	59	20%	127	21%	51	18%	76	24%
Criminal Traffic	23	7%	13	4%	36	6%	12	4%	24	8%
<b>District Total</b>	<b>252</b>		<b>194</b>		<b>446</b>		<b>216</b>		<b>230</b>	
Family Civil	36	11%	44	15%	80	13%	42	14%	38	12%
Domestic Violence	4	1%	32	11%	36	6%	15	5%	21	7%
Dependency	6	2%	12	4%	18	3%	10	3%	8	3%
Delinquency	7	2%	1	0%	8	1%	4	1%	4	1%
CHINS	11	3%	6	2%	17	3%	4	1%	13	4%
<b>Family Total</b>	<b>64</b>		<b>95</b>		<b>159</b>		<b>75</b>		<b>84</b>	
<b>Annual Case Load</b>	<b>316</b>	<b>100%</b>	<b>289</b>	<b>100%</b>	<b>605</b>	<b>100%</b>	<b>291</b>	<b>100%</b>	<b>314</b>	<b>100%</b>

**TUBA CITY JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	33	2%	80	3%	113	3%	95	3%	18	2%
Criminal	1,074	64%	200	9%	1,274	32%	768	25%	506	51%
Civil Traffic	168	10%	1,428	61%	1,596	40%	1,445	48%	151	15%
Criminal Traffic	167	10%	9	0%	176	4%	100	3%	76	8%
<b>District Total</b>	<b>1,442</b>		<b>1,717</b>		<b>3,159</b>		<b>2,408</b>		<b>751</b>	
Family Civil	132	8%	161	7%	293	7%	116	4%	177	18%
Domestic Violence	53	3%	409	18%	462	12%	436	14%	26	3%
Dependency	38	2%	31	1%	69	2%	32	1%	37	4%
Delinquency	14	1%	1	0%	15	0%	13	0%	2	0%
CHINS	1	0%	18	1%	19	0%	19	1%	0	0%
<b>Family Total</b>	<b>238</b>		<b>620</b>		<b>858</b>		<b>616</b>		<b>242</b>	
<b>Annual Case Load</b>	<b>1,680</b>	<b>100%</b>	<b>2,337</b>	<b>100%</b>	<b>4,017</b>	<b>100%</b>	<b>3,024</b>	<b>100%</b>	<b>993</b>	<b>100%</b>

**WINDOW ROCK JUDICIAL DISTRICT**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Civil	927	19%	204	6%	1,131	14%	236	7%	895	19%
Criminal	1,226	26%	685	21%	1,911	24%	714	21%	1,197	26%
Civil Traffic	955	20%	1,341	40%	2,296	28%	1,330	38%	966	21%
Criminal Traffic	298	6%	82	2%	380	5%	136	4%	244	5%
<b>District Total</b>	<b>3,406</b>		<b>2,312</b>		<b>5,718</b>		<b>2,416</b>		<b>3,302</b>	
Family Civil	791	16%	400	12%	1,191	15%	421	12%	770	17%
Domestic Violence	363	8%	542	16%	905	11%	591	17%	314	7%
Dependency	141	3%	39	1%	180	2%	24	1%	156	3%
Delinquency	67	1%	18	1%	85	1%	20	1%	65	1%
CHINS	29	1%	5	0%	34	0%	3	0%	31	1%
<b>Family Total</b>	<b>1,391</b>		<b>1,004</b>		<b>2,395</b>		<b>1,059</b>		<b>1,336</b>	
<b>Annual Case Load</b>	<b>4,797</b>	<b>100%</b>	<b>3,316</b>	<b>100%</b>	<b>8,113</b>	<b>100%</b>	<b>3,475</b>	<b>100%</b>	<b>4,638</b>	<b>100%</b>

**NAVAJO NATION PROBATION SERVICES**

Case Type	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Adult Probation	868	33%	1,081	18%	1,949	23%	1,108	19%	841	33%
Adult Parole	28	1%	63	1%	91	1%	75	1%	16	1%
Adult Short-Term Probation	1,593	61%	4,603	79%	6,196	73%	4,664	78%	1,532	61%
<b>Adult Probation Total</b>	<b>2,489</b>		<b>5,747</b>		<b>8,236</b>		<b>5,847</b>		<b>2,389</b>	
Juvenile Probation	23	1%	35	1%	58	1%	21	0%	37	1%
Juvenile Short-Term Probation	114	4%	69	1%	183	2%	90	2%	93	4%
<b>Juvenile Probation Total</b>	<b>137</b>		<b>104</b>		<b>241</b>		<b>111</b>		<b>130</b>	
<b>Annual Caseload</b>	<b>2,626</b>	<b>100%</b>	<b>5,851</b>	<b>100%</b>	<b>8,477</b>	<b>100%</b>	<b>5,958</b>	<b>100%</b>	<b>2,519</b>	<b>100%</b>

**NAVAJO NATION PEACEMAKING PROGRAM**

District	Brought Forward		Filed		Caseload		Closed Cases		Pending	
Alamo	0	0.0%	12	2.6%	12	1.4%	8	1.7%	4	1.0%
Aneth	14	3.4%	0	0.0%	14	1.6%	0	0.0%	14	3.5%
Chinle	8	1.9%	9	1.9%	17	1.9%	11	2.3%	6	1.5%
Crownpoint	63	15.3%	79	17.0%	142	16.2%	102	21.4%	40	10.0%
Dilkon	155	37.7%	36	7.7%	191	21.8%	126	26.5%	65	16.2%
Dził Yíjiiin	7	1.7%	13	2.8%	20	2.3%	14	2.9%	6	1.5%
Kayenta	1	0.2%	31	6.7%	32	3.6%	31	6.5%	1	0.2%
Ramah	23	5.6%	3	0.6%	26	3.0%	6	1.3%	20	5.0%
Shiprock	31	7.5%	135	29.0%	166	18.9%	64	13.4%	102	25.4%
To'hajiiilee	22	5.4%	0	0.0%	22	2.5%	0	0.0%	22	5.5%
Tuba City	35	8.5%	89	19.1%	124	14.1%	48	10.1%	76	19.0%
Window Rock	52	12.7%	59	12.7%	111	12.7%	66	13.9%	45	11.2%
<b>Caseload</b>	<b>411</b>	<b>100%</b>	<b>466</b>	<b>100%</b>	<b>877</b>	<b>100%</b>	<b>476</b>	<b>100%</b>	<b>401</b>	<b>100%</b>

## 6. Judicial Branch Financial Activities

### A. Budgets and Expenditures

The Judicial Branch receives operating funds from two main sources of continual appropriation to provide court services within the Navajo Nation.

#### 1. Navajo Nation General Funds (Reporting Period: October 1, 2015 – September 30, 2016)

The 23<sup>rd</sup> Navajo Nation Council approved Resolution CS-19-15 for the Fiscal Year 2016 Comprehensive Budget from October 1, 2015, to September 30, 2016. The Judicial Branch General Fund budget allocation was \$13,873,785 plus Indirect Cost Recovery of \$81,805, totaling \$13,955,590 (Original Budget). The General Fund Original Budget was revised to include \$60,335.30 in Prior Year Encumbrance Carryover; FY2015 Carryover of \$100,000 for the Judicial Conduct Commission; and FY2015 IDC Carryover of \$13,526 for a Grand Total of \$14,129,451.30 (Revised Budget). The Judicial Branch's FY2016 General Fund Budgets consists of eighteen (18) Business Units plus one (1) NN Integrated Justice - Fixed Cost Budget as follows:

No.	Business Unit/Object Code	Program/Description	Original Budget	Revised Budget	Actuals - YTD	Encumbrances	Budget Available	% Expensed of Total
<b>1</b>	<b>102001</b>	<b>Admin Office of the Courts</b>						
1484		Bank Service Charge	0.00	0.00	10,328.58	0.00	(10,328.58)	
1480		Investment Expenses	0.00	0.00	10,328.58	0.00	(10,328.58)	
1942		Prior Year Carry Over	0.00	(65,231.30)	0.00	0.00	(65,231.30)	0.00%
1992		IDC Recovery	(81,805.00)	(81,805.00)	(116,475.55)	0.00	34,670.55	142.38%
1996		Allocation	(1,566,024.00)	(1,566,024.00)	0.00	0.00	(1,566,024.00)	0.00%
1000		Revenues	(1,647,829.00)	(1,713,060.30)	(106,146.97)	0.00	(1,606,913.33)	6.20%
2000		Personnel Expenses	1,392,400.00	1,392,400.00	1,282,644.38	0.00	109,755.62	92.12%
3000-7000		Operating Expenses	255,429.00	275,209.00	201,456.78	0.00	73,752.22	73.20%
9000		Capital Outlay	0.00	45,451.30	45,451.30	0.00	0.00	100.00%
2000		Expenses (Excludes Bank Service Charge)	1,647,829.00	1,713,060.30	1,529,552.46	0.00	183,507.84	89.29%
<b>2</b>	<b>102002</b>	<b>Chinle Judicial District</b>						
1484		Bank Service Charge	0.00	0.00	2,498.61	0.00	(2,498.61)	
1480		Investment Expenses	0.00	0.00	2,498.61	0.00	(2,498.61)	
1996		Allocation	(837,162.00)	(837,162.00)	0.00	0.00	(837,162.00)	0.00%
1000		Revenues	(837,162.00)	(837,162.00)	2,498.61	0.00	(839,660.61)	-0.30%
2001		Personnel Expenses	747,466.00	747,466.00	757,482.70	0.00	(10,016.70)	101.34%
3000-7000		Operating Expenses	89,696.00	89,696.00	86,025.71	0.00	3,670.29	95.91%
2000		Expenses (Excludes Bank Service Charge)	837,162.00	837,162.00	843,508.41	0.00	(6,346.41)	100.76%
<b>3</b>	<b>102003</b>	<b>Crownpoint Judicial District</b>						
1484		Bank Service Charge	0.00	0.00	1,039.26	0.00	(1,039.26)	
1480		Investment Expenses	0.00	0.00	1,039.26	0.00	(1,039.26)	
1996		Allocation	(709,886.00)	(709,886.00)	0.00	0.00	(709,886.00)	0.00%
1000		Revenues	(709,886.00)	(709,886.00)	1,039.26	0.00	(710,925.26)	-0.15%
2001		Personnel Expenses	608,610.00	608,610.00	622,847.45	0.00	(14,237.45)	102.34%
3000-7000		Operating Expenses	101,276.00	101,276.00	94,764.51	0.00	6,511.49	93.57%
2000		Expenses (Includes Bank Service Charge)	709,886.00	709,886.00	717,611.96	0.00	(7,725.96)	101.09%
<b>4</b>	<b>102004</b>	<b>Window Rock Judicial District</b>						
1484		Bank Service Charge	0.00	0.00	1,326.77	0.00	(1,326.77)	
1480		Investment Expenses	0.00	0.00	1,326.77	0.00	(1,326.77)	
1996		Allocation	(1,044,096.00)	(1,044,096.00)	0.00	0.00	(1,044,096.00)	0.00%
1000		Revenues	(1,044,096.00)	(1,044,096.00)	1,326.77	0.00	(1,045,422.77)	-0.13%
2001		Personnel Expenses	932,229.00	932,229.00	913,983.99	0.00	18,245.01	98.04%
3000-7000		Operating Expenses	111,867.00	111,867.00	93,038.51	0.00	18,828.49	83.17%
2000		Expenses (Includes Bank Service Charge)	1,044,096.00	1,044,096.00	1,007,022.50	0.00	37,073.50	96.45%

No.	Business Unit/Object Code	Program/Description	Original Budget	Revised Budget	Actuals - YTD	Encumbrances	Budget Available	% Expended of Total
<b>5</b>	<b>102005</b>	<b>Shiprock Judicial District</b>						
	2001	Personnel Expenses	825,175.00	825,175.00	834,626.63	0.00	(9,451.63)	101.15%
	3000-7000	Operating Expenses	99,021.00	99,021.00	97,014.06	0.00	2,006.94	97.97%
	2000	Expenses	924,196.00	924,196.00	931,640.69	0.00	(7,444.69)	100.81%
<b>6</b>	<b>102006</b>	<b>Tuba City Judicial District</b>						
	1484	Bank Service Charge	0.00	0.00	2,861.17	0.00	(2,861.17)	
	1480	Investment Expenses	0.00	0.00	2,861.17	0.00	(2,861.17)	
	1996	Allocation	(812,127.00)	(812,127.00)	0.00	0.00	(812,127.00)	0.00%
	1000	Revenues	(812,127.00)	(812,127.00)	2,861.17	0.00	(814,988.17)	-0.35%
	2001	Personnel Expenses	725,113.00	725,113.00	696,612.30	0.00	28,500.70	96.07%
	3000-7000	Operating Expenses	87,014.00	87,014.00	82,145.59	0.00	4,868.41	94.41%
	2000	Expenses (Includes Bank Service Charge)	812,127.00	812,127.00	778,757.89	0.00	33,369.11	95.89%
<b>7</b>	<b>102007</b>	<b>Ramah Judicial District</b>						
	1484	Bank Service Charge	0.00	0.00	287.12	0.00	(287.12)	
	1480	Investment Expenses	0.00	0.00	287.12	0.00	(287.12)	
	1996	Allocation	(560,421.00)	(560,421.00)	0.00	0.00	(560,421.00)	0.00%
	1000	Revenues	(560,421.00)	(560,421.00)	287.12	0.00	(560,708.12)	-0.05%
	2001	Personnel Expenses	499,483.00	506,282.00	505,889.46	0.00	392.54	99.92%
	3000-7000	Operating Expenses	60,938.00	54,139.00	46,387.00	0.00	7,752.00	85.68%
	2000	Expenses (Includes Bank Service Charge)	560,421.00	560,421.00	552,276.46	0.00	8,144.54	98.55%
<b>8</b>	<b>102008</b>	<b>Supreme Court</b>						
	1942	Prior Year Carry Over	0.00	(8,630.00)	0.00	0.00	(8,630.00)	0.00%
	1996	Allocation	(818,567.00)	(818,567.00)	0.00	0.00	(818,567.00)	0.00%
	1000	Revenues	(818,567.00)	(827,197.00)	0.00	0.00	(827,197.00)	0.00%
	2001	Personnel Expenses	730,863.00	730,863.00	710,190.00	0.00	20,673.00	97.17%
	3000-7000	Operating Expenses	87,704.00	96,334.00	82,969.94	0.00	13,364.06	86.13%
	2000	Expenses	818,567.00	827,197.00	793,159.94	0.00	34,037.06	95.89%
<b>9</b>	<b>102009</b>	<b>Peacemaking Program</b>						
	2001	Personnel Expenses	1,099,608.00	1,099,608.00	1,110,820.33	0.00	(11,212.33)	101.02%
	3000-7000	Operating Expenses	109,956.00	109,956.00	103,527.33	0.00	6,428.67	94.15%
	2000	Expenses	1,209,564.00	1,209,564.00	1,214,347.66	0.00	(4,783.66)	100.40%
<b>10</b>	<b>102010</b>	<b>Kayenta Judicial District</b>						
	1484	Bank Service Charge	0.00	0.00	444.26	0.00	(444.26)	
	1480	Investment Expenses	0.00	0.00	444.26	0.00	(444.26)	
	1996	Allocation	(915,063.00)	(915,063.00)	0.00	0.00	(915,063.00)	0.00%
	1000	Revenues	(915,063.00)	(915,063.00)	444.26	0.00	(915,507.26)	-0.05%
	2001	Personnel Expenses	813,449.00	813,449.00	696,106.68	0.00	117,342.32	85.57%
	3000-7000	Operating Expenses	101,614.00	101,614.00	92,557.00	0.00	9,057.00	91.09%
	2000	Expenses (Includes Bank Service Charge)	915,063.00	915,063.00	788,663.68	0.00	126,399.32	86.19%
<b>11</b>	<b>102011</b>	<b>Dilkon Judicial District</b>						
	1484	Bank Service Charge	0.00	0.00	1,799.98	0.00	(1,799.98)	
	1480	Investment Expenses	0.00	0.00	1,799.98	0.00	(1,799.98)	
	1996	Allocation	(709,217.00)	(709,217.00)	0.00	0.00	(709,217.00)	0.00%
	1000	Revenues	(709,217.00)	(709,217.00)	1,799.98	0.00	(711,016.98)	-0.25%
	2001	Personnel Expenses	619,837.00	619,837.00	613,197.57	0.00	6,639.43	98.93%
	3000-7000	Operating Expenses	89,380.00	89,380.00	76,432.01	0.00	12,947.99	85.51%
	2000	Expenses (Includes Bank Service Charge)	709,217.00	709,217.00	689,629.58	0.00	19,587.42	97.24%
<b>12</b>	<b>102012</b>	<b>Aneth Judicial District</b>						
	2001	Personnel Expenses	524,987.00	524,987.00	522,619.85	0.00	2,367.15	99.55%
	3000-7000	Operating Expenses	74,998.00	74,998.00	73,487.49	0.00	1,510.51	97.99%
	2000	Expenses	599,985.00	599,985.00	596,107.34	0.00	3,877.66	99.35%
<b>13</b>	<b>102013</b>	<b>Tohajiilee Judicial District</b>						
	2001	Personnel Expenses	524,987.00	524,987.00	525,463.68	0.00	(476.68)	100.09%
	3000-7000	Operating Expenses	69,998.00	69,998.00	58,509.87	0.00	11,488.13	83.59%
	2000	Expenses	594,985.00	594,985.00	583,973.55	0.00	11,011.45	98.15%

No.	Business Unit/Object Code	Program/Description	Original Budget	Revised Budget	Actuals - YTD	Encumbrances	Budget Available	% Expended of Total
<b>14</b>	<b>102014</b>	<b>Alamo Judicial District</b>						
1484		Bank Service Charge		0.00	0.00	440.20	0.00	(440.20)
1480		Investment Expenses		0.00	0.00	440.20	0.00	(440.20)
1996		Allocation	(182,075.00)	(182,075.00)	0.00	0.00	(182,075.00)	0.00%
1000		Revenues	(182,075.00)	(182,075.00)	440.20	0.00	(182,515.20)	-0.24%
2001		Personnel Expenses	129,802.00	129,802.00	136,084.23	0.00	(6,282.23)	104.84%
3000-7000		Operating Expenses	52,273.00	52,273.00	45,568.83	0.00	6,704.17	87.17%
2000		Expenses (Includes Bank Service Charge)	182,075.00	182,075.00	181,653.06	0.00	421.94	99.77%
<b>15</b>	<b>102015</b>	<b>Dzil Yijin Judicial District</b>						
2001		Personnel Expenses	342,002.00	342,002.00	258,837.35	0.00	83,164.65	75.68%
3000-7000		Operating Expenses	58,140.00	58,140.00	32,231.64	0.00	25,908.36	55.44%
2000		Expenses	400,142.00	400,142.00	291,068.99	0.00	109,073.01	72.74%
<b>16</b>	<b>102017</b>	<b>Pueblo Pintado Circuit Court</b>						
2001		Personnel Expenses	236,858.00	236,858.00	232,923.23	0.00	3,934.77	98.34%
3000-7000		Operating Expenses	61,854.00	59,724.00	45,394.46	0.00	14,329.54	76.01%
9000		Capital Outlay	0.00	2,130.00	2,128.63	0.00	1.37	99.94%
2000		Expenses	298,712.00	298,712.00	280,446.32	0.00	18,265.68	93.89%
<b>17</b>	<b>102018</b>	<b>Probation Services</b>						
2001		Personnel Expenses	1,533,247.00	1,533,247.00	1,542,659.79	0.00	(9,412.79)	100.61%
3000-7000		Operating Expenses	158,316.00	158,316.00	142,273.14	0.00	16,042.86	89.87%
2000		Expenses	1,691,563.00	1,691,563.00	1,684,932.93	0.00	6,630.07	99.61%
<b>18</b>	<b>102019</b>	<b>Judicial Conduct Commission</b>						
2001		Personnel Expenses	0.00	4,322.00	3,657.23	0.00	664.77	84.62%
3000-7000		Operating Expenses	0.00	95,678.00	52,679.00	0.00	42,999.00	55.06%
2000		Expenses	0.00	100,000.00	56,336.23	0.00	43,663.77	56.34%
<b>Judicial Branch General Fund Total:</b>			13,955,590.00	14,129,451.30	13,520,689.65	0.00	608,761.65	95.69%
<b>Overall Breakdown of General Funds:</b>								
1480		Investment Expenses Bank Ser. Charge	0.00	0.00	21,025.95	0.00	(21,025.95)	
2000		Personnel Expenses	12,286,116.00	12,297,237.00	11,966,646.85	0.00	330,590.15	97.31%
3000-7000		Operating Expenses	1,669,474.00	1,784,633.00	1,506,462.87	0.00	278,170.13	84.41%
9000		Capital Outlay	0.00	47,581.30	47,579.93	0.00	1.37	100.00%
2000		Expenses (Excluding Investment Exp.)	13,955,590.00	14,129,451.30	13,520,689.65	0.00	608,761.65	95.69%
<b>19</b>	<b>118019</b>	<b>NN Integrated Justice (Fixed Costs)</b>						
3000-7000		Operating Expenses	368,991.00	626,403.96	346,155.66	0.00	280,248.30	55.26%
9000		Capital Outlay	0.00	58,393.11	58,393.11	0.00	0.00	100.00%
2000		Expenses	368,991.00	684,797.07	404,548.77	0.00	280,248.30	59.08%
<b>Overall Breakdown of General Funds &amp; Fixed Costs:</b>								
1480		Investment Expenses Bank Ser. Charge	0.00	0.00	21,025.95	0.00	(21,025.95)	
2000		Personnel Expenses	12,286,116.00	12,297,237.00	11,966,646.85	0.00	330,590.15	97.31%
3000-7000		Operating Expenses	2,038,465.00	2,411,036.96	1,852,618.53	0.00	558,418.43	76.84%
9000		Capital Outlay	0.00	105,974.41	105,973.04	0.00	1.37	100.00%
2000		Expenses (Excluding Investment Exp.)	14,324,581.00	14,814,248.37	13,925,238.42	0.00	889,009.95	94.00%

## 2. External Funds (Reporting Period: January 1, 2016 – December 31, 2016)

The Judicial Branch currently has eighteen (18) External Fund Budgets: (1) K120801 Alamo/To'hajiilee Youth Court - Substance Abuse Prevention Initiative, Contract Term, 10/1/11 - 9/30/15 - extended to 9/30/16; (2) K120802 Aneth/Alamo/To'hajiilee - Community Wellness Courts, Contract Term, 10/1/11 - 9/30/15 - extended to 9/30/16; (3) K1205113 DHHS Peacemaking Toward Asset - Building in Navajo Nation Rural Communities, Contract Term, 10/1/12 - 9/30/13 - extended to 9/30/16; (4) K130591 DHHS Peacemaking Toward Asset - Building in Navajo Nation Rural Communities, Contract Term, 10/1/13 - 9/30/14 - extended to 9/30/16; (5) K1405102 DHHS Peacemaking Toward Asset - Building in Navajo Nation Rural Communities, Contract Term, 10/1/14 -

9/30/15 - extended to 9/30/16; (6) K100803 Peacemaker Youth Education Apprentice Program, Contract Term, 10/01/09 - 9/30/15 - extended to 9/30/16; (7) K110801 NNIJISP Tribal Court - Navajo Nation Integrated Justice Sharing Project, Contract Term 10/1/10 - 9/30/15 - extended to 9/30/16; (8) K120810 JAG-Juvenile Peacemaking Project, Contract Term, 10/1/11 - 9/30/15 - extended to 9/30/16; (9) K140801 Healing to Wellness Veteran, Contract Term 10/1/13 - 9/30/17; (10) K140802 FY2013 Edward Byrne Memorial; JAG, Contract Term 10/1/12 - 9/30/16; (11) K140803 CTAS 2014 TJSP, Contract Term 10/01/14 - 03/31/16; (12) K140804 CTAS 2014 VAW, Contract Term 10/01/14 - 3/31/16; (13) K140805 CTAS 2014 TVAP, Contract Term, 10/01/14 - 9/30/17; (14) K140806 CTAS 2014 TVAP, Contract Term 1/1/13 - 9/30/17; (15) K150801 FY 15 Edward Byrne JAG, Contract Term 10/1/14 - 9/30/18; (16) K120725 CY 12 Tribal Courts, Contract Term 1/1/12 - 12/31/14; (17) K150735 CY 15 Tribal Courts, Contract Term 1/1/15 - 12/31/15; and (18) K160736 CY 16 Tribal Courts, Contract Term 1/1/16 - 12/31/16. Three Public Law 93-638 Indian Self-Determination Act, multi-year contracts with the Bureau of Indian Affairs (BIA) to provide court services within the Navajo Nation.

No.	Business Unit/Object Code	Program/Description	Original Budget	Revised Budget	Actuals - YTD	Encumbrances	Budget Available	% Expended of Total
<b>1</b>	<b>K120801</b>	<b>Alamo/Tohajilee Youth Court</b>						
	2001	Personnel Expenses	188,437.00	287,890.00	143,707.29	0.00	144,182.71	49.92%
	3000-7000	Operating Expenses	228,646.00	131,526.00	72,043.83	0.00	59,482.17	54.78%
	9000	Capital Outlay	6,000.00	78,950.00	78,950.00	0.00	0.00	100.00%
	9500	Matching & Indirect Cost	75,283.00	0.00	0.00	0.00	0.00	#DIV/0!
	2000	Expenses	498,366.00	498,366.00	294,701.12	0.00	203,664.88	59.13%
<b>2</b>	<b>K120802</b>	<b>Aneth/Alamo/Tohajilee Comm</b>						
	2001	Personnel Expenses	318,998.00	332,596.00	326,799.86	0.00	5,796.14	98.26%
	3000-7000	Operating Expenses	103,110.00	93,322.00	83,677.68	0.00	9,644.32	89.67%
	9500	Matching & Indirect Cost	76,190.00	72,380.00	70,258.43	0.00	2,121.57	97.07%
	2000	Expenses	498,298.00	498,298.00	480,735.97	0.00	17,562.03	96.48%
<b>3</b>	<b>K1205113</b>	<b>DHHS Peacemaking Bldg.</b>						
	2001	Personnel Expenses	60,403.00	17,739.14	17,739.14	0.00	0.00	100.00%
	3000-7000	Operating Expenses	64,898.00	6,245.45	6,245.45	0.00	0.00	100.00%
	9500	Matching & Indirect Cost	21,301.00	4,065.39	4,065.39	0.00	0.00	100.00%
	2000	Expenses	146,602.00	28,049.98	28,049.98	0.00	0.00	100.00%
<b>4</b>	<b>K130591</b>	<b>DHHS Peacemaking Bldg.</b>						
	2001	Personnel Expenses	65,753.00	67,714.00	37,045.03	0.00	30,668.97	54.71%
	3000-7000	Operating Expenses	57,980.00	56,019.00	41,934.87	0.00	14,084.13	74.86%
	9500	Matching & Indirect Cost	21,035.00	21,035.00	13,387.09	0.00	7,647.91	63.64%
	2000	Expenses	144,768.00	144,768.00	92,366.99	0.00	52,401.01	63.80%
<b>5</b>	<b>K1405102</b>	<b>DHHS Peacemaking Bldg.</b>						
	2001	Personnel Expenses	67,121.00	151,865.00	134,569.42	0.00	17,295.58	88.61%
	3000-7000	Operating Expenses	53,952.00	70,473.00	64,220.52	0.00	6,252.48	91.13%
	9500	Matching & Indirect Cost	20,522.00	37,809.02	34,152.14	0.00	3,656.88	90.33%
	2000	Expenses	141,595.00	260,147.02	232,942.08	0.00	27,204.94	89.54%
<b>6</b>	<b>K100803</b>	<b>PM Youth Educ/Apprentice</b>						
	3000-7000	Operating Expenses	450,000.00	450,000.00	449,998.75	0.00	1.25	100.00%
	2000	Expenses	450,000.00	450,000.00	449,998.75	0.00	1.25	100.00%
<b>7</b>	<b>K110801</b>	<b>2010 NNIJISP Tribal Court</b>						
	3000-7000	Operating Expenses	420,020.00	423,792.00	420,219.09	0.00	3,572.91	99.16%
	9500	Matching & Indirect Cost	75,812.00	72,040.00	71,792.28	0.00	247.72	99.66%
	2000	Expenses	495,832.00	495,832.00	492,011.37	0.00	3,820.63	99.23%
<b>8</b>	<b>K120810</b>	<b>JAG-Juvenile Peacemaking</b>						
	3000-7000	Operating Expenses	73,497.00	73,497.00	66,931.37	0.00	6,565.63	91.07%
	2000	Expenses	73,497.00	73,497.00	66,931.37	0.00	6,565.63	91.07%
<b>9</b>	<b>K140801</b>	<b>Healing to Wellness Veteran</b>						
	2001	Personnel Expenses	205,199.00	205,199.00	45,427.04	0.00	159,771.96	22.14%
	3000-7000	Operating Expenses	370,558.00	370,558.00	49,942.70	0.00	320,615.30	13.48%
	9500	Matching & Indirect Cost	97,591.00	97,591.00	15,763.55	0.00	81,827.45	16.15%
	2000	Expenses	673,348.00	673,348.00	111,133.29	0.00	562,214.71	16.50%

No.	Business Unit/Object Code	Program/Description	Original Budget	Revised Budget	Actuals - YTD	Encumbrances	Budget Available	% Expended of Total
<b>10</b>	<b>K140802</b>	<b>FY '13 Edward Byrne Memorial JAG</b>						
	3000-7000	Operating Expenses	71,121.00	71,121.00	71,121.00	0.00	0.00	100.00%
	9500	Matching & Indirect Cost	7,102.00	7,102.00	7,102.00	0.00	0.00	100.00%
	2000	Expenses	<u>78,223.00</u>	<u>78,223.00</u>	<u>78,223.00</u>	<u>0.00</u>	<u>0.00</u>	<u>100.00%</u>
<b>11</b>	<b>K140803</b>	<b>CTAS 2014 TJSP</b>						
	3000-7000	Operating Expenses	63,762.00	63,762.00	46,296.31	0.00	17,465.69	72.61%
	9500	Matching & Indirect Cost	10,808.00	10,808.00	7,626.47	0.00	3,181.53	70.56%
	2000	Expenses	<u>74,570.00</u>	<u>74,570.00</u>	<u>53,922.78</u>	<u>0.00</u>	<u>20,647.22</u>	<u>72.31%</u>
<b>12</b>	<b>K140804</b>	<b>CTAS 2014 VAW</b>						
	2001	Personnel Expenses	55,229.00	55,229.00	0.00	0.00	55,229.00	0.00%
	3000-7000	Operating Expenses	385,409.00	385,409.00	0.00	0.00	385,409.00	0.00%
	9500	Matching & Indirect Cost	9,362.00	9,362.00	0.00	0.00	9,362.00	0.00%
	2000	Expenses	<u>450,000.00</u>	<u>450,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>450,000.00</u>	<u>0.00%</u>
<b>13</b>	<b>K140805</b>	<b>CTAS 2014 TVAP</b>						
	2001	Personnel Expenses	124,262.00	124,262.00	0.00	0.00	124,262.00	0.00%
	3000-7000	Operating Expenses	304,675.00	304,675.00	0.00	0.00	304,675.00	0.00%
	9500	Matching & Indirect Cost	21,063.00	21,063.00	0.00	0.00	21,063.00	0.00%
	2000	Expenses	<u>450,000.00</u>	<u>450,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>450,000.00</u>	<u>0.00%</u>
<b>14</b>	<b>K140806</b>	<b>CTAS 2014 TVAP</b>						
	3000-7000	Operating Expenses	68,318.00	68,318.00	3,464.84	0.00	64,853.16	5.07%
	9500	Matching & Indirect Cost	6,832.00	6,832.00	328.56	0.00	6,503.44	4.81%
	2000	Expenses	<u>75,150.00</u>	<u>75,150.00</u>	<u>3,793.40</u>	<u>0.00</u>	<u>71,356.60</u>	<u>5.05%</u>
<b>15</b>	<b>K150801</b>	<b>FY 15 EDWARD BYRNE JAG</b>						
	3000-7000	Operating Expenses	53,456.00	53,456.00	0.00	0.00	53,456.00	0.00%
	9500	Matching & Indirect Cost	5,346.00	5,346.00	0.00	0.00	5,346.00	0.00%
	2000	Expenses	<u>58,802.00</u>	<u>58,802.00</u>	<u>0.00</u>	<u>0.00</u>	<u>58,802.00</u>	<u>0.00%</u>
<b>Judicial Branch External Funds</b>			<b>\$ 4,309,051.00</b>	<b>\$ 4,309,051.00</b>	<b>\$ 2,384,810.10</b>	<b>\$ -</b>	<b>\$ 1,924,240.90</b>	<b>55.34%</b>
<b>16</b>	<b>K120725</b>	<b>CY 12 Tribal Courts</b>						
	2001	Personnel Expenses	503,197.00	3,718,811.28	3,689,389.14	0.00	29,422.14	99.21%
	3000-7000	Operating Expenses	846,462.00	1,159,233.52	927,110.90	0.00	232,122.62	79.98%
	9000	Capital Outlay	0.00	368,380.20	270,555.00	0.00	97,825.20	73.44%
	2000	Expenses	<u>1,349,659.00</u>	<u>5,246,425.00</u>	<u>4,887,055.04</u>	<u>-</u>	<u>359,369.96</u>	<u>93.15%</u>
<b>17</b>	<b>K150735</b>	<b>CY 15 Tribal Courts</b>						
	2001	Personnel Expenses	248,709.00	1,422,999.00	1,482,076.52	0.00	(59,077.52)	104.15%
	3000-7000	Operating Expenses	36,939.00	169,966.00	63,052.21	0.00	106,913.79	37.10%
	2000	Expenses	<u>285,648.00</u>	<u>1,592,965.00</u>	<u>1,545,128.73</u>	<u>0.00</u>	<u>47,836.27</u>	<u>97.00%</u>
<b>18</b>	<b>K160736</b>	<b>CY 16 Tribal Courts</b>						
	2001	Personnel Expenses	1,334,724.00	1,174,459.58	724,979.89	0.00	449,479.69	61.73%
	3000-7000	Operating Expenses	101,577.00	457,505.47	63,139.97	16,121.97	378,243.53	17.32%
	9000	Capital Outlay	0.00	93,806.00	0.00	0.00	93,806.00	0.00%
	2000	Expenses	<u>1,436,301.00</u>	<u>1,725,771.05</u>	<u>788,119.86</u>	<u>16,121.97</u>	<u>921,529.22</u>	<u>46.60%</u>
<b>Total CY 14; CY 15; &amp; CY 16 Tribal Courts</b>			<b>3,071,608.00</b>	<b>8,565,161.05</b>	<b>7,220,303.63</b>	<b>16,121.97</b>	<b>1,328,735.45</b>	<b>84.49%</b>
<b>Judicial Branch External Funds &amp; P.L. 93-638 Grand</b>			<b>\$ 7,380,659.00</b>	<b>\$ 12,874,212.05</b>	<b>\$ 9,605,113.73</b>	<b>\$ 16,121.97</b>	<b>\$ 3,252,976.35</b>	<b>74.73%</b>
<b>Overall Breakdown of External Funds and Tribal Courts:</b>								
	2000	Personnel Expenses	3,172,032.00	7,558,764.00	6,601,733.33	0.00	957,030.67	87.34%
	3000-7000	Operating Expenses	3,754,380.00	4,408,878.44	2,429,399.49	16,121.97	1,963,356.98	55.47%
	9000	Capital Outlay	6,000.00	447,330.20	349,505.00	0.00	97,825.20	78.13%
	9500	Matching & Indirect Cost	448,247.00	365,433.41	224,475.91	0.00	140,957.50	61.43%
	2000	Expenses	<u>\$ 7,380,659.00</u>	<u>\$ 12,780,406.05</u>	<u>\$ 9,605,113.73</u>	<u>\$ 16,121.97</u>	<u>\$ 3,159,170.35</u>	<u>75.28%</u>
<b>General &amp; External Funds - Grand Totals:</b>			<b>\$ 21,705,240.00</b>	<b>\$ 27,688,460.42</b>	<b>\$ 23,530,352.15</b>	<b>\$ 16,121.97</b>	<b>\$ 4,141,986.30</b>	<b>85.04%</b>



## B. Fines and Fees Collections

Object Code	Description	Original Budget	Revised Budget	Actuals - YTD	Encumbrances	Budget Available	% Expensed of Total
1400	Financial Revenues	0.00	0.00	1,025.24	0.00	(1,025.24)	
1600	Fines & Court Fees	(400,000.00)	(400,000.00)	(420,419.63)	0.00	20,419.63	105.10%
1850	Other Revenue Sources	0.00	0.00	(116.45)	0.00	116.45	
1000	Revenues	(\$400,000.00)	(\$400,000.00)	(\$419,510.84)	\$0.00	\$19,510.84	104.88%

### Court Fines & Fees Collected by Quarter

Object Code	Description	FY 2016 1st Qtr.	FY 2016 2nd Qtr.	FY 2016 3rd Qtr.	FY 2016 4th Qtr.	TOTAL - YTD
<b>1600</b>	<b>Fines &amp; Court Fees</b>					
1611	District Court - Chinle	4,579.45	2,958.80	6,259.45	5,518.25	19,315.95
1612	District Court - Crownpoint	3,116.10	3,823.35	4,108.20	2,665.15	13,712.80
1613	District Court - Kayenta	1,232.90	3,349.65	2,397.38	1,792.82	8,772.75
1614	District Court - Ramah	0.00	1,035.60	297.15	299.40	1,632.15
1615	District Court - Shiprock	4,087.25	6,339.90	5,964.70	9,455.85	25,847.70
1616	District Court - Tuba City	5,995.85	3,203.90	2,627.55	5,932.70	17,760.00
1617	District Court - Window Rock	3,351.75	2,810.05	3,520.75	5,767.40	15,449.95
1618	District Court - Dilkon	435.85	960.58	671.55	568.10	2,636.08
1619.02	District Court - Aneth	142.00	680.00	555.00	1,104.40	2,481.40
1619.04	District Court - Dzil Yijiin	2,945.25	2,506.10	873.50	1,076.45	7,401.30
<b>1610</b>	<b>Dist. Fines &amp; Court Fees Total:</b>	<b>\$25,886.40</b>	<b>\$27,667.93</b>	<b>\$27,275.23</b>	<b>\$34,180.52</b>	<b>\$115,010.08</b>
<b>1620</b>	<b>Family</b>					
1621	Family Court - Alamo	215.80	264.60	571.75	140.00	1,192.15
1622	Family Court - Chinle	3,602.50	805.50	1,281.00	1,885.00	7,574.00
1623	Family Court - Crownpoint	1,125.00	1,505.00	1,361.00	2,495.00	6,486.00
1624	Family Court - Kayenta	485.00	815.00	880.00	730.00	2,910.00
1625	Family Court - Ramah	0.00	100.00	35.00	350.00	485.00
1626	Family Court - Shiprock	1,707.55	1,679.45	2,076.85	1,775.60	7,239.45
1627	Family Court - Tohajiilee	134.65	285.65	418.70	230.00	1,069.00
1628	Family Court - Tuba City	1,195.45	1,032.50	1,117.50	1,222.75	4,568.20
1629	Family Court - Window Rock	1,655.00	1,920.00	2,020.00	2,685.00	8,280.00
1630	Family Court - Dilkon	374.90	601.75	753.40	947.10	2,677.15
1631.02	Family Court - Aneth	160.00	215.00	290.00	595.00	1,260.00
1631.04	Family Court - Dzil Yijiin	400.00	630.00	255.00	270.00	1,555.00
<b>1620</b>	<b>Family Court Total:</b>	<b>\$11,055.85</b>	<b>\$9,854.45</b>	<b>\$11,060.20</b>	<b>\$13,325.45</b>	<b>\$45,295.95</b>
<b>1640</b>	<b>Circuit</b>					
1642	Circuit Court - Alamo	377.20	762.50	474.60	637.30	2,251.60
1644	Circuit Court - Tohajiilee	258.00	1,380.90	16.65	737.60	2,393.15
<b>1640</b>	<b>Circuit Court Total:</b>	<b>\$635.20</b>	<b>\$2,143.40</b>	<b>\$491.25</b>	<b>\$1,374.90</b>	<b>\$4,644.75</b>
<b>1650</b>	<b>Supreme</b>					
1652	Supreme Court - WR	1,060.00	2,782.45	5,430.00	1,915.00	11,187.45
<b>1650</b>	<b>Supreme Court Total:</b>	<b>\$1,060.00</b>	<b>\$2,782.45</b>	<b>\$5,430.00</b>	<b>\$1,915.00</b>	<b>\$11,187.45</b>
<b>1601</b>	<b>Court Total:</b>	<b>\$38,637.45</b>	<b>\$42,448.23</b>	<b>\$44,256.68</b>	<b>\$50,795.87</b>	<b>\$176,138.23</b>

Object Code	Description	FY 2016 1st Qtr.	FY 2016 2nd Qtr.	FY 2016 3rd Qtr.	FY 2016 4th Qtr.	TOTAL - YTD
<b>1660</b>	<b>Public Safety Fines</b>					
1661	Traffic					
1662	Traffic Fines - Alamo	172.00	0.00	0.00	120.00	292.00
1663	Traffic Fines - Chinle	3,840.00	8,477.50	8,825.00	8,835.00	29,977.50
1664	Traffic Fines - Crownpoint	6,278.10	4,234.00	5,935.50	5,931.25	22,378.85
1665	Traffic Fines - Kayenta	6,047.00	9,737.50	6,799.50	12,490.00	35,074.00
1666	Traffic Fines - Ramah	0.00	2,935.73	6,187.52	2,408.00	11,531.25
1667	Traffic Fines - Shiprock	4,896.50	13,605.00	7,669.50	9,492.00	35,663.00
1668	Traffic Fines - Tohajiilee	139.50	0.00	87.50	359.45	586.45
1669	Traffic Fines - Tuba City	13,963.50	15,058.50	8,155.00	14,362.35	51,539.35
1670	Traffic Fines - Window Rock	8,102.50	7,368.00	7,815.00	8,449.00	31,734.50
1671	Traffic Fines - Dilkon	2,262.00	930.00	1,940.00	1,082.50	6,214.50
1672.02	Traffic Fines - Aneth	940.00	4,790.00	2,865.00	4,910.00	13,505.00
1672.04	Traffic Fines - Dzil Yijjin	3,042.50	1,267.50	650.00	825.00	5,785.00
<b>1661</b>	<b>Traffic Total:</b>	<b>\$49,683.60</b>	<b>\$68,403.73</b>	<b>\$56,929.52</b>	<b>\$69,264.55</b>	<b>\$244,281.40</b>
<b>1600</b>	<b>Fines &amp; Court Fees Totals:</b>	<b>\$88,321.05</b>	<b>\$110,851.96</b>	<b>\$101,186.20</b>	<b>\$120,060.42</b>	<b>\$420,419.63</b>
<b>Judicial District Court Fines &amp; Fees Summary:</b>						
1600	Fines & Court Fees	25,886.40	27,667.93	27,275.23	34,180.52	115,010.08
1620	Family	11,055.85	9,854.45	11,060.20	13,325.45	45,295.95
1640	Circuit	635.20	2,143.40	491.25	1,374.90	4,644.75
1650	Supreme	1,060.00	2,782.45	5,430.00	1,915.00	11,187.45
1661	Traffic	49,683.60	68,403.73	56,929.52	69,264.55	244,281.40
	<b>Grand Totals:</b>	<b>\$88,321.05</b>	<b>\$110,851.96</b>	<b>\$101,186.20</b>	<b>\$120,060.42</b>	<b>\$420,419.63</b>